## NORTH CAROLINA REGISTER

VOLUME 12 • ISSUE 24 • Pages 2190 - 2264 June 15, 1998

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Executive Orders
Voting Rights Letters
Dental Examiners
Environment and Natural Resources
Health and Human Services
Pharmacy
Transportation
Rules Review Commission
Contested Case Decisions

#### **PUBLISHED BY**

The Office of Administrative Hearings Rules Division PO Drawer 27447 Raleigh, NC 27611-7447 Telephone (919) 733-2678 Fax (919) 733-3462

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#### Rule Notices, Filings, Register, Deadlines, Copies of Proposed Rules, etc.

Office of Administrative Hearings

Rules Division

Capehart-Crocker House (919) 733-2678 424 North Blount Street (919) 733-3462 FAX

Raleigh, North Carolina 27601-2817

contact: Molly Masich, Director APA Services

mmasich@oah.state.nc.us Ruby Creech, Publications Coordinator rcreech@oah.state.nc.us

#### Fiscal Notes & Economic Analysis

Office of State Budget and Management

116 West Jones Street (919) 733-7061 Raleigh, North Carolina 27603-8005 (919) 733-0640 FAX

contact: Mark Sisak, Economist III msisak@osbm.state.nc.us Anna Tefft, Economist II atefft@osbm.state.nc.us

#### Rule Review and Legal Issues

Rules Review Commission 1307 Glenwood Ave., Suite 159 (919) 733-2721 (919) 733-9415 FAX Raleigh, North Carolina 27605

contact: Joe DeLuca Jr., Staff Director Counsel Bobby Bryan, Staff Attorney

#### Legislative Process Concerning Rule Making

Joint Legislative Administrative Procedure Oversight Committee

545 Legislative Office Building

300 North Salisbury Street (919) 733-2578 Raleigh, North Carolina 27611 (919) 715-5460 FAX

contact: Mary Shuping, Staff Liaison marys@ms.ncga.state.nc.us

#### County and Municipality Government Questions or Notification

NC Association of County Commissioners

215 North Dawson Street (919) 715-2893

Raleigh, North Carolina 27603

contact: Jim Blackburn or Rebecca Troutman

NC League of Municipalities

215 North Dawson Street (919) 715-4000

Raleigh, North Carolina 27603

contact: Paula Thomas

#### NORTH CAROLINA REGISTER

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Office of Administrative Hearings Rules Division 424 North Blount Street (27601) PO Drawer 27447 Raleigh, NC 27611-7447 (919) 733-2678 FAX (919) 733-3462

Julian Mann III, Director Camille Winston, Deputy Director Molly Masich, Director of APA Services Ruby Creech, Publications Coordinator Jean Shirley, Editorial Assistant Linda Dupree, Editorial Assistant

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## NORTH CAROLINA REGISTER Publication Schedule (June 1998 - March 1999)

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# **EXPLANATION OF THE PUBLICATION SCHEDULE**

This Publication Schedule is prepared by the Office of Administrative Hearings as a publie service and the computation of time periods are not to be deemed binding or controlling. Time is computed according to 26 NCAC 2C.0302 and the Rules of Civil Procedure, Rule 6.

### GENERAL

## The North Carolina Register shall be published twice a month and contains the following information submitted publication by a state agency:

- temporary rules;
- notices of rule-making proceed-<u>= 2</u>
- text of proposed rules;
- text of permanent rules approved by the Rules Review Commission;  $\odot$   $\oplus$ 
  - notices of receipt of a petition for municipal incorporation, required by G.S. 120-165; (5)
- Attorney General concerning Executive Orders of the Governor; final decision letters from the U.S. changes in laws affecting voting in 96

a jurisdiction subject of Section 5

- orders of the Tax Review Board of the Voting Rights Act of 1965, issued under G.S. 105-241.2; and as required by G.S. 120-30.9H; 8
- other information the Codifier of Rules determines to be helpful to he public 6

unless it is a Saturday, Sunday, or State COMPUTING TIME: In computing time in the schedule, the day of publication of the North Carolina Register is not included. The last day of the period so computed is included, holiday, in which event the period runs until he preceding day which is not a Saturday, Sunday, or State holiday.

## FILING DEADLINES

#### ISSUE DATE: The Register is published on the first and fifteen of each month if the first Sunday, or State holiday for employees Commission. If the first or fifteenth of any month is a Saturday, Sunday, or a holiday for after) the first or fifteenth respectively that is or fifteenth of the month is not a Saturday, mandated by the State Personnel issue for that day will be published on the not a Saturday, Sunday, or holiday for State State employees, the North Carolina Register day of that month closest to (either before or employees.

LAST DAY FOR FILING: The last day for issue date excluding Saturdays, Sundays, and illing for any issue is 15 days before the holidays for State employees.

## NOTICE OF RUE-MAKING PROCEEDINGS

#### END OF COMMENT PERIOD TO A NOTICE OF RULE-MAKING PRO-CEEDINGS: This date is 60 days from the issue date. An agency shall making proceeding until the text of the proposed rules is published, and the text of accept comments on the notice of rulethe proposed rule shall not be published until at least 60 days after the notice of rulemaking proceedings was published.

PUBLICATION OF TEXT: The date of the next issue following the end of the comment SSUE REGISTER EARLIEST period.

## NOTICE OF TEXT

## EARLIEST DATE FOR PUBLIC HEARING: The hearing date shall be at least 15 days after the date a notice of the hearing is published.

#### NON-SUBSTANTIAL ECONOMIC IMPACT: An agency shall accept comments on the text of a proposed rule for at least 30 days after the text is published or END OF REQUIRED COMMENT PERIOD WITH

until the date of any public hearings held on

- RULE WITH SUBSTANTIAL ECONOMIC IMPACT: An agency shall accept comments on the text of a proposed rule published in economic impact requiring a fiscal note under G.S. 150B-21.4(b1) for at least 60 days after publication or until the date of any public hearing held on the rule, whichever is he Register and that has a substantial he proposed rule, whichever is longer.
- DEADLINE TO SUBMIT TO THE RULES REVIEW COMMISSION: The Commission shall review a rule submitted to it on or before the twentieth of a month by the last day of the next month.

ASSEMBLY: This date is the first legislative day of the next regular session of the General Assembly following approval of the rule by the Rules Review Commission. See FIRST LEGISLATIVE DAY OF THE NEXT REGULAR SESSION OF THE GENERAL G.S. 150B-21.3, Effective date of rules.

### EXECUTIVE ORDER NO. <u>134</u> THE COMMISSION ON SUBSTANCE ABUSE TREATMENT AND PREVENTION

By the authority vested in me as Governor by the laws and Constitution of North Carolina, IT IS ORDERED:

#### Section I. Establishment and Membership

- (a) There is hereby established the Commission on Substance Abuse Treatment and Prevention ("Commission") and an Office of Substance Abuse Policy ("Office").
- (b) The Commission shall consist of twenty persons appointed by the Governor. The Governor shall designate the Chair of the Commission. All Commission members shall serve at the pleasure of the Governor.
- (c) Members shall be citizens, government officials and representatives of nonprofit organizations who have demonstrated interest, involvement, or expertise in issues related to prevention, intervention, and treatment of alcohol and other drug abuse.
- (d) Members currently serving on the Commission described in Executive Order Number 83 shall continue their service on the Commission with each member's current term of office and position being carried forward to his or her role on the Commission. At the expiration of these terms, subsequent member appointments shall be for four year terms.
- (e) The Commission shall meet regularly at the call of the Chair.
- (f) Members of the Council of State and other heads of executive branch agencies, or their designees, are requested to serve as Advisors to the Commission and its staff. Advisors shall serve when called upon by the Chair of the Commission and/or its staff. Each designated agency is requested to take responsibility for cooperating with the Commission in carrying out the provisions of this Order. Each agency is asked to participate in all functions described for the advisors, allocating resources and personnel where needed.

#### Section 2. Functions

In fulfilling its responsibilities, the Commission shall have the following duties:

- (a) Engender cooperation and collaboration among agencies, public and private, involved in drug and alcohol abuse programs;
- (b) Review the North Carolina laws regarding substance abuse, including criminal and service-delivery statutes, and make recommendations concerning needed changes;
- (c) Review and recommend mechanisms for the coordination of state and local resources for addressing identified needs;
- (d) Conduct public hearings and advise the Governor and other appropriate state government departments and agency heads of the result and recommendations of the Commission;
  - (e) Encourage local boards, councils, or commissions to

mobilize resources to address substance abuses problems;

- (f) Encourage local boards, councils, or commissions to develop an implementation plan to meet identified needs;
- (g) Assist local boards, councils, or commissions in identifying model prevention, intervention, and treatment efforts;
- (h) Encourage program activities that increase public awareness of substance abuse and strategies to decrease the problem; and
- (i) Other duties as assigned by the Governor and/or Secretary of the Department of Health and Human Services.

#### Section 3. Administration

- (a) The Office shall serve as staff to the Commission. The Office may employ such staff as may be necessary to help the Commission accomplish its goals, contingent upon the availability of funds. The staff of the Office shall be hired by the Secretary of the Department of Health and Human Services.
- (b) The heads of all State departments and agencies shall, to the extent permitted by law, provide the Commission and the Office with information they require to achieve the purposes of this Order.
- (c) Members of the Commission shall serve without compensation, but may receive reimbursement contingent upon the availability of funds for travel and subsistence expenses in accordance with state guidelines and procedures.
- (d) The Commission and the Office shall be funded by agencies which have significant responsibility for involvement in program issues affecting drugs and alcohol. For administrative purposes, the Commission and the Office shall be housed in the Department of Health and Human Services. Oversight shall be with the Department of Health and Human Services as well.

#### Section 4. Reports

- (a) Every department, agency, institution, and organization subject to the Executive Budget Act (Chapter 143 of the North Carolina General Statutes), and a direct or indirect recipient of state or federal substance abuse funding, shall report specific program and fiscal information semi-annually to the Office, in a report format approved by the Office and the Office of State Budget and Management.
- (b) These reports shall, at least, include a report of all revenues and expenditures for the period. In addition, each report shall contain expenditure activity against explicit substance abuse program performance measures determined by the department, agency, institution, or organization consistent with nomenclature and procedures for performance-based budgeting established by the Office of State Budget and Management.
- (c) The Commission and the Office shall report their findings and recommendations to the Governor.

#### Section 5. Recission

#### **EXECUTIVE ORDERS**

Executive Order Number 83 is hereby rescinded.

Section 6. Effective Date

This executive order is effective immediately and shall remain in effect until rescinded by the Governor.

Done in the Capital City of Raleigh, North Carolina, this the 4th day of May, 1998.

EXECUTIVE ORDER NO. <u>135</u>
EXTENDING EXECUTIVE ORDER NO. 47
BOARD OF EDUCATION FOR THE
SCHOOLS FOR THE DEAF

By the power vested in me as Governor by the Constitution and laws of the State of North Carolina, IT IS ORDERED:

Executive Order No. 47, Establishing the Board of Education for the Schools for the Deaf, is hereby extended until December 31, 1998.

This Order is effective immediately.

Done in Raleigh, North Carolina, this the 4th day of May, 1998.

#### IN ADDITION

This Section contains public notices that are required to be published in the Register or have been approved by the Codifier of Rules for publication.

U.S. Department of Justice

Civil Rights Division

EJ:GS:KIF:jng DJ 166-012-3 98-0757 98-1599 Voting Section P.O. Box 66128 Washington, DC 20035-6128

April 27, 1998

Gregory L. Gorham, Esq.
Deputy County Attorney
P.O. Box 3427
Greensboro, North Carolina 27402

Dear Mr. Gorham:

This refers to Session Law 1997-380, which authorizes the General Assembly to create baseball park districts in the State of North Carolina, and which, subject to a May 2, 1998, special referendum election, creates the Forsyth-Guilford Metropolitan Baseball Park District in Forsyth and Guilford Counties, North Carolina, submitted to the Attorney General pursuant to Section 5 of the Voting Rights Act, 42 U.S.C. 1973c. We received your submissions on February 25, 1998.

The Attorney General does not interpose any objection to the specified changes. However, we note that Section 5 expressly provides that the failure of the Attorney General to object does not bar subsequent litigation to enjoin the enforcement of the changes. See the Procedures for the Administration of Section 5 (28 C.F.R. 51.41).

Sincerely,

Elizabeth Johnson Chief, Voting Section

cc: Susan K. Nichols, Esq.

U.S. Department of Justice

Civil Rights Division

EJ:DHH:TGL:emr DJ 166-012-3 98-1267 98-1456 98-1602 Voting Section P.O. Box 66128 Washington, DC 20035-6128

May 11, 1998

Jesse L. Warren, Esq.
City Attorney
P.O. Box 3136
Greensboro, North Carolina 27402-3136

Dear Mr. Warren:

This refers to four annexations (Ordinance Nos. 98-29, 98-50, 98-53 and 98-54) and the designation of the annexed areas to city wards of the City of Greensboro in Guilford County, North Carolina, submitted to the Attorney General pursuant to Section 5 of the Voting Rights Act, 42 U.S.C. 1973c. We received your submissions on March 27, April 10 and 22, 1998.

The Attorney General does not interpose any objection to the specified changes. However, we note that Section 5 expressly provides that the failure of the Attorney General to object does not bar subsequent litigation to enjoin the enforcement of the changes. In addition, as authorized by Section 5, we reserve the right to reexamine these submissions if additional information that would otherwise require an objection comes to our attention during the remainder of the sixty-day review period. See the Procedures for the Administration of Section 5 (28 C.F.R. 51.41 and 51.43).

Sincerely,

Elizabeth Johnson Chief, Voting Section A Notice of Rule-making Proceedings is a statement of subject matter of the agency's proposed rule making. The agency must publish a notice of the subject matter for public comment at least 60 days prior to publishing the proposed text of a rule. Publication of a temporary rule serves as a Notice of Rule-making Proceedings and can be found in the Register under the section heading of Temporary Rules. A Rule-making Agenda published by an agency serves as Rule-making Proceedings and can be found in the Register under the section heading of Rule-making Agendas. Statutory reference: G.S. 150B-21.2.

#### TITLE 10 - DEPARTMENT OF HEALTH AND HUMAN SERVICES

#### **CHAPTER 3 - FACILITY SERVICES**

Notice of Rule-making Proceedings is hereby given by the Division of Facility Services in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 10 NCAC 3S. Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 131E-165 through 131E-170

Statement of the Subject Matter: Cardiac rehabilitation rules currently in effect do not reflect current standards of practice. A committee comprised of providers, trade associations and a consumer was assembled and worked for nine months developing new cardiac rehabilitation rules.

**Reason for Proposed Action:** The current cardiac rehabilitation rules in effect do not reflect current standards of practice. As outlined in G.S. 131E-165, in order to "ensure safe and adequate treatment of individuals in cardiac rehabilitation programs" new rules are now being submitted.

**Comment Procedures:** Questions or written comments regarding this matter may be directed to Jackie Sheppard, Rulemaking Coordinator, Division of Facility Services, PO Box 29553, Raleigh, NC 27626-0530; (919) 733-2342.

#### CERTIFICATION PROCEDURES FOR OUTPATIENT CARDIAC REHABILITATION PROGRAMS IN NORTH CAROLINA

Contained in this Subchapter is the certification process for outpatient cardiac rehabilitation programs in North Carolina. A certification program was developed for outpatient cardiac rehabilitation programs in 1978, but was not included in the North Carolina General Statutes at that time. In order to provide cardiac rehabilitation programs with a certification procedure, G.S. Chapter 131E Article 8 was ratified by the 1983 General Assembly and has been subsequently amended.

#### **Definitions**

The following definitions shall apply throughout this

#### Subchapter:

"ACLS-certified" means certified in Advanced Cardiac Life Support by the American Heart Association.

"ACSM" means American College of Sports Medicine.

"Article" means Article 8 of Chapter 131E of the North Carolina General Statutes.

"Cardiac Rehabilitation Program" means a program certified under the Article for the delivery of cardiac rehabilitation services to outpatients and includes, but is not limited to, a coordinated, physician-directed, individualized programs of therapeutic activity and adaption designed to assist the cardiac patient in attaining the highest rehabilitative potential.

"Certification" means the issuance of a certificate by the Department upon determination that cardiac rehabilitation services offered at a given program site meet all cardiac rehabilitation program rules.

"DVRS" means the Division of Vocational Rehabilitation Services, North Carolina Department of Health and Human Services.

"DVRS Counselor" means an individual employed by the DVRS to provide vocational rehabilitation counseling services.

"Department" means the North Carolina Department of Health and Human Services.

"Dietitian or nutritionist" means an individual who is licensed according to G.S. 90, Article 25.

"Division" means the Division of Facility Services, North Carolina Department of Health and Human Services.

"ECG" means electrocardiogram.

"Graded exercise test" (GXT) means a multistage test that determines a person's physiological response to different intensities of exercise and/or the person's peak aerobic capacity.

"Maximal oxygen consumption" means the highest rate of oxygen transport and oxygen use that can be achieved at a person's maximal physical exertion, or functional capacity. This is usually expressed in METs.

"Mental health professional" means an individual who is licensed or, in the case of a clinical social worker, certified as a:

- (a) psychiatrist;
- (b) psychiatric clinical nurse specialist;
- (c) psychologist or psychological associate;
- (d) clinical social worker; or
- (e) professional counselor.

"MET" means "metabolic equivalent," a measure of functional capacity, or maximal oxygen consumption. One MET represents the approximate rate of oxygen consumption by a seated individual at rest: approximately 3.5 ml/kg/min. METs during exercise are determined by dividing metabolic rate during exercise by the metabolic rate at rest.

"Physician" means an individual licensed according to G.S. 90, Article 1, by the N.C. Board of Medical Examiners to

practice medicine.

"Premises" means "site."

"Program" means "Cardiac Rehabilitation Program."

"Registered nurse" means an individual licensed to practice as a registered nurse in the State of North Carolina by the N.C. Board of Nursing.

"Risk stratification model" means a method for categorizing patients according to their risk of acute cardiovascular complications during exercise as well as overall prognosis. Risk status is related primarily to the type and severity of cardiovascular disease. This rating takes into account how well the heart pumps, the presence of heart pain symptoms and/or changes in the electrocardiogram during exercise. Guidelines concerning medical supervision of patients in cardiac rehabilitation programs which are based on risk stratification models are provided by: the American College of Cardiology (ACC), the American College of Physicians (ACP), the American Association of Cardiovascular and Pulmonary Rehabilitation (AACVPR), the American Heart Association (AHA), and the North Carolina Cardiopulmonary Rehabilitation (NCCRA).

"Simple spirometry" means an analysis of air flow which provides information as to the degree and severity of airway obstruction, and serves as an index of dynamic lung function. It must include, at a minimum, Forced Vital Capacity (FVC) and Forced Expiratory Volume in 1 second (FEV1).

"Site" means the facility in which the cardiac rehabilitation program is held. "Supervising physician" means a physician who is on-site during the operation of the cardiac rehabilitation program.

"Symptom-limited heart rate reserve" is the difference between the symptom-limited maximal heart rate and the resting heart rate.

"Vocational Questionnaire" means the document used for vocational assessment.

"Vocational Rehabilitation Counselor" means

#### **Program Certification**

- (a) Certification of cardiac rehabilitation services as a "Cardiac Rehabilitation Program" under the Article occurs upon the issuance of a certificate pursuant to the provisions of the Article and this Subchapter. Once issued the certificate continues and remains in effect pursuant to the provisions of the Article and this Subchapter.
- (b) A certificate issued by the Department pursuant to the Article and this Subchapter shall be issued only for the premises and person(s) named in the application and shall not be transferable or assignable except with the written approval of the Department. The named person(s) and the street address of the named premises shall appear on the certificate.
- (c) A certificate issued by the Department pursuant to the Article and this Subchapter shall, as long as it is effective, be posted in a conspicuous place on the premises on which the program provides cardiac rehabilitation services.
- (d) In no event shall a certificate issued pursuant to the Article and this Subchapter be effective for a period exceeding two years.

#### **Certification Process**

- (a) To initiate the certification process, an application for certification shall be filed with the Department by the owner of the cardiac rehabilitation services.
- (b) Application forms shall be available from the Department, and each application shall contain at least the following information:
  - (1) legal identity of applicant;
  - (2) name or names under which the facility or services are advertised or presented to the public;
  - (3) program mailing address;
  - (4) program exercise site(s);
  - (5) program telephone number;
  - (6) ownership disclosure;
  - (7) name of program director;
  - (8) name of medical director; and
  - (9) program hours of operation.
- (c) No applicant shall offer any cardiac rehabilitation services described or represented as a "Certified Cardiac Rehabilitation Program", unless the services have been certified in accordance with the provisions of this Subchapter.
- (d) Except as otherwise provided in this Section, the Department shall inspect and evaluate the program and premises identified in the application and shall thereafter issue a certificate upon its determination that the applicant has substantially complied with, and the program and the services at the premises substantially met, the provisions of the Article and this Subchapter.

#### Renewal of Certificate

- (a) A certificate issued pursuant to the Article and this Subchapter shall expire two years after the effective date but can be renewed upon the successful re-evaluation of the program. To initiate the renewal process, an application for certification shall be filed with the Department by the owner of the program.
- (b) Determination of compliance with the provisions of the Article and this Subchapter for purposes of certificate renewal may, at the discretion of the Department, be based upon an inspection or upon review of requested information submitted by a program to the Department.
- (c) The Department, at its discretion, may renew a programs's certificate based upon evidence provided by the program such as an accreditation report, that it has been inspected, evaluated, and approved by an accrediting body recognized by the Department.

#### **Certification Following Program Changes**

- (a) The Department shall be notified, in writing, at least 30 days prior to the effective date of any proposed or expected occurrences of the following:
  - (1) change in program ownership;
  - (2) change in program name;
  - change of the premises in which a program is conducted; and
  - (4) the replacement or termination of employment of the program director.
- . (b) If a 30-day advanced written notification of any occurrence enumerated in (a) above is not possible, the Department shall be notified immediately, by any reasonably reliable means of notification, of such proposed, expected, or

completed occurrence, and written notification shall follow immediately thereafter.

- (c) Upon the occurrences enumerated in (a)(1), (2), and (3) above, the owner of the program shall file with the Department an application for certification, which, at a minimum, shall contain the information specified in Rule "Certification Process" (b) above, and shall provide such other documentation and information as requested by the Department.
- (d) The revised program shall be evaluated for compliance with the provisions of the Article and this Subchapter. Evaluation may be based upon inspection of the program or upon review of requested information submitted by a program to the Department. After a determination by the Department that the program substantially complies with the provisions of the Article and this Subchapter, a new certificate shall be issued.

#### Inspections

- (a) In accordance with G.S. 131E-167(c), inspection(s) shall be made by the Department before a program is issued its initial certification as a program defined in the Article.
- (b) The Department shall make or cause to be made such other inspections of cardiac rehabilitation programs as it deems necessary in accordance with G.S. 131E-170. Circumstances which may be deemed to necessitate an inspection [to determine compliance with the provisions of the Article and this Subchapter] include, but are not limited to:
  - (1) change in program ownership;
  - (2) change in program name;
  - (3) change of the premises in which a program is conducted;
  - (4) the replacement or termination of employment of the program director; and
  - (5) investigation of complaints.
- (c) Inspections may be unannounced and may be conducted any time during program business hours. The purpose of any inspection shall be discussed with the Program Director or designee.
- (d) Information deemed necessary by the Department to evaluate compliance with the Article and this Subchapter, shall be made available for inspection. The information may include medical records, personnel files, policies and procedures, program records, interviews with program staff, interviews with patients, observation of the program in operation, and any other information necessary to determine compliance.
- (e) Following completion of an inspection, an exit conference shall be conducted with one or more representatives of the program's management. An oral summary of the findings shall be presented at the exit conference. The Department shall provide the program with a written report of the findings within 10 working days following the last day of the inspection. The program shall have 10 working days from the receipt of the report to respond with a plan of correction which describes the corrective actions planned and taken to correct any cited deficiency(ies), the date each deficiency was or will be corrected, and the date the program expects to be in compliance with the provisions of the Article and this Subchapter.

#### **Adverse Action**

- (a) Upon a determination that there has been a substantial failure to comply with the provisions of the Article or the rules contained in this Subchapter, the Department may, at its discretion, deny a new or renewal certificate, suspend or revoke an existing certificate, or, as enumerated below in (c) of this rule, issue a provisional certificate for a period not to exceed six months.
- (b) Substantial noncompliance which has endangered, or has a potential to endanger the health, safety, or welfare of any patient, shall be cause for the denial, revocation, or suspension of a certificate.
- (c) Substantial noncompliance which does not endanger the health, safety, or welfare of the patients being served may, at the discretion of the Department, result in the issuance of a provisional certificate for a period not to exceed six months.

#### Procedure For Appeal

In accordance with G.S. 131E-169(b), all administrative action and judicial review in cases where the Department has denied a new or renewal certificate, or suspended or revoked an existing certificate, shall be governed by the provisions of Chapter 150A of the North Carolina General Statutes, the Administrative Procedure Act.

#### Staff Requirements and Responsibilities

- (a) Each program shall be conducted utilizing an interdisciplinary team. At a minimum, the team shall include individuals performing the following functions. The program may employ, full-time or part-time, or contract for the services of team members. Individuals may perform multiple team functions, if qualified for each function, as stated below:
  - (1) Program Director supervises program staff and directs all facets of the program;. The individual serving as program director must either:
    - (A) Be certified by the ACSM as a program director and certified in basic cardiac life support; or,
    - (B) Have a bachelor's, master's or doctoral degree in a health or fitness field, have two years direct, full-time clinical cardiac rehabilitation experience, and be certified in basic cardiac life support.
      - Program directors not meeting these requirements, who were employed by the program prior to the December 1, 1989, may continue in their present position and are encouraged to seek continuing education in appropriate subject matter.
  - (2) Medical Director a physician responsible for supervising all clinical aspects of the program and for assuring the adequacy of emergency procedures and equipment, testing equipment, and personnel.
  - (3) Registered Nurse provides nursing assessments and services, and coordinates care in occurrences requiring physician intervention or cessation of an exercise session. The registered nurse must have at least one year's experience in cardiac rehabilitation or equivalent (i.e., coronary/critical care nurse), and be

- certified in advanced cardiac life support.
- (4) Exercise Specialist completes an exercise assessment, in consultation with the medical director, plans and evaluates exercise therapies, implements exercise therapies, and supervises exercise leaders. The exercise specialist must either:
  - (A) Be certified by the ACSM as an exercise specialist or as a program director and be certified in basic cardiac life support; or
  - (B) Be supervised by a program staff member who is certified by the ACSM as an exercise specialist or as a program director and: (i) have a bachelor's degree in a health or fitness field; (ii) have at least one year's experience working full-time with adult fitness or cardiac rehabilitation programs; (iii) be certified in basic cardiac life support; and (iv) be certified in senior life saving or supervised by a staff member certified in senior life saving if an aquatic program is offered.
- (5) Mental Health Professional performs the mental health assessment and mental health services. The mental health professional, based upon his/her professional judgement, may delegate and direct other program staff members to assist in providing mental health services. The mental health professional must be certified in basic cardiac life support.
- (6) Dietitian or Nutritionist provides the nutritional assessment, develops the therapeutic diet plan, provides nutrition counseling, participates in the plan of care, and assists in behavior modification and follow-up. The dietitian or nutritionist must be certified in basic cardiac life support.
- (7) DVRS or other Vocational Rehabilitation Counselorscreens patients who may be eligible for and interested in vocational rehabilitation services, develops assessment and intervention strategies, and provides other services as needed to meet the vocational goal(s) of patients who may be eligible for and interested in services.
- (8) Supervising Physician a physician who is on-site during the operation of the cardiac rehabilitation program.
- (b) Program staff shall be available to patients as needed to implement each patient's cardiac rehabilitation care plan. This time is in addition to time needed for initial assessments.

#### **Policies and Procedures**

The program director shall assure that written policies and procedures are adopted by the program, approved by the medical director, and available to and implemented by staff. At a minimum, these policies and procedures shall cover the following areas:

- (1) admission of patients and orientation to the program;
- (2) patient assessment, care planning, implementation of therapies, and;
- (3) patient follow-up evaluations, including progress toward cardiac rehabilitation goals;

- (4) patient discharge;
- (5) medical records, in accordance with Rule [Medical Records];
- (6) orientation of all program personnel;
- (7) maintenance of personnel records which include job descriptions, verification of credentials, continuing education and current competencies;
- (8) use and orientation of volunteers;
- (9) communication with patient's referral and personal physicians:
- (10) provisions for reporting and investigating complaints and accidental events regarding patients, visitors and personnel (incidents) and corrective action taken;
- (11) emergency procedures;
- (12) a preventative maintenance program to assure all equipment is maintained in safe and proper working order and in accordance with the manufacturer's recommendations; and
- (13) quality improvement program.

#### **Continuous Quality Improvement**

- (a) The cardiac rehabilitation program shall have an ongoing Continuous Quality Improvement (CQ1) program which identifies quality deficiencies and addresses them with corrective plans of action, as indicated.
- (b) The CQI program shall evaluate the appropriateness, effectiveness, and quality of the program, with findings used to verify policy implementation, to identify problems, and to establish problem resolution and policy revision as necessary.
- (c) The CQI program shall consist of an overall policy and administration review, including admission and discharge policies, emergency care, patient records, personnel qualifications and program evaluation. Data to be assessed shall include, at a minimum, the following:
  - (1) number of patients in the program;
  - (2) average length (weeks) patients are in the program;
  - (3) patient outcomes;
  - (4) adequacy of staff to meet program/patient needs;
  - (5) reasons for discharge.
- (d) An evaluation of patient records shall be conducted at least quarterly. The evaluation shall include a sample review of active and closed records to ensure that program policies are followed and to assure that the quality of service is satisfactory and appropriate.
- (e) Documentation of the CQI program shall include the criteria and methods used to collect and analyze data, identification of quality deficiencies, and any action(s) taken by the cardiac rehabilitation program as a result of CQI findings.

#### Patient Rights and Responsibilities

- (a) Prior to or at the time of admission, the cardiac rehabilitation program shall provide each patient with a written notice of the patient's rights and responsibilities. The program shall maintain documentation showing that all patients have been informed of their rights and responsibilities.
- (b) Each patient's rights shall include, at a minimum, the right to:
  - (1) be informed and participate in developing the

- patient's plan of care;
- (2) voice grievances about the care provided, and not be subjected to discrimination or reprisal for doing so;
- (3) confidentiality of the patient's records;
- (4) be informed of the patient's liability for payment for services;
- (5) be informed of the process for acceptance and continuation of service and eligibility determination;
- (6) accept or refuse services;
- 7) be advised of the program's procedures for discharge.
- (c) The program shall provide all patients with a telephone number for information, questions or complaints about services provided by the program. The program shall also provide the Division of Facility Services complaints hotline number or the Department of Health and Human Services Careline number. The Division of Facility Services shall investigate all allegations of non-compliance with the rules.
- (d) The program shall investigate, within 7 days, complaints made to the program by a patient or the patient's family, and must document both the existence of the complaint and the resolution of the complaint.

#### Admission and Discharge

- (a) A program shall not provide cardiac rehabilitation services to a person unless the person has been referred by a physician and accepted for admission to the program by the medical director.
- (b) A patient's discharge from the program may be based on one or more of the following:
  - (1) patient obtains goals established in the cardiac rehabilitation care plan;
  - (2) patient's inability to participate in the program due to a return to work;
  - (3) logistical problems including but not limited to lack of transportation; and
  - (4) patient noncompliance with the cardiac rehabilitation care plan.

#### Patient Assessment

- (a) Within five weeks of a patient's admission to the program, the interdisciplinary team shall complete and document a cardiac rehabilitation assessment of the patient. At a minimum, this assessment shall include the components specified in this Rule.
  - (b) Medical Assessment:
  - (1) cardiovascular evaluation as to present diagnosis, therapy, and a discharge summary of the patient's last hospitalization; or
  - (2) statement by referring physician as to present diagnosis, and therapy;
  - (3) resting 12-lead ECG;
  - (4) medical record documentation of ECG and hemodynamic data and the presence or absence of symptoms prior to or during the first exercise session, preferably determined by a graded exercise test. As an acceptable alternative, the first exercise session may include an objective assessment of hemodynamic, ECG, and symptoms response data;
  - (5) fasting blood chemistry to include total cholesterol,

- high density lipoprotein (HDL) cholesterol, low density lipoprotein (LDL) cholesterol, triglycerides, and as needed, other comparable measures; and
- (6) simple spirometry, if clinically indicated by history of cigarette smoking or chronic lung disease.
- (c) Physical Assessment:
  - (1) functional capacity as determined by measured or predicted equivalents (METs);
- (2) height, weight, and other anthropometric measures (i.e., body mass index, percent body fat, waist-to-hip ratio, girth measurements);
- (3) current and past exercise history;
- (4) musculoskeletal assessment;
- (5) physical limitations and disabilities that may impact rehabilitation;
- (d) Nursing Assessment:
- (1) cardiopulmonary assessment and coronary risk profile;
- (2) current symptoms such as angina or dyspnea, and recovery from recent cardiac events; and
- (3) presence of comorbidities;
- (4) assessment of medications;
- (5) educational needs;
- (e) Nutrition Assessment:
- (1) review of medical history;
- (2) eating patterns as measured by a food diary, food frequency questionnaire, or an acceptable alternative;
- (3) fasting blood chemistries as described in (a)(5) above;
- (4) anthropometric measures as described in (b)(2) above;
- (5) behavioral patterns as determined from patient interview.
- (6) identification of nutritional goals.
- (f) Mental Health Assessment:
  - (1) impact of cardiovascular disease on the patient and significant others;
  - (2) past and present mental health functioning;
  - (3) personality traits or behaviors that contribute to illness or impede rehabilitation, such as hostility, smoking, substance abuse, eating disorders, or noncompliance with treatment.
- (4) current stresses and coping skills; and
- (5) identification of mental health goals;
- (g) Vocational Assessment:
  - vocational questionnaire to determine current vocational status, description of physical requirements of job, working conditions, psychological demands as perceived by the patient, and the need for vocational rehabilitation services.

#### Progress Evaluation and Follow-up Procedures Care Planning

- (a) Within five weeks of a patient's admission to the program, the interdisciplinary team shall meet, and develop a cardiac rehabilitation care plan for the patient based upon assessments conducted by, and input from, each discipline.
- (b) The cardiac rehabilitation care plan, at a minimum, shall include:
  - (1) the patient's exercise therapy;

- (2) nutrition services:
- (3) mental health services;
- (4) educational counseling;
- (5) vocational services if, indicated;
- (6) cardiac rehabilitation goals; and
- (7) discharge planning.
- (c) Within six weeks of the patient's admission to the program, a copy of the cardiac rehabilitation care plan shall be sent to the patient's personal and referring physicians.

#### Follow-Up Evaluation

- (a) The interdisciplinary team members shall attend monthly meetings for follow-up evaluation of each patient's progress toward cardiac rehabilitation goals and documentation by a progress note in each patient's record. If any staff member cannot attend, the reason for the absence and the means of communicating information prior to and after the meeting shall be documented.
- (b) A copy of the monthly interdisciplinary team follow-up evaluations shall be sent to the patients' personal and referring physician(s). The personal and referring physician(s) shall be informed of any complication that may arise or change in patient status while in the program.
- (c) Changes to each patient's cardiac rehabilitation care plan shall be made as needed based on continued evaluations. Any changes made in the patient's cardiac rehabilitation care plan shall be recorded in the medical record sent to the patient's personal and referring physician(s).

#### Discharge Planning

Prior to discharging a patient, the interdisciplinary team shall develop a discharge plan. At a minimum, the discharge plan shall include instructions as to how to achieve or maintain the goals established in the cardiac rehabilitation care plan.

#### **Provision of Services**

#### **Exercise Therapy**

- (a) At least one ACLS-certified and one other staff member shall be present in the exercise area during exercise therapy sessions. The medical director, in consultation with program staff, shall establish staff to patient ratios for exercise therapy sessions based on medical acuity, utilizing an acceptable risk stratification model.
- (b) Unless contraindicated by medical and laboratory assessments or the cardiac rehabilitation care plan, each patient's exercise therapy shall include:
  - mode of exercise therapy including, but not limited to: walk/jog. aquatic activity, cycle ergometry, arm ergometry, resistance training, stair climbing, rowing, aerobics;
  - (2) intensity:
    - (A) up to 85 percent of symptom-limited heart rate reserve:
    - (B) up to 80 percent of measured maximal oxygen consumption:
    - (C) rating of perceived exertion (RPE) of 11 to 13 if a graded exercise test is not performed; or

- (D) heart rate not to exceed 30 beats per minute above standing resting heart rate if a graded exercise test is not performed;
- (3) duration: up to 60 minutes, as tolerated, including a minimum of <u>five minutes</u> each for warm-up and cooldown:
- (4) frequency: minimum of three days per week.
- (c) The patient shall be monitored continuously or intermittently through the use of electrocardiography during each exercise therapy session.
- (d) At two week intervals, the patient's adherence to the cardiac rehabilitation care plan and progress toward goals shall be monitored by an examination of exercise therapy records and documented.
- (e) The exercise specialist shall be responsible for consultation with the medical director or the patient's personal physician concerning changes in the exercise therapy, results of graded exercise tests, as needed or anticipated (e.g. regular follow-up intervals, graded exercise test conducted, or medication changes). Feedback concerning changes in the exercise therapy shall be discussed with the patient and documented.
- (f) Diabetic patients who are taking insulin and/or oral hypoglycemic agents for control of diabetes shall have blood sugars monitored for at least the first week of cardiac therapy sessions in order to establish the patient's level of control and subsequent response to exercise. Cardiac rehabilitation staff shall record blood sugar measurements pre- and post-exercise. Patients whose blood sugar values are considered abnormal shall be monitored until better diabetic control is established. Snacks shall be available in case of a hypoglycemic response.

#### **Nutrition Services**

Unless contraindicated based on the nutrition assessment and cardiac rehabilitation care plan, each patient's program shall include the following nutrition services:

- (1) Interpretation and feedback on the patient's eating patterns. blood chemistries, anthropometrics, and behavioral patterns;
- (2) Identification of a therapeutic diet plan to determine, at a minimum, a reasonable body weight, caloric, and fat intake.
- (3) Patient counseling and/or behavior modification based on the therapeutic diet plan and goals.

#### Mental Health Services

Unless contraindicated, based on the mental health assessment and cardiac rehabilitation care plan, each patient's program shall include the following mental health services:

- feedback from mental health assessment to the patient, including education about the impact of illness and medications on cognition, affect, behavior, and sexual response;
- (2) recommendations made to the patient, including plans for subsequent follow-up;
- (3) provision of professional services, such as supportive therapy, to meet the patient's mental health goals;
- (4) referral for extended evaluation or treatment:
- (5) consultation to the staff to enhance patient care; and

(6) relaxation training offered at least once per week by the mental health professional.

#### Vocational Rehabilitation Counseling and Services

- (a) The cardiac rehabilitation program shall have a written agreement, with the local DVRS office or other vocational rehabilitation counselor/services, which specifies the following:
  - (1) The program shall administer a Vocational Questionnaire to patients.
  - (2) After administering the Vocational Questionnaire, the program shall refer to the DVRS or other vocational rehabilitation counselor/services patients who may be eligible for and desire services.
  - (3) The DVRS or other vocational rehabilitation counselor shall provide feedback to the cardiac rehabilitation program regarding the eligibility for DVRS or other vocational services of referred patients.
  - (4) The DVRS or other vocational rehabilitation counselor shall provide progress reports for patients who are receiving DVRS or other vocational rehabilitation services.
  - (5) The DVRS or other vocational rehabilitation counselor shall attend monthly staff meetings in which eligible vocational rehabilitation clients are discussed. If the counselor cannot attend, the reason for the absence and the means of communicating information prior to and after the meeting shall be documented and attached to the staffing report.
- (b) The cardiac rehabilitation program must have written documentation that feedback as described in Paragraph (a)(3) of this Rule and progress reports as described in Paragraph (a)(4) of this Rule have been communicated to the cardiac rehabilitation program by the DVRS or other counselor and, if not, the reason(s) why.
- (c) If the program is not able to complete a written agreement with the local office of DVRS or other vocational rehabilitation counselor as outlined in Paragraph (a) of this rule, the program shall have documentation that specifies why such an agreement was not completed.

#### **Patient Education**

- (a) Each patient's cardiac rehabilitation care plan shall include participation in the program's basic education plan. At a minimum, the education plan shall include the following topics:
  - (1) basic anatomy, physiology, and pathophysiology of the cardiovascular system;
  - (2) risk factor reductions, including smoking cessation and management of blood pressure, lipids, diabetes, and obesity;
  - (3) principles of behavior modification including nutrition, exercise, stress management and other lifestyle changes;
  - (4) cardiovascular medications including compliance, interactions, and side effects;
  - (5) basic principles of exercise physiology, guidelines for safe and effective exercise therapy, and guidelines for

- vocational/recreational exertional activities;
- recognition of cardiovascular signs, symptoms and management; and
- environmental considerations such as exercise in hot or cold climates.
- (b) The educational program shall include individual or group sessions utilizing written, audio, and/or visual educational materials as deemed appropriate and necessary by program staff.
- (c) Each session shall be documented and presented on a rotating basis such that each patient has access to all materials and classes offered.
- (d) Documentation shall be included in each patient's medical record to indicate which educational programs the patient attended.

#### **Emergencies**

#### **Emergency Plan**

A written plan approved and signed by the medical director shall be established to handle any emergencies occurring on site while cardiac rehabilitation services are being provided. All areas of the premises pertinent to program operation shall be included. The plan shall address the assignment of personnel and availability of equipment required in an emergency.

#### **Emergency Equipment**

The following equipment and supplies must be available and operable in the event of an emergency and must be maintained according to manufacturer's recommendations:

- (1) suction equipment (portable);
- (2) defibrillator (portable);
- (3) intubation equipment;
- (4) medications;
- (5) oxygen tank supply;
- (6) regulator and mask for nasal cannula; and
- (7) communication system to access emergency medical services.

#### **Emergency Personnel**

- (a) At least one ACLS certified and one other staff member shall be present at the site during all program hours.
- (b) For cardiac rehabilitation programs that are not located within a hospital or a hospital emergency resuscitation team is not available to respond in an emergency, a supervising physician shall be on site during all program hours, to manage medical emergencies.

#### **Emergency Drills**

- (a) At least six emergency drills shall be conducted each year and shall be documented.
- (b) Drill sites shall be rotated through all locations used by patients while participating in program activities.
- (c) The drill documentation and effectiveness of emergency drills shall be reviewed and signed by the medical director or supervising physician.

#### Medical Records

#### Policies and Procedures

The program shall develop and implement policies and procedures to include at least the following:

- (1) maintenance of a complete, accurate, and organized medical record for each patient admitted to the program;
- (2) confidentiality of records:
- (3) accessibility of medical record information to the patient, program staff, and non-employees;
- (4) authentication of entries in medical records including hard copy records and those kept in electronic medium such as computerized records; and
- (5) retention and disposition of records in accordance with the N.C. Statutes of Limitations, G.S. 1-15, 1-17.

#### **Content of Medical Records**

- (a) The medical record shall contain at least the following information:
  - (1) patient identification data;
  - (2) medical history and, when applicable, hospital discharge summary:
  - (3) graded exercise data, if available:
  - (4) resting 12-lead ECG:
  - (5) signed physician referral;
  - (6) records of blood chemistry analyses;
  - (7) signed informed consent to participate in the program:
  - (8) progress notes and response to the cardiac rehabilitation care plan;
  - (9) all records of each discipline's participation in the patient's cardiac rehabilitation care plan;
  - (10) a discharge summary which describes the patient's progress while in the program, reason(s) for discharge, the post-discharge plan, and follow-up as indicated:
  - (11) miscellaneous clinical records developed pursuant to the patient's course of treatment.
- (b) In the case of hard copy medical records, the following shall apply:
  - (1) the patient's name must be recorded on each page of the record;
  - (2) all entries in the records shall be legible and authenticated with a signature, title, and date by the individual making the entry; and
  - (3) faxed entries, including orders, are acceptable as long as a hard copy is incorporated in the medical record (note: thermal paper faxes are not acceptable);
- (c) At its option, the program may maintain all or part of its medical records in a form other than hard copy, such as electronic medium. Entries in such a record shall be authenticated according to program policies and may include authentication measures such as personal computer entry codes or electronic signatures. However, when requested by the Division or other State officials, the program must be able to produce a hard copy printout of the record.
- (d) Medical record information may be stored, such as when records are thinned or patients are discharged, in a form other than hard copy, but the program must be able to produce a hard copy printout of the record if requested by the Division or other

State officials.

#### Facilities and Equipment

#### Physical Environment and Equipment

- (a) The program shall provide a clean and safe environment.
- (b) Equipment and furnishings shall be cleaned not less than weekly.
- (c) All areas of the facility shall be orderly and free of debris and with clear traffic areas.
- (d) A written and documented preventative maintenance program shall be established to ensure that all equipment is calibrated and maintained in safe and proper working order in accordance with manufacturers' recommendations.
- (e) There shall be emergency access to all areas a patient may enter, and floor space must allow easy access of personnel and equipment.
- (f) Floor space for unrestricted activity shall not be less than 40 square feet per patient.
- (g) All areas shall provide temperature and humidity control that allows for a comfortable environment:
  - (1) temperature shall be 65 72 degrees Fahrenheit or 18 22 degrees Celsius;
  - (2) relative humidity shall be 50 60 percent.
- (h) Exit signs and an evacuation plan shall be posted and clearly visible. The evacuation plan shall detail evacuation routes for patients, staff, and visitors in case of fire or other emergency.
- (i) Smoking shall be permitted only in designated areas which shall not include patient care or treatment areas.

#### **Graded Exercise Testing Laboratory Evaluation**

If the program performs graded exercise testing, the following facilities and equipment shall be available:

- (1) space for physical examination which allows for visual privacy;
- (2) adequate space and temperature/humidity controls for exercise as described under "Physical Environment and Equipment" of this Subchapter;
- (3) 12-lead ECG equipment for recording the ECG during exercise testing:
- (4) oscilloscope for ECG monitoring or continuous recording;
- (5) treadmill, bicycle ergometer, or arm crank ergometer;
- (6) blood pressure cuff and stethoscope;
- (7) emergency procedures, equipment, and supplies as described in "Emergencies" of this Subchapter; and
- (8) access to spirometer for pulmonary function testing.

#### **Exercise Therapy**

The following equipment shall be available and operable for the provision of exercise assessment and therapy:

- (1) portable ECG and oscilloscope:
- (2) blood pressure cuff and stethoscope;
- (3) large clock with sweep second hand;
- (4) blood glucose testing equipment if diabetic patients are participating in the program; and
- (5) equipment for the performance of anthropometric

measurements such as skinfold caliper, stadiometer, tape measure, and physician's balance scale.

#### **Nutrition Services**

The following facilities and equipment shall be available for the provision of nutrition services:

- (1) space that allows for confidential interviewing and counseling;
- (2) nutrition guidelines and means of nutrient analysis; and
- (3) educational materials, as deemed appropriate by the program's dietitian/nutritionist, for patient distribution and use during nutrition therapy counseling.

#### Mental Health Services

The following space shall be available for the provision of mental health services:

- (1) space that allows for confidential interviewing, testing, counseling, and relaxation; and
- (2) space for group relaxation exercises.

#### **Vocational Rehabilitation Services**

Space shall be available for the provision of vocational rehabilitation services to allow for confidential interviewing and counseling.

#### **CHAPTER 20 - VOCATIONAL REHABILITATION**

#### **SUBCHAPTER 20C - PROGRAM RULES**

Notice of Rule-making Proceedings is hereby given by the Department of Health and Human Services, Division of Vocational Rehabilitation Services in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 10 NCAC 20C.0100, .0203, .0206 - Other rules may be proposed in the course of the rule-making process.

**Authority for the rule-making:** G.S. 143-545A; 143-546A; 34 C.F.R. 361.36; 34 C.F.R. 361.54

#### Statement of the Subject Matter:

10 NCAC 20C .0100 - A rule will be adopted that provides a formula for establishing rates and fees to be paid by purchasers of services/products from the Division.

10 NCAC 20C .0203 APPLICANT NOTIFICATION - This rule, which requires notification of all applicants that an order of selection for services will be implemented when there are insufficient resources to serve all eligible clients, will be repealed.

10 NCAC 20C.0206 - Amendment and adoption of other rules -

The Financial Needs Test rule will be amended and other rules adopted to allow a special provision for personal assistance services. Rules to be adopted will specify the applicable special provisions that will apply to employment expenses and independent living expenses related to personal assistance services. This rule will also be amended to increase the amount allowed for child care expenses under the financial needs test provisions.

**Reason for Proposed Action:** Requests from the Vocational Rehabilitation Advisory Council and the Independent Living Council that policy be revised regarding allowance for personal assistance services.

10 NCAC 20C .0100 - Heretofore, there has not been a rule governing establishment of rates and fees. G.S. 143-545A requires that such a rule be in effect prior to establishing rates and fees. This action is needed to support and/or continue critical services/programs under the purview of the Division of Vocational Rehabilitation Services.

10 NCAC 20C.0203 - is no longer needed because the order of selection for services rules in 10 NCAC 20C.0600 govern notification when an order is actually established.

10 NCAC 20C .0206 - is being amended and new rules adopted to remove a disincentive to employment and independence. A special provision regarding expenses for personal assistance services is necessary to allow individuals with severe disabilities to obtain or maintain employment or to live independently for as long as possible. The allowance for child care has not been raised in recent years.

Comment Procedures: The record will be open for receipt of written comments on these proposed actions from June 15, 1998 to August 14, 1998. Written comments should be mailed to Jackie Stalnaker, PO Box 26053, Raleigh, NC 27611.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

#### **CHAPTER 7 - COASTAL MANAGEMENT**

SUBCHAPTER 7J - PROCEDURES FOR HANDLING MAJOR DEVELOPMENT PERMITS: VARIANCE REQUESTS: APPEALS FROM MINOR DEVELOPMENT PERMIT DECISIONS: AND DECLARATORY RULINGS

#### SUBCHAPTER 7M - GENERAL POLICY GUIDELINES FOR THE COASTAL AREA

Notice of Rule-making Proceedings is hereby given by the ENR - Coastal Resources Commission in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 15A NCAC 7J.0200, .0405; 7M.0300 - Other rules may be proposed in the course of the rule-making process.

Authority for the rule-making: G.S. 113-229; 113A-102(b); 113A-107; 113A-118: 113A-119; 113A-119.1; 113A-120(b): 113A-122(b)(c); 113A-124(b)(c)(5); 113A-134.1; 113A-134.3; 153A-221(a); 160A-314(a); 16 U.S.C. Sec. 1453

Statement of the Subject Matter: The proposed rules will provide additional protection to Estuarine and Public Trust resources by amending the existing Estuarine Shoreline Area of Environmental Concern rules and extending AEC shoreline protection rules to public trust waters.

Reason for Proposed Action: Review of current rules and scientific literature identified a need to implement rules to minimize adverse impacts from development adjacent to Estuarine and Public Trust resources.

Comment Procedures: Contact Charles Jones, Assistance Director, 151-B Hwy. 24, Morehead City, NC 28570, (252) 808-2808.

#### TITLE 21 - OCCUPATIONAL LICENSING BOARDS

CHAPTER 16 - BOARD OF DENTAL EXAMINERS

**SUBCHAPTER 16H - DENTAL ASSISTANTS** 

#### SUBCHAPTER 16Q - GENERAL ANESTHESIA AND SEDATION

North Carolina State Board of Dental Examiners in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the <u>Register</u> the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 21 NCAC 16H .0101 - .0104, .0201 - .0206: 16Q .0101, .0201, .0301 - Other rules may be proposed in the course of the rule-making process.

**Authority for the rule-making:** G.S. 90-28; 90-29; 90-30.1; 90-48

Statement of the Subject Matter: Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina State Board of Dental Examiners (Board) will consider adopting rules, repealing rules, or amending rules addressing permitted functions and educational processes for dental assistants, the definition of sedation, and training for administering sedation.

**Reason for Proposed Action:** To modify permitted functions and educational processes for dental assistants, to modify the definition of sedation, and to modify training for administering sedation.

Comment Procedures: Written comments may be submitted on the subject matter of the proposed rule-making to Christine H. Lockwood, Executive Director of the Board at the Board's office. The Board's address is PO Box 32270, Raleigh, NC 27622-2270.

#### **CHAPTER 46 - BOARD OF PHARMACY**

North Carolina Board of Pharmacy in accordance with G.S. 150B-21.2. The agency shall subsequently publish in the Register the text of the rule(s) it proposes to adopt as a result of this notice of rule-making proceedings and any comments received on this notice.

Citation to Existing Rules Affected by this Rule-Making: 21 NCAC 46 .1414; .1601, .1608 - .1609; .1703; .1809; .2304; .2604, .2609, .2611 - Other rules may be proposed in the course of the rule-making process.

**Authority for the rule-making:** G.S. 90-18.1; 90-18.2; 90-85.3; 90-85.6; 90-85.21; 90-85.22; 90-85.25; 90-85.26; 90-85.32; 90-85.33; 90-85.36; 90-107; 150B-19

Statement of the Subject Matter: Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Board of Pharmacy (Board) will consider adopting rules, repealing rules, or amending rules addressing the use of automated dispensing or drug supply devices in health care facility pharmacies, retail pharmacies, and in other facilities; dispensing of drugs by physician assistants: requirements for automated data processing systems: service requirements for rehabilitation and medical equipment; refill dispensing: late renewal fees; access to pharmacy records by spouses; documentation of dispensing errors: pharmacist working conditions, the posting of times that a pharmacist is on duty; and incorrect citation in 21 NCAC 46.1601; and recordkeeping requirements for device and medical equipment permit holders.

#### Reason for Proposed Action:

- 1. To enact requirements regarding automated dispensing or drug supply devices in health care facility pharmacies, retail pharmacies and in other facilities:
- 2. To modify requirements regarding the dispensing of prescription drugs by physician assistants:
- 3. To modify requirements for the use of automated data processing systems;
- 4. To modify service requirements with regard to rehabilitation and medical equipment;
- 5. To modify requirements regarding prescription refills:
- 6. To address late renewal fees:

#### **RULE-MAKING PROCEEDINGS**

- 7. To allow for access to pharmacy records by spouses;
- 8. To address the reporting of and documentation of dispensing errors:
- 9. To regulate pharmacist working conditions;
- 10. To require that a pharmacy must post times that a pharmacist is on duty;
- 11. To correct an incorrect citation in 21 NCAC 46.1601; and
- 12. To modify recordkeeping requirements for device and medical equipment permit holders.

Comment Procedures: Written comments may be submitted on the subject matter of the proposed rule-making to David R. Work, Executive Director of the Board at the Board's office. The Board's address is PO Box 459, Carrboro, NC 27510-0459. This Section contains the text of proposed rules. At least 60 days prior to the publication of text, the agency published a Notice of Rule-making Proceedings. The agency must accept comments on the proposed rule for at least 30 days from the publication date, or until the public hearing, or a later date if specified in the notice by the agency. The required comment period is 60 days for a rule that has a substantial economic impact of at least five million dollars (\$5,000,000). Statutory reference: G.S. 150B-21.2.

#### TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Wildlife Resources Commission intends to amend rule(s) cited as 15A NCAC 10B.0207 and 10D.0003. Notice of Rule-making Proceedings was published in the Register for 15A NCAC 10B.0207 on September 15, 1997 and for 15A NCAC 10D.0003 on March 16, 1998.

Proposed Effective Date: April 1, 1999

**A Public Hearing** will be conducted at 10:00 a.m. on July 2, 1998 at the Archdale Building, 3<sup>rd</sup> Floor Conference Room, 512 N. Salisbury Street, Raleigh, NC.

#### Reason for Proposed Action:

15A NCAC 10B.0207 - To set/amend the rule for trapping rabbits which is necessary to manage and conserve the resource. The Wildlife Resources Commission may adopt this as a temporary rule pursuant to S.L. 1997-0403 following this abbreviated notice.

15A NCAC 10D.0003 - To set amend game land regulations for use of non-highway licensed vehicles on state game lands necessary to manage and conserve the resource and provide for the orderly and efficient operation of game lands. The Wildlife Resources Commission may adopt this as a temporary rule pursuant to S.L. 1997-0403 following the abbreviated notice as indicated in the Notice of Rule-making Proceedings or following the public hearing and public comment period as indicated in this notice.

Comment Procedures: Interested persons may present their views either orally or in writing at the hearing. In addition, the record of hearing will be open for receipt of written comments from 6-15-98 to 7-15-98. Such written comments must be delivered or mailed to the NC Wildlife Resources Commission, 512 N. Salisbury Street, Raleigh, NC 27604-1188.

**Fiscal Note:** These Rules do not affect the expenditures or revenues of state or local government funds. These Rules do not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### CHAPTER 10 - WILDLIFE RESOURCES AND WATER SAFETY

SUBCHAPTER 10B - HUNTING AND TRAPPING

**SECTION .0200 - HUNTING** 

#### .0207 RABBITS

- (a) Open Season: Saturday next preceding Thanksgiving to the last day of February.
  - (b) Bag Limits: Daily, five; possession, 10; season, 75.
- (c) Box-traps. During the hunting season specified in Paragraph (a) of this Rule and subject to the bag, possession and season limits set forth in Paragraph (b) of this Rule, rabbits may be taken with box-traps. A valid hunting license shall serve as a transportation permit for live rabbits taken pursuant to this Rule.

Authority G.S. 113-134; 113-274; 113-291.1; 113-291.2.

#### **CHAPTER 10D - GAME LANDS REGULATIONS**

[Note: Text shown in bold print has been previously approved by the Rules Review Commission and is pending the 1998 Legislative Session.]

#### .0003 HUNTING ON GAME LANDS

- (a) Safety Requirements. No person while hunting on any designated game land shall be under the influence of alcohol or any narcotic drug, or fail to comply with special restrictions enacted by the National Park Service regarding the use of the Blue Ridge Parkway where it adjoins game lands listed in this Rule
- (b) Traffic Requirements. No person shall park a vehicle on game lands in such a manner as to block traffic, gates or otherwise prevent vehicles from using any roadway.
- (c) Tree Stands. It is unlawful to erect or to occupy, for the purpose of hunting, any tree stand or platform attached by nails, screws, bolts or wire to a tree on any game land designated herein. This prohibition shall not apply to lag-screw steps or portable stands that are removed after use with no metal left remaining in or attached to the tree.
- (d) Time and Manner of Taking. Except where closed to hunting or limited to specific dates by this Chapter, hunting on game lands is permitted during the open season for the game or furbearing species being hunted. On managed waterfowl impoundments, hunters shall not enter the posted impoundment areas earlier than 4:00 a.m. on the permitted hunting dates, and hunting is prohibited after 1:00 p.m. on such hunting dates: decovs shall not be set out prior to 4:00 a.m. and must be removed by 3:00 p.m. each day. No person shall operate any vessel or vehicle powered by an internal combustion engine on a managed waterfowl impoundment. No person shall attempt to obscure the sex or age of any bird or animal taken by severing the head or any other part thereof, or possess any bird or animal which has been so mutilated. No person shall place, or cause to be placed on any game land, salt, grain, fruit, or other foods without prior written authorization of the commission or its

agent. A decision to grant or deny authorization shall be made based on the best management practices for the wildlife species in question. No person shall take or attempt to take any game birds or game animals attracted to such foods. No live wild animals or wild birds shall be removed from any game land.

- (e) Definitions:
- (1) For purposes of this Section "Eastern" season refers to seasons set for those counties or parts of counties listed in 15A NCAC 10B .0203(b)(1)(A); "Central" season refers to seasons set for those counties or parts of counties listed in 15A NCAC 10B .0203(b)(1)(D); "Northwestern" season refers to seasons set for those counties or parts of counties listed in 15A NCAC 10B .0203(b)(1)(B); "Western" season refers to seasons set for those counties or parts of counties listed in 15A NCAC 10B .0203(b)(1)(C).
- (2) For purposes of this Section, "Dove Only Area" refers to a Game Land on which doves may be taken and dove hunting is limited to Mondays, Wednesdays, Saturdays and to Thanksgiving, Christmas and New Year's Days within the federally-announced season.
- (3) For purposes of this Section, "Three Days per Week Area" refers to a Game Land on which any game may be taken during the open seasons and hunting is limited to Mondays, Wednesdays, Saturdays and Thanksgiving, Christmas and New Year's Days. These open days also apply to either-sex hunting seasons listed under each game land. Raccoon and opossum hunting may continue until 7:00 a.m. on Tuesdays, until 7:00 a.m. on Thursdays, and until midnight on Saturdays.
- (4) For purposes of this Section, "Six Days per Week Area" refers to a Game Land on which any game may be taken during the open seasons, except that:
  - (A) Bears shall not be taken on lands designated and posted as bear sanctuaries;
  - (B) Wild boar shall not be taken with the use of dogs on such bear sanctuaries, and wild boar may be hunted only during the bow and arrow seasons, the muzzle-loading deer season and the regular gun season on male deer on bear sanctuaries;
  - (C) On game lands open to deer hunting located in or west of the counties of Rockingham, Guilford, Randolph, Montgomery and Anson, the following rules apply to the use of dogs during the regular season for hunting deer with guns:
    - (i) Except for the counties of Cherokee, Clay, Graham, Jackson, Macon, Madison, Polk, and Swain, game birds shall be hunted with dogs.
    - (ii) In the counties of Cherokee, Clay, Graham, Jackson, Macon, Madison, Polk, and Swain, small game in season shall be hunted with dogs on all game lands except on bear sanctuaries.
  - (D) On bear sanctuaries in and west of Madison,

Buncombe, Henderson and Polk counties dogs shall not be trained or allowed to run unleashed between March 1 and the Monday on or nearest October 15:

- (f) Game Lands Seasons and Other Restrictions:
  - (1) Alcoa Game Land in Davidson, Davie, Montgomery, Rowan and Stanly counties
    - (A) Six Days per Week Area
    - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (2) Angola Bay Game Land in Duplin and Pender counties
    - (A) Six Days per Week Area
    - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
  - (3) Anson Game Land in Anson County
    - (A) Six Days per Week Area
    - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (4) Bachlelor Bay Game Land in Bertie and Washington counties
    - (A) Six Days per Week Area
    - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (5) Bertie County Game Land in Bertie County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (6) Bladen County Game Land in Bladen County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (7) Bladen Lakes State Forest Game Land in Bladen County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season. Deer of either sex may also be taken the Saturday preceding Eastern bow season with bow and arrow and the Friday preceding the Eastern muzzle-loading season with any legal weapon (with weapons exceptions described in this Paragraph) by participants in the Disabled Sportsman Program.
  - (C) Handguns shall not be carried and, except for muzzle-loaders, rifles larger than .22 caliber rimfire shall not be used or possessed.
  - (D) On the Breece Tract and the Singletary Tract deer and bear may be taken only by still hunting.
  - (E) Wild turkey hunting is by permit only.

- (8) Brushy Mountains Game Land in Caldwell County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (9) Bullard and Branch Hunting Preserve Game Lands in Robeson County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (10) Butner Falls of Neuse Game Land in Durham, Granville and Wake counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
  - (C) Waterfowl may be taken only on Tuesdays, Thursdays and Saturdays, Christmas and New Year's Days, and on the opening and closing days of the applicable waterfowl seasons. On the posted waterfowl impoundments a special permit is required for all waterfowl hunting.
  - (D) Horseback riding, including all equine species, is prohibited.
  - (E) Target shooting is prohibited
- (11) Carson Woods Game Land in Ashe County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season.
- (12) Caswell Game Land in Caswell County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season. Deer of either sex may also be taken the Friday preceding the Central muzzle-loading season by participants in the Disabled Sportsman Program.
  - (C) Horseback riding is allowed only during June, July, and August and on Sundays during the remainder of the year except during open turkey and deer seasons. Horseback riding is allowed only on roads opened to vehicular traffic. Participants must obtain a game lands license prior to engaging in such activity.
- (13) Caswell Farm Game Land in Lenoir County
  - (A) Dove-Only Area
- (14) Catawba Game Land in Catawba and Iredell counties
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season.
  - (C) Deer may be taken with bow and arrow only from the tract known as Molly's Backbone.
- (15) Chatham Game Land in Chatham County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six

- days and the last six days of the applicable Deer With Visible Antlers Season.
- (16) Cherokee Game Land in Ashe County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (17) Cherry Farm Game Land in Wayne County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
  - (C) The use of centerfire rifles and handguns is prohibited.
- (18) Chowan Game Land in Chowan County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (19) Chowan Swamp Game Land in Gates County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (20) Columbus County Game Land in Columbus County.
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (21) Croatan Game Land in Carteret, Craven and Jones counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (C) Waterfowl may be taken only on Mondays, Wednesdays, Saturdays; on Thanksgiving, Christmas and New Year's Days; and on the opening and closing days of the applicable waterfowl seasons.
  - (D) Bear season extends from the second Monday in November through the following Saturday in that portion in Jones and Craven counties and runs with the county season in Carteret.
- (22) Dare Game Land in Dare County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
  - (C) No hunting on posted parts of bombing range.
  - (D) The use and training of dogs is prohibited from March 1 through June 30.
- (23) <u>Dupont State Forest Game Lands in Henderson and Transylvania counties</u>
  - (A) Hunting is by Permit only.
  - (B) The training and use of dogs for hunting except during scheduled small game permit hunts for squirrel, grouse, rabbit, or quail is

#### prohibited.

- (24) Dysartsville Game Land in McDowell and Rutherford counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (25) Elk Knob Game Land in Ashe and Watauga counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (26) Gardner-Webb Game Land in Cleveland County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (27) Goose Creek Game Land in Beaufort and Pamlico counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (C) On posted waterfowl impoundments waterfowl may be taken only on Mondays, Wednesdays, Saturdays; on Thanksgiving, Christmas and New Year's Days; and on the opening and closing days of the applicable waterfowl seasons. After November 1, on the Pamlico Point, Campbell Creek, and Spring Creek impoundments, a special permit is required for hunting on opening and closing days of the duck seasons, Saturdays of the duck seasons, and on Thanksgiving and New Year's day.
- (28) Green River Game Land in Henderson, Polk and Rutherford counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season in that portion in Rutherford County; and deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season in that portion in Polk and Henderson counties.
- (29) Green Swamp Game Land in Brunswick County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (30) Gull Rock Game Land in Hyde County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (C) On the posted waterfowl impoundments of Gull Rock Game Land hunting of any species of wildlife is limited to Mondays, Wednesdays, Saturdays; Thanksgiving, Christmas, and New

Year's Days; and the opening and closing days of the applicable waterfowl seasons.

- (31) Hickorynut Mountain Game Land in McDowell County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (32) Hofmann Forest Game Land in Jones and Onslow counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (33) Holly Shelter Game Land in Pender County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season. Deer of either sex may also be taken the Friday preceding the Eastern muzzle-loading season with any legal weapon and the Saturday preceding Eastern bow season with bow and arrow by participants in the Disabled Sportsman Program
  - (C) Waterfowl may be taken on the opening and closing days of the applicable waterfowl seasons regardless of the day of the week on which they occur.
- (34) Huntsville Community Farms Game Land in Yadkin County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season.
- (35) Hyco Game land in Person and Caswell counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (36) Jordan Game Land in Chatham, Durham, Orange and Wake counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (C) Waterfowl may be taken only on Mondays, Wednesdays, Saturdays; on Thanksgiving, Christmas and New Year's Days; and on the opening and closing days of the applicable waterfowl seasons.
  - (D) Horseback riding, including all equine species, is prohibited.
  - (E) Target shooting is prohibited.
- (37) Lantern Acres Game Land in Tyrrell and Washington counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible

#### Antlers Season.

- (38) Lee Game Land in Lee County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (39) Linwood Game Land in Davidson County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (40) Moore Game Land in Moore County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (41) Nantahala Game Land in Cherokee, Clay, Graham, Jackson, Macon, Swain and Transylvania counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season in that portion located in Transylvania County.
  - (C) Raccoon and opossum may be hunted only from sunset Friday until sunrise on Saturday and from sunset until 12:00 midnight on Saturday on Fires Creek Bear Sanctuary in Clay County and in that part of Cherokee County north of US 64 and NC 294, east of Persimmon Creek and Hiwassee Lake, south of Hiwassee Lake and west of Nottely River; in the same part of Cherokee County dog training is prohibited from March 1 to the Monday on or nearest October 15.
  - (D) It is unlawful to train dogs or allow dogs to run unleashed on any game land in Graham County between March 1 and the Monday on or nearest October 15.
- (42) Neuse River Game Land in Craven County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (43) New Lake Game Land in Hyde County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (44) North River Game Land in Currituck County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (45) Northwest River Marsh Game Land in Currituck County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable

- Deer With Visible Antlers Season.
- (46) Pee Dee River Game Land in Anson, Montgomery, Richmond and Stanly counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (C) Use of centerfire rifles prohibited in that portion in Anson and Richmond counties North of US-74.
- (47) Perkins Game Land in Davie County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season.
- (48) Person Game Land in Person County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
  - (C) Waterfowl may be taken only on Tuesdays, Thursdays and Saturdays, Christmas and New Year's Days, and on the opening and closing days of the applicable waterfowl seasons.
- (49) Pisgah Game Land in Avery, Buncombe, Burke, Caldwell, Haywood, Henderson, Madison, McDowell, Mitchell, Transylvania, Watauga and Yancey counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season except on that portion of Avery County north of the Blue Ridge Parkway.
  - (C) Harmon Den and Sherwood Bear Sanctuaries in Haywood County are closed to hunting raccoon. opossum and wildcat. Training raccoon and opossum dogs is prohibited from March 1 to the Monday on or nearest October 15 in that part of Madison County north of the French Broad River, south of US 25-70 and west of SR 1319.
- (50) Pungo River Game Land in Hyde County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (51) Roanoke River Wetlands in Bertie, Halifax and Martin counties
  - (A) Hunting is by Permit only. Vehicles are prohibited on roads or trails except those operated on official Commission business or by permit holders.
- (52) Robeson Game Land in Robeson County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (53) Sampson Game Land in Sampson County

- (A) Three Days per Week Area
- (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
- (54) Sandhills Game Land in Moore, Richmond and Scotland counties
  - (A) Three Days per Week Area
  - (B) The Deer With Visible Antlers season for deer consists of the open hunting dates from the second Monday before Thanksgiving through the third Saturday after Thanksgiving except on the field trial grounds where the gun season is from the second Monday before Thanksgiving through the Saturday following Thanksgiving. Deer may be taken with bow and arrow on all open hunting dates during the bow and arrow season, as well as during the regular gun Deer may be taken with season. firearms muzzle-loading on Monday. Wednesday and Saturday of the second week before Thanksgiving week, and during the Deer With Visible Antlers season.
  - (C) Gun either-sex deer hunting is by permit only the Thursday and Friday before Thanksgiving Week. For participants in the Disabled Sportsman Program, either-sex deer hunting with any legal weapon is permitted on all areas the Thursday and Friday prior to the muzzle-loading season described in the preceding paragraph. Except for the deer seasons indicated in the preceding paragraph and the managed either-sex permit hunts, the field trial grounds are closed to all hunting during the period October 22 to March 31.
  - (D) In addition to the regular hunting days, waterfowl may be taken on the opening and closing days of the applicable waterfowl seasons
  - (E) Wild turkey hunting is by permit only.
  - (F) Dove hunting on the field trial grounds will be prohibited from the second Sunday in September through the remainder of the hunting season.
  - (G) No All Terrain Vehicles shall be used on the J.
    Robert Gordon Field Trial Area except by licensed hunters pursuant to 15A NCAC 10D .0002(m).
- (55) Sauratown Plantation Game Land in Stokes County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season.
- (56) Shearon Harris Game Land in Chatham and Wake counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.

- (C) Waterfowl may be taken only on Tuesdays, Fridays, Saturdays; on Thanksgiving, Christmas and New Year's Days; and on the opening and closing days of the applicable waterfowl seasons.
- (57) South Mountains Game Land in Burke and Cleveland counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (58) Sutton Lake Game Land in New Hanover County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (59) Three Top Mountain Game Land in Ashe County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (60) Thurmond Chatham Game Land in Wilkes County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season. Participants of the Disabled Sportsman Program may also take either-sex deer with bow and arrow on the Saturday prior to Northwestern bow and arrow season.
  - (C) Horseback riding is only allowed during June, July, and August and on Sundays during the remainder of the year except during open turkey and deer seasons. Horseback riding is allowed only on roads opened to vehicular traffic. Participants must obtain a game lands license prior to horseback riding on this area.
- (61) Toxaway Game Land in Transylvania County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last open day of the applicable Deer With Visible Antlers Season. Participants of the Disabled Sportsman Program Deer may also take deer of either sex with any legal weapon on the Saturday prior to the first segment of the Western bow and arrow season.
- (62) Uwharrie Game Land in Davidson, Montgomery and Randolph counties
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the first six days and the last six days of the applicable Deer With Visible Antlers Season.
- (63) Vance Game Land in Vance County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
  - (C) The use of dogs, centerfire rifles and handguns for hunting deer is prohibited on the Nutbush

Peninsula tract.

- (64) White Oak River Impoundment Game Land in Onslow County
  - (A) Three Days per Week Area
  - (B) Deer of either sex may be taken all the open days of the applicable Deer With Visible Antlers Season.
  - (C) Waterfowl may be taken on the opening and closing days of the applicable waterfowl seasons regardless of the day of the week on which they occur.
- (65) Yadkin Game Land in Caldwell County
  - (A) Six Days per Week Area
  - (B) Deer of either sex may be taken the last six open days of the applicable Deer With Visible Antlers Season.
- (g) On permitted type hunts deer of either sex may be taken on the hunt dates indicated on the permit. Completed applications must be received by the Commission not later than the first day of September next preceding the dates of hunt. Permits shall be issued by random computer selection, shall be mailed to the permittees prior to the hunt, and shall be nontransferable. A hunter making a kill must tag the deer and report the kill to a wildlife cooperator agent.
- (h) The following game lands and refuges shall be closed to all hunting except to those individuals who have obtained a valid and current permit from the Wildlife Resources Commission:

Bertie, Halifax and Martin counties--Roanoke River Wetlands:

Bertie County--Roanoke River National Wildlife Refuge.

Burke County--John's River Waterfowl Refuge

Dare County--Dare Game Lands (Those parts of bombing range posted against hunting)

Davie--Hunting Creek Swamp Waterfowl Refuge

Gaston, Lincoln and Mecklenburg counties--Cowan's Ford Waterfowl Refuge.

Authority G.S. 113-134; 113-264; 113-291.2; 113-291.5; 113-305.

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Notice is hereby given in accordance with G.S. 150B-21.2 that the Commission for Health Services intends to amend rule(s) cited as 15A NCAC 13B.1301 and .1624. Notice of Rulemaking Proceedings was published in the Register for 15A NCAC 13B.1301 on December 15, 1997 and for 15A NCAC 13B.1624 on April 1, 1998.

Proposed Effective Date: April 1, 1999

A Public Hearing will be conducted at 2:30 p.m. on August 5, 1998 at the Archdale Building, Groundfloor Hearing Room, 512 N. Salisbury Street, Raleigh, NC.

#### Reason for Proposed Action:

15A NCAC 13B.1301 - The Commission for Health Services has been directed by Session Law 1997-517 (formerly SB 1055)

to adopt a rule that requires that disposition of a "recognizable fetus" be carried out by cremation or burial. The legislature did not define "recognizable fetus." Rule .1301 was amended to implement the legislature's directive; it has been adopted as a temporary rule (effective December 22, 1997). The Commission is now being asked to adopt the temporary rule as a permanent rule

15A NCAC 13B.1624 - The NC General Assembly has required this agency to adopt a rule regarding design criteria for msw landfills that complies with federal law and provides for alternate landfill liners that are at least as protective as the liner currently authorized under the rules of the Commission for Health Services. The Commission is required to adopt this rule as a temporary rule no later than July 1, 1998. The agency wishes to make the change in rules permanent so that the economic benefit conferred under the temporary amendment will be continued.

Comment Procedures: Comments, statements, data and other information may be submitted in writing within 30 days after the date of publication of this issue of the North Carolina Register. Comments should be submitted to Joan Troy, Solid Waste Section, 401 Oberlin Rd., Suite 150, Raleigh, NC 27611, or phone (919) 733-0692, ext. 271.

**Fiscal Note:** 15A NCAC 13B .1301 does not affect the expenditures or revenues of state or local government funds. This Rule does not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

**Fiscal Note:** 15A NCAC 13B .1624 affects the expenditures or revenues of local government funds. This Rule does have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 13 - SOLID WASTE MANAGEMENT**

#### **SUBCHAPTER 13B - SOLID WASTE MANAGEMENT**

#### SECTION .1300 - DISPOSITION OF FETAL REMAINS

#### .1301 MANNER OF DISPOSITION OF FETAL REMAINS

- (a) All facilities authorized to terminate pregnancies, and all medical or research laboratories or facilities to which the remains of terminated pregnancies are sent by facilities authorized to terminate pregnancies, shall dispose of the remains of terminated pregnancies by either:
  - (1) burial:
  - (2) cremation; or
  - (3) incineration in accordance with 15A NCAC 13B.
- (b) The obligation to dispose of the remains of terminated pregnancies by a facility authorized to terminate pregnancies ceases as to any remains of terminated pregnancies that the facility has sent to a medical or research laboratory or facility.

All hospitals, other medical facilities or medical or research laboratories shall dispose of fetal remains by burial, cremation

or incineration in accordance with 15A NCAC 13B .1200, except that burial or cremation shall be the only methods of disposal of recognizable fetuses. For purposes of this Rule, a recognizable fetus means a fetus that has developed beyond completion of the second trimester of gestation, consistent with G.S. 90-210.20(c1).

Authority G.S. 130A-131.10; 130A-309.26.

#### SECTION .1600 - REQUIREMENTS FOR MUNICIPAL SOLID WASTE LANDFILL FACILITIES (MSWLFs)

#### .1624 CONSTRUCTION REQUIREMENTS FOR MSWLF FACILITIES

- (a) This Rule establishes the performance standards and minimum criteria for designing and constructing a new MSWLF unit or lateral expansion of existing MSWLF units. Additional standards for the cap system are described in Rule .1627 of this Section
- (b) New MSWLF units and lateral expansions shall comply with the following design and construction criteria:
  - (1) Base liner system description. The base liner system is constructed on the landfill subgrade and shall be designed to efficiently contain, collect and remove leachate generated by the MSWLF unit. At a minimum, the components of the liner system shall consist of the following.
    - (A) A Base Liner. The base liner shall consist of one of the following designs. The design described in Subpart (b)(1)(A)(i) of this Rule is the standard composite liner. If a landfill owner or operator proposes to utilize one of the alternative composite liner designs described in Subparts (b)(1)(A)(ii) and (iii) of this Rule, the owner or operator shall demonstrate through a model acceptable to the Division that the proposed design will ensure that maximum concentration levels (MCLs) listed in Table 1 will not be exceeded in the uppermost aquifer at the relevant point of compliance as established in Rule .1631(a)(2) of this Section. For these two designs, the Division may waive the site-specific modeling requirement if it can be demonstrated that a previous site for which a model was approved had similar hydrogeologic characteristics, climatic factors and volume and physical and chemical leachate characteristics. If an alternative liner design other than Subparts (b)(1)(A)(ii) and (iii) of this Rule is proposed, the Division shall require site-specific, two-phase modeling as described in Subpart (b)(1)(A)(iv) of this Rule.
      - (A)(i) A composite liner. liner utilizing a compacted clay liner (CCL). The composite liner is one liner which that consists of two components; a geomembrane liner installed above and in direct and uniform contact with a

- compacted clay liner. liner with a minimum thickness of 24 inches (0.61 m) and a permeability of no more than 1.0 X 10<sup>-7</sup> cm/sec. The composite liner shall be designed and constructed in accordance with Subparagraphs (b)(8) and (9). (10) of this Rule.
- (ii) A composite liner utilizing a geosynthetic clay liner (GCL). The composite liner is one liner that consists of three components: a geomembrane liner installed above and in uniform contact with a GCL overlying a compacted clay liner with a minimum thickness of 18 inches (0.46 m) and a permeability of no more than 1.0 X 10<sup>-5</sup> cm/sec. The composite liner shall be designed and constructed in accordance with Subparagraphs (b)(8), (9), and (10) of this Rule.
- (iii) A composite liner utilizing two geomembrane liners. The composite liner consists of three components; two geomembrane liners each with an overlying leachate drainage system designed to reduce the maximum predicted head acting on the lower membrane liner to less than one inch. The lower membrane liner shall overlie a compacted clay liner with a minimum thickness of 12 inches (0.31m) and a permeability of no more than 1.0 X 10-5 cm/sec. The composite liner system shall be designed and constructed in accordance with Subparagraphs ((b)8) and (10) of this Rule.
- (iv) An alternative base liner. An alternative base liner system may be approved by the Division if the owner or operator demonstrates through a two-phase modeling approach acceptable to the Division that the alternative liner design meets the following criteria:
  - (I) the rate of leakage through the alternative liner system will be less than or equal to the composite liner system defined in Subpart (b)(1)(A)(i) of this Rule; and
  - (11) the design will ensure that concentration values listed in Table 1 will not be exceeded in the uppermost aquifer at the relevant point of compliance as established in Rule .1631(a)(2) of this Section.
- (B) A leachate collection system (LCS). The LCS is constructed directly above the composite

<u>base</u> liner and shall be designed to effectively collect and remove leachate from the MSWLF unit. The secondary function of the LCS is to establish a zone of protection between the <u>composite base</u> liner and the waste. The LCS shall be designed and constructed in accordance with Subparagraphs (b)(2). (10). (11), and (12). (12) and (13) of this Rule.

- (2) Leachate collection system design and operation.
  - (A) The leachate collection system shall be hydraulically designed to remove leachate from the landfill and ensure that the leachate head on the composite liner does not exceed one foot. A means of quantitatively assessing the performance of the leachate collection system under uniform conditions must be provided in the engineering plan. The performance analysis must evaluate the flow capacities of the pipe drainage network necessary to convey leachate to the storage facility or off-site transport location. The engineering evaluation shall incorporate the following criteria:
    - (i) At a minimum, the impingement rate on the drainage layer shall be equal to the peak monthly precipitation rate to evaluate the relationship between base slope, drainage layer permeability, and collector pipe spacing.
    - (ii)(i) At a minimum, the geometry of the landfill and the leachate collection system shall be designed to control and contain the volume of leachate generated by the 24-hour, 25-year storm.
    - (iii) The performance analysis shall evaluate the leachate collection system for the flow capacities during conditions when the maximum impingement rate occurs on the LCS. Collection pipe The LCS flow capacity shall be sized designed to drain the critical volume of leachate reduce the head on the liner system generated by the 24-hour. 25-year storm to less than one foot within 72 hours after the storm event. in a specified period of time.
  - (B) The leachate collection system shall be designed to provide a zone of protection at least 24 inches thick separating the composite liner from landfilling activities. activities, or shall be subject to approval from the Division upon a demonstration of equivalent protection for the liner system.
  - (C) The leachate collection system shall <u>be</u>
    <u>designed to resist include a drainage layer</u>, a
    <u>pipe network with clean-outs</u>, and the
    <u>necessary filters designed to prevent physical</u>
    clogging and promote leachate collection and
    removal from the landfill.
  - (D) The leachate collection system shall be

- operated to remove leachate from the landfill in such a way as to ensure that the leachate head on the composite liner does not exceed one foot under normal operating conditions.
- (3) Horizontal separation requirements.
  - (A) Property line buffer. New MSWLF units at a new facility shall establish a minimum 300-foot buffer between the MSWLF unit and all property lines.
  - (B) Private residences and wells. All MSWLF units at a new facility shall establish a minimum 500-foot buffer between the MSWLF unit and existing private residences and wells.
  - (C) Surface waters. All MSWLF units at new facilities shall establish a minimum 50-foot buffer between the MSWLF unit and any stream, river, or lake, unless the owner or operator can demonstrate:
    - (i) To the Division that the alternative management of the water and any discharge will adequately protect the public health and environment; and
    - (ii) That the construction activities will conform to the requirements of Sections 404 and 401 of the Clean Water Act.
  - (D) Existing landfill units. An adequate buffer distance shall be established between a new MSWLF unit and any existing landfill units to establish a ground-water monitoring system as set forth in Rule .1631 of this Section.
  - (E) Existing facility buffers. At a minimum, a lateral expansion or new MSWLF unit at an existing facility shall conform to the requirements of the effective permit.
- (4) Vertical separation requirements. A MSWLF unit shall be constructed so that the post settlement bottom elevation of the base liner system is a minimum of four feet above the seasonal high groundwater table and bedrock. The nature of the materials establishing this separation shall be subject to Division approval.
- (5) Survey control. One permanent benchmark of known elevation measured from a U.S. Geological Survey benchmark shall be established and maintained for each 50 acres of developed landfill, or part thereof, at the landfill facility. This benchmark shall be the reference point for establishing vertical elevation control.
- (6) Location coordinates. The North Carolina State Plane (NCSP) coordinates shall be established and one of its points shall be the benchmark of known NCSP coordinates.
- (7) Landfill subgrade. The landfill subgrade is the in-situ soil layer(s), constructed embankments, and select fill providing the foundation for construction of the unit. A foundation analysis shall be performed to determine the structural integrity of the subgrade to support the

loads and stresses imposed by the weight of the landfill and to support overlying facility components and maintain their integrity of the components. Minimum post-settlement slope for the subgrade shall be two percent. Safety factors shall be adequately specified for facilities located in a Seismic Impact Zones.

- (A) Materials required. The landfill subgrade shall be adequately free of organic material and consist of in-situ soils or a select fill if approved by the Division.
- (B) Construction requirements.
  - (i) The landfill subgrade shall be graded in accordance with the Division approved plans and specifications.
  - (ii) The owner or operator of the MSWLF units may be required by the permit to notify the Division's hydrogeologist and inspect the subgrade when excavation is completed or if bedrock or other unpredicted subsurface conditions are encountered during excavation.
- (C) Certification requirements. At a minimum, the subgrade surface shall be inspected in accordance with the following requirements:
  - (i) Before beginning construction of the base liner system, the project engineer shall visually inspect the exposed surface to evaluate the suitability of the subgrade and document that the surface is properly prepared and that the elevations are consistent with the Division approved engineering plans;
  - (ii) The subgrade shall be proof-rolled using procedures and equipment specified by the design or project engineer; and
  - (iii) The subgrade shall be tested for density and moisture content at a minimum frequency specified in the Division approved plans.
- (8) Compacted clay liners. Compacted clay liners are low permeability barriers designed to control fluid migration in a cap liner system or base liner system.
  - (A) Materials required. The soil materials used in constructing a compacted clay liner may consist of on-site or off-site sources, or a combination of sources; sources may possess adequate native properties or may require bentonite conditioning to meet the permeability requirement. The soil material shall be free of particles greater than three inches in any dimension.
    - (i) For the base liner system, the compacted clay-liner shall be constructed with a minimum thickness of 24 inches (0.61 m) and a permeability of no more than 1 X 10<sup>-7</sup> cm/sec.
    - (ii) For the cap system, the compacted clay liner—shall—be—constructed—with—a

minimum thickness of 18 inches (0.46 m) and a permeability of no more than 1 X 10<sup>-5</sup> cm/sec.

- (B) Construction requirements. Construction methods for the compacted clay liner shall be based upon the type and quality of the borrow source and shall be verified in the field by constructing test pad(s). The project engineer shall ensure that the compacted clay liner installation conforms with the Division approved plans including the following minimum requirements:
  - A test pad shall be constructed prior to beginning installation of the compacted clay liner and whenever there is a significant change in soil material properties. The area and equipment, liner thickness, and subgrade slope and conditions shall be representative of full scale construction. Acceptance and rejection criteria shall be verified for the tests specified in accordance with Part (C) of this Subparagraph. For each lift, a minimum of three test locations shall be established for testing moisture content, density, and a composite recompacted sample for lab permeability. At least one shelby tube sample for lab permeability testing, or another in situ test approved by the Division, shall be obtained per lift.
  - (ii) Soil conditioning, placement, and compaction shall be maintained within the range identified in the moisture-density-permeability relation developed in accordance with Subparagraph (C) of this Paragraph.
  - (iii) The final compacted thickness of each lift shall be a maximum of six inches.
  - (iv) Prior to placement of successive lifts, the surface of the lift in place shall be scarified or otherwise conditioned to eliminate lift interfaces.
  - (v) The final lift shall be adequately protected from environmental degradation.
- (C) Certification requirements. The project engineer shall include in the construction quality assurance report a discussion of all quality assurance and quality control testing required in this Subparagraph. The testing procedures and protocols shall be submitted in accordance with Rule .1621 of this Section and approved by the Division. The results of all testing shall be included in the construction quality assurance report including documentation of any failed test results, descriptions of the procedures used to correct

the improperly installed material, and statements of all retesting performed in accordance with the Division approved plans including the following requirements:

- (i) At a minimum, the quality control testing for accepting materials prior to and during construction of a compacted clay liner shall include: particle size distribution analysis. Atterberg limits, triaxial cell laboratory permeability, moisture content, percent bentonite admixed with soil, and the moisture-density-permeability relation. The project engineer shall certify that the materials used in construction were tested according to the Division approved plans.
- (ii) At a minimum, the quality assurance testing for evaluating each lift of the compacted clay liner shall include: moisture content and density, and permeability testing. For each location the moisture content and density shall be compared to the appropriate moisture-density-permeability relation. The project engineer shall certify that the liner was constructed using the methods and acceptance criteria consistent with test pad construction and tested according to the Division approved plans.
- (iii) Any tests resulting in the penetration of the compacted clay liner shall be repaired using bentonite or as approved by the Division.
- (9) Geosynthetic Clay liners. Geosynthetic clay liners are geosynthetic hydraulic barriers manufactured in sheets and installed by field seaming techniques.
  - (A) Materials required. Geosynthetic clay liners shall consist of natural sodium bentonite clay or equivalent, encapsulated between two geotextiles or adhered to a geomembrane. The liner material and any seaming materials shall have chemical and physical resistance not adversely affected by environmental exposure. waste placement, leachate generation and subgrade moisture composition. Accessory bentonite, used for seaming, repairs and penetration seaming shall be made from the same sodium bentonite as used in the geosynthetic clay liner or as recommended by the manufacturer. The type of geosynthetic clay liner shall be approved by the Division according to the criteria set forth in this Part.
    - (i) Reinforced geosynthetic clay liners shall be used on all slopes greater than 10H:1V.
    - (ii) The geosynthetic clay liner material shall have a demonstrated hydraulic

conductivity of not more than 5.0 X 10<sup>-9</sup> cm/sec under the anticipated confining pressure.

- (B) Design and Construction requirements. The design engineer shall ensure that the design of the geosynthetic clay liner installation conforms to the requirements of the manufacturer's recommendations and the Division approved plans. The Division approved plans shall provide for and include the following provisions:
  - (i) The surface of the supporting soil upon which the geosynthetic clay liner will be installed shall be reasonably free of stones, organic matter, protrusions, loose soil, and any abrupt changes in grade that could damage the geosynthetic clay liner;
  - (ii) Materials placed on top of the GCL shall be placed according to Division approved plans. Equipment used to install additional geosynthetics shall be specified by the design engineer and as recommended by the manufacturer. A minimum of 12 inches of separation between the application equipment and the geosynthetic clay liner shall be provided when applying soil materials:
  - (iii) Materials which become prematurely hydrated shall be removed, repaired, or replaced, as specified by the project engineer and the Division approved plans.
  - (iv) Field seaming preparation and methods, general orientation criteria, and restrictive weather conditions;
  - (v) Anchor trench design;
  - (vi) Critical tensile forces and slope stability, including seismic design:
  - (vii) Protection from environmental damage: and
  - (viii) Physical protection from the materials installed directly above the geosynthetic clay liner.
- (C) Certification requirements.
  - (i) The project engineer shall ensure that the geosynthetic clay installation conforms to the requirements of the manufacturer's recommendations and the Division approved plans.
  - (ii) The project engineer shall include in the construction quality assurance report a discussion of quality assurance and quality control testing to document that material is placed according to the approved plans.
  - (iii) The project engineer shall include in the construction quality assurance report a

discussion of the approved data resulting from the quality assurance and quality control testing required in this Subparagraph.

(iv) The testing procedures and protocols for field installation shall be submitted in accordance with Rule .1621 of this Section and approved by the Division.

- (v) The results of all testing shall be included in the construction quality assurance report, including documentation of any failed test results, descriptions of the procedures used to correct the improperly installed material, and statements of all retesting performed in accordance with the Division approved plans including the following:
  - (1) Quality control testing of the raw materials and manufactured product;

(11) Field and independent laboratory destructive testing of geosynthetic clay liner samples;

(III) Before beginning installation of the geosynthetic clay liner, the project engineer shall visually inspect the exposed surface to evaluate the suitability of the subgrade and document that the surface is properly prepared and that the elevations are consistent with the Division approved engineering plans.

Geomembrane liners. Geomembrane liners are geosynthetic hydraulic barriers manufactured in sheets and installed by field seaming techniques.

- (A) Materials required. The geomembrane liner material shall have a demonstrated water vapor transmission rate of not more than 0.03 gm/m²-day. The liner material and any seaming materials shall have chemical and physical resistance not adversely affected by environmental exposure, waste placement and leachate generation. The type of geomembrane shall be approved by the Division according to the criteria set forth in this Part.
  - (i) High density polyethylene geomembrane liners shall have a minimum thickness of 60 mils.
  - (ii) The minimum thickness of any geomembrane approved by the Division shall be greater than 30 mils.
- (B) Construction requirements. The project engineer shall ensure that the geomembrane installation conforms to the requirements of the manufacturer's recommendations and the Division approved plans including the

following:

- (i) The surface of the supporting soil upon which the geomembrane will be installed shall be reasonably free of stones, organic matter, protrusions, loose soil, and any abrupt changes in grade that could damage the geomembrane;
- (ii) Field seaming preparation and methods, general orientation criteria, and restrictive weather conditions;
- (iii) Anchor trench design;
- (iv) Critical tensile forces and slope stability;
- (v) Protection from environmental damage; and
- (vi) Physical protection from the materials installed directly above the geomembrane.
- (C) Certification requirements. The project engineer shall include in the construction quality assurance report a discussion of the approved data resulting from the quality assurance and quality control testing required in this Subparagraph. The testing procedures and protocols for field installation shall be submitted in accordance with Rule .1621 of this Section and approved by the Division. The results of all testing shall be included in the construction quality assurance report including documentation of any failed test results, descriptions of the procedures used to correct the improperly installed material, and statements of all retesting performed in accordance with the Division approved plans including the following:
  - (i) Quality control testing of the raw materials and manufactured product;
  - (ii) At a minimum, test seams shall be made upon each start of work for each seaming crew, upon every four hours of continuous seaming, every time seaming equipment is changed or if significant changes in geomembrane temperature and weather conditions are observed;
  - (iii) Nondestructive testing of all seams; and
  - (iv) Field and independent laboratory destructive testing of seam samples.

Leachate collection pipes. A leachate collection pipe network shall be a component of the leachate collection system and shall be hydraulically designed to convey leachate from the MSWLF unit to an appropriately sized leachate storage or treatment facility or a point of off-site transport. Leachate collection piping shall comply with the following:

- (A) Materials required.
  - (i) The leachate collection piping shall have a minimum nominal diameter of six

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- inches.
- (ii) The chemical properties of the pipe and any materials used in installation shall not be adversely affected by waste placement or leachate generated by the landfill.
- (iii) The physical properties of the pipe shall provide adequate structural strength to support the maximum static and dynamic loads and stresses imposed by the overlying materials and any equipment used in construction and operation of the landfill. Specifications for the pipe shall be submitted in the engineering report.
- (B) Construction requirements.
  - (i) Leachate collection piping shall be installed according to the Division approved plan.
  - (ii) The location and grade of the piping network shall provide access for periodic cleaning.
  - (iii) The bedding material for the leachate collection pipe shall consist of a coarse aggregate installed in direct contact with the pipe. The aggregate shall be chemically compatible with the leachate generated and shall be placed to provide adequate support to the pipe. The bedding material for main collector lines shall be extended to and in direct contact with the waste layer or a graded soil or granular filter.
- (C) Certification requirements. The project engineer shall include in the construction quality assurance report a discussion of the quality assurance and quality control testing to ensure that the material is placed according to the approved plans. The testing procedures and protocols for field installation shall be submitted in accordance with Rule .1621 of this Section and approved by the Division. The results of all testing shall be included in the construction quality assurance report including documentation of any failed test results, descriptions of the procedures used to correct the improperly installed material, and statements of all retesting performed in accordance the Division approved plans including the following:
  - (i) All leachate piping installed from the MSWLF unit to the leachate storage or treatment facility shall be watertight.
  - (ii) The seal where the piping system penetrates the geomembrane shall be inspected and non-destructively tested for leakage.

(11)(12) Drainage layers. Any soil, granular, or geosynthetic

drainage nets used in the leachate collection system shall conform to the following requirements:

- (A) Materials required.
  - (i) The chemical properties of the drainage layer materials shall not be adversely affected by waste placement or leachate generated by the landfill.
  - (ii) The physical and hydraulic properties of the drainage layer materials shall promote lateral drainage of leachate through a zone of relatively high permeability or transmissivity under the predicted loads imposed by overlying materials.
- (B) Construction requirements.
  - (i) The drainage layer materials shall be placed according to the Division approved plans and in a manner which prevents equipment from working directly on the geomembrane.
  - (ii) The drainage layer materials shall be stable on the slopes specified on the engineering drawings.
- (C) Certification requirements. The project engineer shall include in the construction quality assurance report a discussion of the quality assurance and quality control testing to ensure that the drainage layer material is placed according to the approved plans. The testing procedures and protocols for field installation shall be submitted in accordance with of Rule .1621 of this Section and approved by the Division. The results of all testing shall be included in the construction quality assurance report including documentation of any failed test results, descriptions of the procedures used to correct the improperly installed material, and statements of all retesting performed in accordance with the Division approved plans.

(12)(13) Filter layer criteria. All filter collection layers used in the leachate collection system shall be designed to prevent the migration of fine soil particles into a courser grained material, and permit water or gases to freely enter a drainage medium (pipe or drainage layer) without clogging.

- (A) Materials required.
  - (i) Graded cohesionless soil filters. The granular soil material used as a filter shall have no more than five percent by weight passing the No. 200 sieve and no soil particles larger than three inches in any dimension.
  - (ii) Geosynthetic filters. Geosynthetic filter materials shall demonstrate adequate permeability and soil particle retention, and chemical and physical resistance which is not adversely affected by waste placement. any overlying material or

leachate generated by the landfill.

- (B) Construction requirements. All filter layers shall be installed in accordance with the approved engineering plan and specifications. Geosynthetic filter materials shall not be wrapped directly around leachate collection piping.
- (C) Certification requirements. The project engineer shall include in the construction quality assurance report a discussion of the quality assurance and quality control testing to ensure that the filter layer material is placed according to the approved plans. The testing procedures and protocols for field installation shall be submitted in accordance with Rule .1621 of this Section and approved by the Division. The results of all testing shall be included in the construction quality assurance report including documentation of any failed test results, descriptions of the procedures used to correct the improperly installed material, and statements of all retesting performed in accordance with the Division approved plans.

Special engineering structures. Engineering structures incorporated in the design and necessary to comply with the requirements of this Section shall be specified in the engineering plan. Material, construction, and certification requirements necessary to ensure that the structure is constructed according to the design and acceptable engineering practices shall be included in the Division approved plan.

(14)(15) Sedimentation and erosion control. Adequate structures and measures shall be designed and maintained to manage the run-off generated by the 24-hour, 25-year storm event, and conform to the requirements of the Sedimentation Pollution Control Law (15A NCAC 4).

(15)(16) Construction quality assurance (CQA) report.

(A) A CQA report shall be submitted:

- (i) After completing landfill construction in order to qualify the constructed MSWLF unit for a permit to operate;
- (ii) After completing construction of the cap system in accordance with the requirements of Rule .1629; and
- (iii) According to the reporting schedule developed in accordance with Rule .1621 of this Section.
- (B) The CQA report shall include, at a minimum, the information prepared in accordance with the requirements of Rule .1621 of this Section containing results of all construction quality assurance and construction quality control testing required in this Rule including documentation of any failed test results, descriptions of procedures used to correct the improperly installed material and results of all retesting performed. The CQA report shall contain as-built drawings noting any deviation from the approved engineering plans and shall also contain a comprehensive narrative including but not limited to daily reports from the project engineer and a series of color photographs of major project features.
- (C) The CQA report shall bear the seal of the project engineer and a certification that construction was completed in accordance with:
  - (i) The CQA plan;
  - (ii) The conditions of the permit to construct;
  - (iii) The requirements of this Rule; and
  - (iv) Acceptable engineering practices.
- (D) The Division shall review the CQA report within 30 days of a complete submittal to ensure that the report meets the requirements of this Subparagraph.

Table 1

CHEMICAL	MCL(mg/l	
Arsenic	0.05	
Barium	1.0	
Benzene	0.005	:
Cadmium	0.01	
Carbon Tetrachloride	0.005	
Chromium (hexavalent)	0.05	
2,4-Dichlorophenoxy acetic acid	0.1	

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1,4-Dichlorobenzene	0.075
1,2-Dichloroethane	0.005
1,1-Dichloroethylene	0.007
Endrin	0.0002
Fluoride	4
Lindane	0.004
Lead	0.05
Mercury	0.002
Methoxychlor	0.1
Nitrate	10.0
Selenium	0.01
Silver	0.05
Toxaphene	0.005
1,1,1-Trichloromethane	0.2
Trichloroethylene	0.005
2,4.5-Trichlorophenoxy acetic acid	0.01
Vinyl Chloride	0.002

Authority G.S. 130A-294.

#### TITLE 19A - DEPARTMENT OF TRANSPORTATION

Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Department of Transportation – Division of Highways intends to amend the rule cited as 194 NCAC 02D.0415. Notice of Rule-making Proceedings was published in the Register on March 16, 1998.

Proposed Effective Date: April 1, 1999

Instructions on How to Demand a Public Hearing A demand for a public hearing must be made in writing and mailed to Emily Lee, N.C. DOT, PO Box 25201, Raleigh, NC 27611. The demand must be received within 15 days of this Notice.

Reason for Proposed Action: The City of Elizabeth City requested this change in the drawbridge opening schedule on US 158 to alleviate traffic congestion. The U.S. Coast Guard concurred.

Comment Procedures: Any interested person may submit written comments on the proposed rule by mailing the comments to Emily Lee, N.C. DOT, PO Box 25201, Raleigh, NC 27611 by July 15, 1998.

**Fiscal Note:** This Rule does not affect the expenditures or revenues of state or local government funds. This Rule does not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 2 - DIVISION OF HIGHWAYS**

#### **SUBCHAPTER 2D - HIGHWAY OPERATIONS**

SECTION .0400 - FIELD OPERATIONS - MAINTENANCE AND EQUIPMENT

#### .0415 GENERAL REGULATIONS FOR DRAWBRIDGES

- (a) This Rule governs operation of drawbridges in North Carolina. All other drawbridges not specifically noted in this Rule operate under normal Coast Guard regulations which give preference to water-borne traffic. For purposes of this Rule, the term on signal means the boat operator sounds his signal as defined by standard navigational practices.
- (b) The draw on the bridge on US 17 over the Neuse River at New Bern shall open on signal except that the draw may remain closed from Monday through Friday from 6:30 a.m. to 8:30 a.m. and 4:00 p.m. to 6:00 p.m. for pleasure vessels. However, the draw shall open at 7:30 a.m. and 5:00 p.m. for any vessel waiting

to pass. The draw may remain closed on Sundays and Federal holidays from May 24 through September 8 from 2:00 p.m. to 7:00 p.m. for pleasure vessels except that the draw shall open at 4:00 p.m. and 6:00 p.m. for any vessels waiting to pass. The draw on this bridge shall always open on signal for public vessels of the United States, State, or local vessels used for public safety, tugs with tows and vessels in distress.

- (c) The draw on the bridge on US 70 Business over the Trent River at New Bern shall open on signal except that the draw may remain closed from Monday through Friday from 6:30 a.m. to 8:30 a.m. and 4:00 p.m. to 6:00 p.m. for pleasure vessels. However, the draw shall open at 7:30 a.m. and 5:00 p.m. for any vessel waiting to pass. The draw may remain closed on Sundays and Federal holidays from May 24 through September 8 from 2:00 p.m. to 7:00 p.m. for pleasure vessels except that the draw shall open on the hour and half hour for any vessels waiting to pass. The draw on this bridge shall always open on signal for public vessels of the United States, State, or local vessels used for public safety, tugs with tows, and vessels in distress.
- (d) The draw on the US 17B/Perquimans River Bridge at Hertford shall open on signal except that from midnight to 8:00 a.m. from April 1 through September 30, and from 10:00 p.m. through 10:00 a.m., from October 1 through March 31, the draw shall not open for the passage of vessels.
- (e) The bridge on US 17 over the Pamlico River at Washington shall open only upon 24-hour advance notice.
- (f) The bridge on SR 1565 over the Tar River at Grimesland shall open only upon 24-hour advance notice.
- (g) The bridge on US 117-NC 133 over Smith's Creek just north of Wilmington shall open only upon 24-hour advance notice.
- (h) The draw on the bridge on US 70 over Beaufort Channel in Beaufort shall open on signal except that from 6:00 a.m. to 10:00 p.m. the draw shall open for all vessels on signal every hour on the hour, 20 minutes past the hour, and 40 minutes past the hour.
- (i) The draw on the NC 50/Intracoastal Waterway Bridge at Surf City shall open on signal, except that from 7:00 a.m. to 7:00 p.m. the draw shall open for pleasure craft if signaled only on the hour.
- (j) The draw on the bridge on US 74/76 over Intracoastal Waterway at Wrightsville Beach shall open on signal, except that from 7:00 a.m. to 7:00 p.m. the draw shall open for pleasure craft if signaled only on the hour.
- (k) The draw on the bridge on SR 1172 over Intracoastal Waterway at Sunset Beach shall open on signal, except that from 7:00 a.m. to 7:00 p.m. the draw shall open for pleasure craft if signaled on the hour from April 1 to November 30. the hour on signal for pleasure craft between 7:00 a.m. and 7:00 p.m., April 1 through November 30, except that on Saturdays, Sundays, and Federal holidays, from June 1 through September 30 the draw shall open on the hour on signal for pleasure craft between 7:00 a.m. and 9:00 p.m.

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Authority G.S. 136-18(5).

Notice is hereby given in accordance with G.S. 150B-21.2 that the North Carolina Department of Transportation - Division of Motor Vehicles intends to amend the rules cited as 19A NCAC 031 .0202, .0203, .0501, .0502, .0503. Notice of Rule-making Proceedings was published in the Register on March 16, 1998.

Proposed Effective Date: April 1, 1999

Instructions on How to Demand a Public Hearing A demand for a public hearing must be made in writing and mailed to Emily Lee, N.C. DOT, PO Box 25201, Raleigh, NC 27611. The demand must be received within 15 days of this Notice.

Reason for Proposed Action: G.S. 20-324 was amended in the 1997 Session of the General Assembly, effective July 1, 1997. The amended legislation changed the renewal dates and fees charged for commercial driver training schools. Proposed amendments to the rules reflect changes in the general statutes.

Comment Procedures: Any interested person may submit written comments on the proposed rule by mailing the comments to Emily Lee, N.C. DOT, PO Box 25201, Raleigh, NC 27611 by July 15, 1998.

**Fiscal Note:** These Rules do not affect the expenditures or revenues of state or local government funds. These Rules do not have a substantial economic impact of at least five million dollars (\$5,000,000) in a 12-month period.

#### **CHAPTER 3 - DIVISION OF MOTOR VEHICLES**

SUBCHAPTER 31 - RULES AND REGULATIONS
GOVERNING THE LICENSING OF
COMMERCIAL DRIVER TRAINING SCHOOLS
AND INSTRUCTIONS

SECTION .0200 - REQUIREMENTS AND APPLICATIONS FOR COMMERCIAL DRIVER TRAINING SCHOOLS

#### .0202 ORIGINAL APPLICATION

Each original application for a commercial driver training school license shall consist of the following:

- (1) Application for license;
- (2) Personal history statement (Form SBTS-601, available from the School Bus and Traffic Safety Section) of owner-operator or manager;
- (3) Proposed plan of operation;
- (4) Proof of liability insurance;
- (5) Sample copies of contracts;
- (6) A check or money order in the amount of forty dollars (\$40.00). eighty dollars (\$80.00). This fee is due for both original and renewal applications for license;
- (7) Certificate of assumed name:
- (8) Surety Bond;
- (9) A report from the appropriate government agency

- indicating that the location or locations meet fire safety standards:
- (10) A copy of the deed, lease, or other legal instruments authorizing the school to occupy such locations;
- (11) List of fees for all services offered by the school:
- (12) A copy of lease agreement if leasing vehicles; and
- (13) A copy of the business insurance covering injury to a student.

Authority G.S. 20-322 through 20-324.

#### .0203 RENEWAL APPLICATIONS

Renewal applications shall be made annually between May 1 and June 10 of each year. every two years. All licenses expire on June 30 of each year, the anniversary date, and no school is permitted to operate with an expired license. However, applications for renewal may be accepted for up to 30 days from the date of expiration. Any license expired for more than 30 days shall be deemed permanently lapsed; and renewal of such license must be by the same process as required for an entirely new school, with all forms and certifications being required.

Authority G.S. 20-322 through 20-324.

# SECTION 0500 - REQUIREMENTS AND APPLICATIONS FOR DRIVER TRAINING INSTRUCTOR

#### .0501 REOUIREMENTS

- (a) Each instructor of a commercial driver training school or branch shall:
  - (1) have at least four years of experience as a licensed operator of a motor vehicle:
  - (2) not have been convicted of a felony, or convicted of a misdemeanor involving moral turpitude, in the ten years immediately preceding the date of application:
  - (3) not have had a revocation or suspension of his driver's license in the five years immediately preceding the date of application:
  - (4) have graduated from high school or hold a high school equivalency certificate:
  - (5) not have had convictions for moving violations totaling five or more points in the three years preceding the date of application:
  - (6) have completed the 80-contact-hour, community-college course for driver education teachers: an equivalent course approved by the commissioner, or an Instructor Training Program conducted by an approved Commercial Driver Training School within four years prior to application:
  - (7) successfully complete the written test administered by a Driver Education Specialist: (Allowed only one retest)
  - (8) successfully complete the Miller Road Test given by a Driver Education Specialist: (Allowed only one retest)
  - (9) be given a three month probation period until evaluated and recommended by a Driver Education

- Specialist:
- (10) submit a criminal background check from the Clerk of Court for each county of residence for the past 10 years.
- (b) An applicant may apply for an instructor's learner's permit which would be valid for three months. To be eligible for an instructor's learner's permit, the applicant shall meet requirements in Paragraph (a)(1) through (6); and shall:
  - (1) submit an Instructor Application with an eight dollar (\$8.00) a sixteen dollar (\$16.00) application fee, copy of high school diploma or high school equivalency certificate, and physical examination form;
  - (2) successfully complete 40 hours of classwork as a student at an approved commercial driver training school to consist of:
    - (A) 30 hours in the basic driver education classwork:
    - (B) an additional 10 hours in practice teaching, writing lesson plans, reviewing the rules of this Subchapter, use of audio visual equipment and teaching aids and familiarization with commercial school forms:
  - (3) successfully complete six hours of behind-the-wheel training as a student at an approved commercial driver training school:
  - (4) successfully complete six hours of observation of behind-the-wheel instruction of a new driver by a licensed instructor trainer;
  - (5) successfully complete the written test administered by a Driver Education Specialist; (Allowed only one retest)
  - (6) successfully complete the Miller Road Test given by a Driver Education Specialist; (Allowed only one retest)
  - (7) shall after completing Subparagraphs (b)(1) through
     (6) practice teach in the presence of an instructor trainer:
  - (8) successfully complete two hours of classroom instruction while being observed by a Driver Education Specialist:
  - (9) successfully complete two hours of behind-the-wheel instruction while being observed by a Driver Education Specialist:
  - (10) be recommended by a Driver Education Specialist to receive an instructor's license.
- (c) An instructor at an approved commercial driver training school may apply for an Instructor Trainer license. The Instructor Trainer shall:
  - (1) have five consecutive years as an active licensed instructor:
  - (2) submit an application for Instructor Trainer License with a fee of eight sixteen dollars (\$8.00); (\$16.00);

- (3) complete two hours of classroom observation by a Driver Education Specialist while training instructors, not driver education students;
- (4) complete two hours of behind-the-wheel observation by a Driver Education Specialist while training instructors, not driver education students;
- (5) successfully complete the written test administered by a Driver Education Specialist; (Allowed only one retest)
- (6) successfully complete the Miller Road Test given by a Driver Education Specialist; (Allowed only one retest)
- (7) be recommended by a Driver Education Specialist;
- (8) must requalify each school year. every two years.

Authority G.S. 20-322; 20-323; 20-324.

#### .0502 ORIGINAL APPLICATION

Each original application for a commercial driver training instructor license shall consist of:

- (1) a combination application and personal history form which must be completed and signed by the applicant;
- (2) a physical examination report completed and signed by a licensed physician;
- (3) satisfactory evidence of high school graduation or equivalency;
- (4) evidence of completion of an approved driver education course:
- (5) a driver license record check for the previous three years if applicant has other than a North Carolina driver license; and
- (6) a check or money order in the amount of eight dollars (\$8.00). sixteen dollars (\$16.00).

Authority G.S. 20-322 through 20-324.

#### .0503 RENEWAL APPLICATION

(a) Renewal application shall be made by an instructor annually between May 1 and June 10 of each year. every two years. All licenses expire on June 30 of each year, the school's

anniversary date, and no instructor is permitted to operate with an expired license. However, applications for renewal may be accepted for up to 30 days from the date of expiration. Any license expired for more than 30 days shall be deemed permanently lapsed; and renewal of such license must be by the same process as required for an entirely new license, with all forms and certifications being required.

- (b) At least once every four years, an instructor must take the two-semester-hour college credit course required for the original license; provided, however, that an equivalent number of hours (64) can be substituted for this course in the following manner:
  - (1) 16 hours (four for each full year of the four years) for active and continuing teaching of driver education;
  - (2) 48 or more hours for attendance at teacher training workshops and short courses, professional driver training meetings and conferences in the field of driver education which have been approved in advance by the School Bus and Traffic Safety Section. Approval is to be given in the following manner:
    - (A) Pre-Course (submit for approval):
      - (i) name and address of agency sponsoring the workshop, course or conference;
      - (ii) title, dates, and location of the workshop, course, or conference;
      - (iii) brief description of the workshop, course, or conference, including the number of hours;
    - (B) Post-Course (submit for approval and credit):
      - (i) proof of attendance, number of contact hours actually attended, and passing grade (if applicable);
      - (ii) brief evaluation of the workshop, course, or conference.
- (c) An accredited driver education teacher with a current certificate based on the requirements of the Department of Public Instruction is exempted from the requirements of Paragraph (b) of this Rule.

Authority G.S. 20-322 through 20-324.

The Codifier of Rules has entered the following temporary rule(s) in the North Carolina Administrative Code. Pursuant to G.S. 150B-21.1(e), publication of a temporary rule in the North Carolina Register serves as a notice of rule-making proceedings unless this notice has been previously published by the agency.

## TITLE 10 - DEPARTMENT OF HEALTH AND HUMAN SERVICES

**Rule-making Agency:** Commission for Mental Health, Developmental Disabilities and Substance Abuse Services

Rule Citation: 10 NCAC 45H .0205

Effective Date: May 28, 1998

Findings Reviewed and Approved by: Julian Mann III

Authority for the rule-making: G.S. 90-88; 90-92; 143B-147

**Reason for Proposed Action:** To be consistent with actions by the Drug Enforcement Association in placing Butorphanol and Sibutramine in Schedule IV of the Controlled Substances Act.

#### CHAPTER 45 - COMMISSION FOR MENTAL HEALTH, DEVELOPMENTAL DISABILITIES AND SUBSTANCE ABUSE SERVICES

## SUBCHAPTER 45H - DRUG TREATMENT FACILITIES

## SECTION .0200 - SCHEDULES OF CONTROLLED SUBSTANCES

#### .0205 SCHEDULE IV

- (a) Schedule IV shall consist of the drugs and other substances by whatever official name, common or usual name, chemical name or brand name designated listed in this Rule. Each drug or substance has been assigned the Drug Enforcement Administration controlled substances code number set forth opposite it.
- (b) Depressants. Unless specifically excepted or unless listed in another schedule, any material, compound, mixture or preparation which contains any quantity of the following substances, including its salts, isomers and salts of isomers whenever the existence of such salts, isomers and salts of isomers is possible within the specific chemical designation:

Officis	is possible within the specific chemical design	mon.
(1)	Alprazolam	2882
(2)	Barbital	2145
(3)	Bromazepam	2748
(4)	Camazepam	2749
(5)	Chloral betaine	2460
(6)	Chloral hydrate	2465
(7)	Chlordiazepoxide	2744
(8)	Clobazam	2751
(9)	Clonazepam	2737
(10)	Clorazepate	2768
(11)	Clotiazepam	2752
(12)	Cloxazolam	2753

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2264
2250
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(c) Fenfluramine. Any material compound, mixture or preparation which contains any of the following substances including its salts, isomers (whether optical, position or geometric) and salts of such isomers whenever the existence of such salts, isomers and salts of isomers is possible:

Fenfluramine 1670

(d) Stimulants. Unless specifically excepted or unless listed in another schedule, any material, compound, mixture, or other preparation which contains any quantity of the following substances having a stimulant effect on the central nervous system, including their salts, isomers (whether optical, position, or geometric), and salts of such isomers whenever the existence of such salts, isomers, and salts of isomers is possible within the specific chemical designation:

(1)	Cathine [(+)-norpseudoephedrine]	1230
(2)	Diethylpropion	1610
(3)	Fencamfamin	1760

1675

(4)	Fenproporex	1575
(5)	Mazindol	1605
(6)	Mefenorex	1580
(7)	Phentermine	1640
(8)	Pemolin (including organometallic	complexes and
. ,	chelates thereof)	1530

(e) Other Substances. Unless specifically excepted or unless listed in another schedule, any material, compound, mixture or preparation which contains any quantity of the following substance, including its salts:

Sibutramine

(1)	Butorphanol (including its optical isomers)	<u>9720</u>
(1)(2)	Pentazocine	9709
$\frac{(2)}{(3)}$	Pipradrol	1750
4-3		

- (3) (4) SPA [(-)-1-dimethylamino-1,2-diphenylethane] 1635
- (f) Narcotic Drugs. Unless specifically excepted or unless listed in another schedule, any material, compound, mixture or preparation containing limited quantities of any of the following narcotic drugs, or any salt thereof:
  - (1) not more than one milligram of difenoxin and not less than 25 micrograms of atropine sulfate per dosage unit, 9167
  - (2) Dextropropoxyphene (alpha-(8f)-4-dimethylamino-1,2-diphenyl-3-methyl -2-propionoxybutane), 9278
  - (3) Buprenorphine 9064

History Note: Authority G.S. 90-88; 90-92; 143B-147; Eff. June 30, 1978;

Amended Eff. July 1, 1993; January 1, 1989; December 1, 1987; August 1, 1987;

Temporary Amendment Eff. May 28, 1998.

## TITLE 15A - DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES

Rule-making Agency: Wildlife Resources Commission

Rule Citation: 15A NCAC 10F .0301 and .0310

Effective Date: July 1, 1998

Findings Reviewed and Approved by: Beecher R. Gray

Authority for the rule-making: G.S. 75A-3; 75A-15

A Public Hearing will be conducted at 10:00 a.m. on July 22, 1998 at the Archdale Building, Room 332, 512 N. Salisbury Street, Raleigh, NC 27603.

#### Reason for Proposed Action:

15A NCAC 10F.0301 - The Wildlife Resources Commission initiated the amendment to the Local Water Safety Regulation's General Provisions pursuant to G.S. 75A-15 to protect public safety by clarifying the application of supplementary standards to the uniform waterway marking system.

**15A** NCAC 10F .0310 - The Dare County Board of Commissioners initiated the no-wake zones pursuant to G.S. 75A-15, to protect public safety in the areas by restricting vessel speed.

Comment Procedures: Interested persons may present their views either orally or in writing at the hearing. In addition, the record of hearing will be open for receipt of written comments from July 1, 1998 to July 31, 1998. Such written comments must be delivered or mailed to the NC Wildlife Resources Commission, 512 N. Salisbury Street, Raleigh, NC 27604-1188.

#### CHAPTER 10 - WILDLIFE RESOURCES AND WATER SAFETY

## SUBCHAPTER 10F - MOTORBOATS AND WATER SAFETY

## SECTION .0300 - LOCAL WATER SAFETY REGULATIONS

#### .0301 GENERAL PROVISIONS

- (a) Applicability. Except as limited by the subject matter, all of the provisions of this Rule apply to all public waters located within the territorial limits of the counties and municipalities in which special regulations are set forth for specific waters or regulated areas by the succeeding rules.
- (b) Definitions. Unless the context requires otherwise, the definitions used in Chapter 75A of the General Statutes of North Carolina apply within these regulations. In addition, the following definitions apply in these regulations:
  - (1) Commission. North Carolina Wildlife Resources Commission:
  - (2) Executive Director. Executive Director of the Commission;
  - (3) No-Wake Speed. Idle speed or a slow speed creating no appreciable wake;
  - (4) Uniform System. Uniform Waterway Marking System and the supplementary standards for such system promulgated by the Commission.
- (c) Marking of Regulated Areas. The Executive Director may designate agencies for placement and maintenance of markers for regulated areas established by rules promulgated pursuant to this Section. The agency designated by the Executive Director may delegate the actual placement and maintenance of such markers to some other agency, corporation, group or individual, so long as the designating agency exercises supervisory authority over such agency, corporation, group or individual. Prior to marking a restricted zone established pursuant to G.S. 75A-15, the designated agency for placement and maintenance of the markers must obtain written approval from the Executive Director by making a written request for permission to mark the area specifically described therein. Enforcement of the restrictions set forth in Rule .0302 et seq. of this Section is dependent upon placement and maintenance of adequate marking of the regulated areas by suitable agencies, as designated in those rules, in accordance with the requirements of

the Uniform Waterway Marking System, which are hereby incorporated by reference, and automatically include any later amendments of the incorporated matter as authorized by G.S. 150B-21.6 and the supplementary standards for such system promulgated by the Commission in Paragraph (g) of this Rule. Unless a specific variance is granted, placement and maintenance of the markers must be and remain in accordance with the uniform system. The Executive Director or his representative is instructed to supervise and approve placement and maintenance of individual markers to insure full implementation of the objectives of the uniform system.

- (d) Implementation of Uniform Waterway Marking System. Except where done by virtue of the supervening federal authority, it is unlawful for anyone to place, maintain, or to allow to remain in place, any regulatory markers or navigational aids of the sort included in the uniform system in any waters without authorization of the Commission. The Executive Director is authorized to approve placement of the navigational aids, informational markers, and regulatory markers warning of dangers and not requiring enforcement sanctions, in accordance with both public interest in recreational use and water safety and in accordance with the policies embodied in the uniform system.
- Removal of Unauthorized Markers. navigational aids which do not conform to the specifications of the uniform system or which are placed without lawful authority or permission, where the person responsible for the actual placement cannot be feasiblely determined, may be removed by agents of the Commission. Nonconforming markers as to which the person responsible for placement and maintenance is known, may nevertheless be removed by agents of the Commission if such markers are likely to mislead the public or cause a dangerous situation. Where agents of the Commission discover authorized markers which have been improperly placed or are defective through lack of maintenance, such agents may serve written notice upon the person responsible for such improper placement or for the maintenance of the marker concerned. If, within 10 days no action has been taken in accordance with the notice given, such default constitutes a violation of these regulations.
- (f) Miscellaneous Restrictions. Except for mooring buoys or markers as to which it is specifically permitted, it is unlawful to tie a vessel to any waterway marker. It is unlawful for any unauthorized person to move, remove, damage, obstruct, paint over, or in any way tamper with any marker lawfully placed in the waters of North Carolina in conformity with these regulations or the uniform system generally.
- (g) Supplementary Standards. The standards listed in this Paragraph are supplementary to the Uniform Waterway Marking System and shall be applicable as indicated in the succeeding rules of this Section to the areas of water thereby regulated:
  - (1) The perimeter of swimming areas in the water must be marked with float lines which, in conjunction with the shoreline, form a completely enclosed area. The total enclosed area may not exceed 5,000 square feet without special permission from the Executive Director or his authorized representative. In any event, such area may not extend out into the water sufficiently as to restrict travel unduly on any regular

- navigational channel or otherwise to obstruct passage of vessels in reasonably using the waters.
- (2) Float lines must have attached floats along their length at intervals of not less than one every 10 feet.
- (3) Floats must be buoyant enough to float at the surface of the water while attached to the float line, but no float may exceed a size of 18 inches as measured across its largest dimension.
- (4) Floats may be solid or hollow and preferably should be of plastic or other light and resilient material not likely to cause injury should one strike a swimmer in the water.
- (5) Floats must be either solid white or solid international orange in color. Float lines may consist of all white floats or of alternating white and orange floats.
- (6) Buoys or floating signs indicating the "boats-keep-out" symbol of the uniform system and in conformity with its standards must be attached to the float lines at such points as necessary to give warning to the vessels approaching the swimming area from various directions.
- (7) Float lines and warning markers must be anchored securely to prevent them from shifting position to any appreciable extent under normal conditions.
- (8) All markers warning of a no-wake speed zone around certain facilities must be buoys or floating signs placed in the water at a distance of not greater than 50 yards from the protected facility. The markers must be sufficient in number and size as to give adequate warning of the restriction to the vessels approaching from various directions.
- (9) The boundaries of mooring areas may be defined by the placement of the speed zone warning markers themselves or by such warning markers plus additional boundary floats or markers that may be approved by the Executive Director or his representative.

History Note: Authority G.S. 75A-3; 75A-15; Eff. February 1, 1976; Amended Eff. November 1, 1993; March 25, 1978; Temporary Amendment Eff. July 1, 1998.

#### .0310 DARE COUNTY

- (a) Regulated Areas. This Rule applies to the following waters and portions of waters:
  - (1) Manteo. Doughs Creek adjacent to Shallowbag Bay and all canals situated within the territorial limits of the Town of Manteo.
  - (2) Hatteras. The waters of Hatteras Harbor and Muddy Creek bounded on the north and south by the high-water mark, on the west by a straight line between channel markers number 20 and 17, and on the east by the mouth of Muddy Creek at Sandy Bay.
    - (A) The waters of Hatteras Harbor and Muddy
      Creek bounded on the north and south by the
      high-water mark, on the west by a straight line
      between channel markers number 20 and 17,

- and on the east by the mouth of Muddy Creek at Sandy Bay.
- (B) The waters of Hatteras Inlet North Dock ferry basin and the Hatteras Landing channel, including the vicinity of Coast Guard Beacon Number One in the approach channel as delineated by appropriate markers.
- (3) Mann's Harbor. The waters of Ferry Dock Road Canal.
- (4) Nags Head:
  - (A) Those waters contained within the canals of Old Nags Head Cove Development;
  - (B) The Roanoke Sound inlets at Pond Island on either side of Marina Drive extending north from US 64-264.
- (5) Wanchese:
  - (A) The waters of Wanchese Harbor;
  - (B) The Canal from its beginning where it connects with the Roanoke Sound south of the dead end road SR 1141 extending northwest roughly parallel to SR 1141 and SR 1142, then westward roughly parallel to NC 345, and finally curving to the southwest roughly parallel to the C.B. Daniels Road to its end.
- (6) Stumpy Point Canal. That portion of Stumpy Point Canal beginning at the Wildlife Resources Commission boating access area and extending inland for a distance of 3,600 feet.
- (7) Stumpy Point Basin. That portion of the Stumpy Point Basin, at the head of the Stumpy Point Bay, which is next to Highway 264 in the dock area and designated by the appropriate markers.
- (8) Town of Southern Shores. The waters contained in the canals and lagoons within the territorial limits of the Town of Southern Shores.
- (9) Colington Harbour. The waters contained in the canals of Colington Harbour.
- (10) Kitty Hawk. Those waters contained in the canals of Kitty Hawk Landing Subdivision.
- (11) Washington Baum Bridge. Those waters of the Roanoke Sound from marker 24B north of the bridge to marker 24A south of the bridge, and 50 yards east of the navigation span west to the shore as designated by the appropriate markers.
- (12) Colington Island. The waters contained in an area beginning at the bath house and recreation center on the western shore of Colington Island, running 600 feet in a northerly direction and extending 300 feet into Albemarle Sound as marked.
- (13) The waters of Baum Bay Harbor.
- (14) The waters of High Bridge Creek.
- (15) Mill Creek The waters of Pamlico Sound at Mill Creek near Avon as delineated by appropriate markers.
- (b) Speed Limit. No person shall operate any motorboat or vessel at greater than no-wake speed within any of the regulated areas described in Paragraph (a) of this Rule.
  - (c) Restricted Swimming Area. No person operating or

- responsible for the operation of any vessel, surfboard, water skis, or jet skis shall permit the same to enter any marked swimming area described in Subparagraph (12) of Paragraph (a) of this Rule.
- (d) Placement and Maintenance of Markers. Subject to the approval of the United States Coast Guard and the United States Army Corps of Engineers, the following agencies are designated suitable agencies for placement and maintenance of markers implementing this Rule as to the regulated areas listed in the several Subparagraphs of Paragraph (a) of this Rule:
  - (1) the Board of Commissioners of the Town of Manteo as to the areas indicated in Subparagraph (1);
  - (2) the Board of Commissioners of Dare County as to the areas indicated in Subparagraphs (2) through (7), (9) and (11); (9), (11), (13), and (15);
  - (3) the Board of Commissioners of the Town of Southern Shores as to the areas indicated in Subparagraph (8);
  - (4) the Board of Commissioners of the Town of Kitty Hawk as to the areas indicated in Subparagraph (a)(10). Subparagraphs (10) and (14).

History Note: Authority G.S. 75A-3; 75A-15; Eff. February 1, 1976;

Amended Eff. April 1, 1997; December 1, 1994; May 1, 1994; March 1, 1993; May 1, 1988;

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Temporary Amendment Eff. July 1, 1998.

Rule-making Agency: Wildlife Resources Commission

Rule Citation: 15A NCAC 10F.0311, .0317, and .0327

Effective Date: June 1, 1998

Findings Reviewed and Approved by: Beecher R. Gray

Authority for the rule-making: G.S. 75A-3; 75A-15

#### Reason for Proposed Action:

15A NCAC 10F .0311 - The Vance County board of Commissioners initiated the no-wake zone pursuant to G.S. 75A-15, to protect public safety in the area by restricting vessel speed.

15A NCAC 10F .0317 - The Stanly County Board of Commissioners initiated the no-wake zone pursuant to G.S. 75A-15, to protect public safety in the area by restricting vessel speed.

15A NCAC 10F .0327 - The Montgomery County Board of Commissioners initiated the no-wake zone pursuant to G.S. 75A-15, to protect public safety in the area by restricting vessel speed.

Comment Procedures: The NC Wildlife Resources Commission has the authority to adopt temporary rules pursuant to S.L. 1997-0403. These temporary rules are adopted following the public hearing and public comment period

established for permanent rule adoption. A public hearing was held on March 18, 1998 for the permanent rules and the record of hearing for public comment was closed on April 1, 1998. The submissions for permanent rules are on file with the Rules Review Commission.

## CHAPTER 10 - WILDLIFE RESOURCES AND WATER SAFETY

### SUBCHAPTER 10F - MOTORBOATS AND WATER SAFETY

## SECTION .0300 - LOCAL WATER SAFETY REGULATIONS

## .0311 GRANVILLE: VANCE AND WARREN COUNTIES

- (a) Definitions. In addition to the definitions set forth in Paragraph (b) of Rule .0301 of this Section, the following definitions shall apply in this Rule:
  - (1) Corps. Corps of Engineers, United States Army:
  - (2) Reservoir. John H. Kerr Reservoir in Granville, Vance and Warren Counties.
- (a) Regulated Areas. This Rule applies to the following waters of John H. Kerr Reservoir in Granville, Vance and Warren Counties:
  - (1) <u>Kimball Point Within 50 yards of the shoreline in</u> the northernmost cove of the Kimball Point Recreation Area located at the western end of SR 1204 in Warren County.
  - (2) Kerr Lake Methodist Campground Beginning 50 yards north and ending 50 yards east of the Kerr Lake Methodist Campground.
  - (3) Lower Mill Creek Beginning at a point on the eastern side of Lower Mill Creek where it intersects the North Carolina Virginia state line, running across the creek with said state line and then running in a southerly direction on both the east and west sides of the creek to the head waters and including all waters of the creek south of the state line.
  - (4) Flat Creek at NC Highway 39 Bridge Within 50 yards on either side of the NC Highway 39 Bridge.
- (b) Speed Limit Near Ramps. No person shall operate a vessel at greater than no-wake speed within 50 yards of any concrete boat launching ramp located on the reservoir in said counties. reservoir.
- (c) Speed Limit in Mooring Areas. No person shall operate a vessel at greater than no-wake speed while within a designated mooring area established by or with the approval of the <u>US Army Corps of Engineers</u> on the waters of the reservoir in said counties. reservoir.
- (d) Speed Limit. No person shall operate a vessel at greater than no-wake speed within any regulated area of the reservoir described in Paragraph (a) of this Rule.
- (d) (e) Restricted Swimming Areas. No person operating or responsible for the operation of a vessel shall permit it to enter any designated swimming area established by or with the approval of the <u>US Army</u> Corps of <u>Engineers</u> on the waters of

the reservoir in said counties. reservoir.

- (e) Speed Limit at Kimball Point. No person shall operate a vessel at greater than no wake speed within 50 yards of the shoreline in the northernmost cove of the Kimball Point Recreation Area in the reservoir, such recreation area being at the western end of SR 1204 in Warren County.
- (f) Speed Limit at Lower Mill Creek. No person shall operate a vessel at greater than no-wake speed beginning at a point on the eastern side of Lower Mill Creek where it intersects the North Carolina Virginia state line, running across the creek with said state line and then running in a southerly direction on both the east and west sides of the creek to the head waters and including all waters of the creek south of the state line.
- (g) Speed Limit at Kerr Lake Methodist Campground. No person shall operate a vessel at greater than no-wake speed beginning 50 yards north and ending 50 yards east of the Kerr Lake Methodist Campground.
- (h) (f) Placement and Maintenance of Markers. The Corps is designated a suitable agency for placement and maintenance of markers implementing this Rule. The perimeters of designated swimming areas must be marked with float lines which, in conjunction with the shoreline, form completely enclosed areas. In addition, supplementary standards as set forth in Rule .0301(g)(2) to (7) and (9) of this Section shall apply. Each of the boards of Commissioners of the above-named counties is designated a suitable agency for placement and maintenance of markers implementing this Rule for regulated areas within their territorial jurisdiction in accordance with the Uniform System, subject to the approval of the US Army Corps of Engineers.

History Note: Authority G.S. 75A-3; 75A-15; Eff. February 1, 1976; Amended Eff. December 1, 1994; March 25, 1978;

Amended E.f. December 1, 1994; March 25, 1978; Temporary Amendment E.f. <u>June 1, 1998;</u> January 1, 1998.

#### .0317 STANLY COUNTY

- (a) Regulated Areas. This Rule applies to the following waters and portions of waters: waters described as follows:
  - (1) that portion of Narrows Reservoir (Badin Lake) which lies within the boundaries of Stanly County; (Badin Lake);
  - (2) that portion of Lake Tillery which lies within the boundaries of Stanly County; Tillery:
    - (A) <u>Turner Beach Cove as delineated by appropriate markers.</u>
    - (B) Mountain Creek Cove as delineated by appropriate markers.
  - (3) Turner Beach Cove on Lake Tillery as delineated by appropriate markers.
  - (3) <u>Harper Herne Lake Harper Herne Lake Subdivision</u> Cove as delineated by appropriate markers.
- (b) Speed Limit Near Ramps. No person shall operate a vessel at greater than no-wake speed within 50 yards of any public boat launching ramp while on the waters of a regulated area described in Paragraph (a) of this Rule.
- (c) Restricted Swimming Areas. No person operating or responsible for the operation of a vessel shall permit it to enter

any marked public swimming area established with the approval of the Executive Director, or his representative, on the waters of a regulated area described in Paragraph (a) of this Rule.

- (d) Speed <u>Limit</u>. <u>Limit in Specific Zones</u>. No person shall operate a vessel at greater than no-wake speed within <u>any 50 yards</u> of the <u>following marked zone located on any</u> regulated area described in Paragraph (a) of this Rule:
  - (1) Mountain Creek Cove, Lake Tillery.
- (e) Placement and Maintenance of Markers. The Board of Commissioners of Stanly County is hereby designated a suitable agency for placement and maintenance of the markers hereby authorized, subject to the approval of the United States Coast Guard and the United States Army Corps of Engineers. implementing this Rule in accordance with the Uniform System. With regard to marking the regulated areas described in Paragraph (a) of this Rule, supplementary standards as set forth in Rule .0301(g)(1) to (8) of this Section shall apply.

History Note: Authority G.S. 75A-3; 75A-15; Eff. February 1, 1976;

Amended Eff. July 1, 1995; March 25, 1978; November 1, 1977; Temporary Amendment Eff. June 1, 1998.

#### .0327 MONTGOMERY COUNTY

- (a) Regulated Areas. This Rule applies to the waters and portions of waters described as follows:
  - (1) Badin <u>Lake. Lake Lakeshore Drive Cove as</u> delineated by appropriate markers.
  - (2) Lake Tillery:
    - (A) Woodrun Cove as delineated by appropriate markers.
    - (B) Carolina Cove as delineated by appropriate markers.
  - (3) Woodrun Cove. Those waters within 50 yards of the mouth of Woodrun Cove located on Lake Tillery as delineated by appropriate markers and within 50 yards of the boat ramp located at the head of Woodrun Cove.
  - (4) (3) Tuckertown Reservoir.
  - (5) Carolina Forest Cove. The mouth of Carolina Forest Cove located on Lake Tillery as delineated by appropriate markers.
- (b) Speed Limit Near Shore Facilities. No person shall operate a vessel at greater than no-wake speed within 50 yards of any marked boat launching area, dock, pier, bridge, marina, boat storage structure, or boat service area on the waters of the regulated areas described in Paragraph (a) of this Rule.
- (c) <u>Speed Limit.</u> No person shall operate a vessel at greater than no-wake speed within any regulated area described in Paragraph (a) of this Rule.
- (e) (d) Restricted Swimming Areas. No person operating or responsible for the operation of a vessel shall permit it to enter any marked public swimming area established with the approval of the Wildlife Resources Commission on the waters of the regulated areas described in Paragraph (a) of this Rule.
- (d) (e) Placement and Maintenance of Markers. The Board of Commissioners of Montgomery County is hereby designated a suitable agency for placement and maintenance of the markers

hereby authorized, subject to the approval of the United States Coast Guard and the United States Army Corps of Engineers. With regard to marking the regulated areas described in Paragraph (a) of this Rule, supplementary standards as set forth in Rule .0301(g)(1) to (8) of this Section shall apply. implementing this Rule in accordance with the Uniform System.

History Note: Authority G.S. 75A-3; 75A-15; Eff. November 1, 1977;

Amended Eff. December 1, 1990; May 1, 1989; March 25, 1978; Temporary Amendment Eff. June 1, 1998.

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Rule-making Agency: Commission for Health Services

Rule Citation: 15A NCAC 18A .1720

Effective Date: May 25, 1998

Findings Reviewed and Approved by: Beecher R. Gray

**Authority for the rule-making:** G.S. 90-225; 130A-5(3); 130A-230; 130A-235; 130A-236; 130A-248; 130A-257

Reason for Proposed Action: The current rule contains a requirement for wells constructed after July 1, 1993 to be located at least 25 feet from building foundations. Unless the rule is amended, the welfare of foster children will be unnecessarily jeopardized because of the current requirements being overly stringent, resulting in the denial of licenses for foster homes during a period of critical shortages of foster homes across North Carolina. The amendment will not endanger the health of children placed in homes with wells as close as 10 feet from building foundations when monitoring is increased as required in 15A NCAC 18A .1611.

Comment Procedures: Comments may be made to Malcolm Blalock, PO Box 29596, Raleigh, NC 27626-0596 or by E-Mail at: Malcolm\_Blalock@mail.ehnr.state.nc.us.

#### **CHAPTER 18 - ENVIRONMENTAL HEALTH**

#### **SUBCHAPTER 18A - SANITATION**

## SECTION .1700 - PROTECTION OF WATER SUPPLIES

#### .1720 WATER SUPPLIES

- (a) A water supply for which requirements are established in this Subchapter, shall be from a community water supply regulated pursuant to 15A NCAC 18C or from a supply located, constructed, maintained, and operated in accordance with this Section.
- (b) The requirements found in Rules .1720(c) through .1728 of this Section shall not apply to community water supplies.
  - (c) The following setback requirements shall apply:

- A well shall not be located in an area propensity for (1)flooding. Areas which have a propensity for flooding include those with concave slope, alluvial or colluvial soils, gullies, depressions or drainage ways.
- A well constructed on or after July 1, 1993 shall be (2) located at a minimum horizontal distance from:
  - Septic tank or nitrification field; (A)
    - for a facility other than a Family Foster Home or a private residence regulated by 15A NCAC

18A .1600;

- for a Family Foster Home or for a (ii) private residence regulated by 15A NCAC 18A .1600;
- Other subsurface ground absorption waste (B) disposal;
  - (i) for a facility other than a Family Foster Home or a private residence regulated by 15A NCAC 18A .1600; 100 ft.
  - for a Family Foster Home or for a (ii) private residence regulated by 15A NCAC 18A .1600;
- Industrial or municipal sludge spreading or (C) wastewater irrigation site;
- Watertight sewage or liquid-waste collection or (D) transfer facility;
- Other sewage or liquid-waste collection or (E) transfer facility: 100 ft.
- (F) Animal feedlot or manure pile;
  - Fertilizer, pesticide, herbicide or other chemical storage area; 100 ft.

100 ft.

- (H) Non-hazardous waste storage, treatment or disposal lagoon; 100 ft.
- Sanitary landfill; 500 ft. (1)
- Other non-hazardous solid waste (J) landfill: 100 ft.
- Animal barn: 100 ft. (K)
- (L) Building foundation;

(G)

(N)

for a facility other than a Family Foster Home or a private residence regulated by 15A NCAC 50 ft.

18A .1600:

- for a Family Foster Home or for a (ii) private residence regulated by 15A NCAC 18A .1600; 10 ft.
- Surface water body; (M)

50 ft. Chemical or petroleum fuel underground storage tank regulated under 15A NCAC 2N:

- with secondary containment;
- without secondary containment; 100 ft. (ii)
- groundwater (O) other Any source of contamination. 100 ft.
- For a well constructed prior to July 1, 1993, the minimum horizontal distances specified in Parts (C)(2)(A), (B), (D), and (L) of this Rule shall be reduced to no less than the following:
  - Septic tank or nitrification field; 50 ft.

- Other subsurface ground absorption waste (B) disposal system;
- Water-tight sewage or liquid-waste collection (C) or transfer facility; 25 ft.
- (D) Building foundation. 25 ft.
  - for a facility other than a Family Foster Home or a private residence regulated by 15A NCAC 18A .1600;

(ii) for a Family Foster Home or for a private residence regulated by 15A NCAC 18A .1600;

- A well constructed prior to July 1, 1993 serving an (4) establishment regulated under 15A NCAC 18A in operation prior to July 1, 1993 shall be required to meet only the following minimum horizontal distance requirements:
  - (A) Septic tank or nitrification field;
  - Other subsurface ground absorption waste (B) disposal system.
- An owner, licensee or permittee shall not place or (5) have placed a new source of contamination within the minimum horizontal distances in Subparagraphs (c)(1)-(4) of this Rule.
- different minimum horizontal requirements are set by the Division of Environmental Management pursuant to 15A NCAC 2C .0118 and .0119, those minimum horizontal requirements shall be used. The owner, licensee or permittees shall provide a written copy of the adjusted minimum horizontal distance requirements from the Division of Environmental Management to the local health department.

Authority G.S. 95-225; 130A-5(3); 130A-230; History Note: 130A-235; 130A-236; 130A-248; 130A-257; Eff. September 1, 1990; Amended Eff. May 1, 1996; July 1, 1993;

Temporary Amendment Eff. May 25, 1998; March 1, 1998.

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Rule-making Agency: Commission for Health Services

Rule Citation: 15A NCAC 18A .1810

Effective Date: June 22, 1998

Findings Reviewed and Approved by: Beecher R. Gray

Authority for the rule-making: G.S. 130.4-248;

Reason for Proposed Action: Amending the current rule is necessary to broaden the temperature range requirements for hot water in lodging operations. New and existing lodging operations are currently finding it extremely difficult to meet the 4 degree range allowed in the rule.

Comment Procedures: Written comments may be submitted to Mrs. Susan Grayson, DENR, Division of Environmental Health, 2728 Capital Blvd., Raleigh, NC 27604.

#### **CHAPTER 18 - ENVIRONMENTAL HEALTH**

#### **SUBCHAPTER 18A - SANITATION**

## SECTION .1800 - SANITATION OF LODGING PLACES

#### .1810 WATER SUPPLY

- (a) Water supplies shall meet the requirements in 15A NCAC 18A .1700.
- (b) The water supply used shall be located, constructed, maintained, and operated in accordance with the Commission for Health Services' rules governing water supplies. Copies of 15A NCAC 18A .1700 and 15A NCAC 18C may be obtained from the Division of Environmental Health, Department of Environment. Health, and Natural Resources, P.O. Box 27687, Raleigh, North Carolina 27611-7687. At least once a year, a sample of water shall be collected by the Department and

submitted to the Division of Laboratory Services or other laboratory certified by the Department to perform bacteriological examinations. However, a water sample is not required for a lodging establishment using a community water supply regulated under 15A NCAC 18C.

- (c) Cross-connections with sewage lines or unapproved water supplies or other potential sources of contamination are prohibited.
- (d) Hot water heating facilities shall be provided. Hot and cold running water under pressure shall be provided at all times in guest rooms, for food preparation areas, and other areas in which water is required for cleaning.
- (e) Hot water in guest rooms shall be provided at a temperature of no less than  $116^{\circ}F$  (46.7°C) and no more than  $120^{\circ}F$   $128^{\circ}F$  (48.9°C). (53.3°C).

History Note: Authority G.S. 130A-248;

Eff. February 1, 1976;

Readopted Eff. December 5, 1977;

Amended Eff. January 1, 1996; September 1, 1990; July 1, 1986:

Temporary Amendment Eff. June 22, 1998.

#### **RULES REVIEW COMMISSION**

This Section contains the agenda for the next meeting of the Rules Review Commission on Thursday, June 18, 1998, 10:00 a.m., at 1307 Glenwood Ave., Assembly Room, Raleigh, NC. Anyone wishing to submit written comment on any rule before the Commission should submit those comments to the RRC staff, the agency, and the individual Commissioners by Monday, June 15, 1998, at 5:00 p.m. Specific instructions and addresses may be obtained from the Rules Review Commission at 919-733-2721. Anyone wishing to address the Commission should notify the RRC staff and the agency at least 24 hours prior to the meeting.

#### **RULES REVIEW COMMISSION MEMBERS**

Appointed by Senate

Teresa L. Smallwood, Vice Chairman Jim Funderburke Vernice B. Howard Philip O. Redwine David Twiddy Appointed by House

Paul Powell, Chairman
Anita White, 2<sup>nd</sup> Vice Chairman
Mark Garside
Steve Rader
George Robinson

#### **RULES REVIEW COMMISSION MEETING DATES**

June 18, 1998 July 23, 1998 August 20, 1998 September 17, 1998 October 15, 1998 November 19, 1998

**MEETING DATE: JUNE 18, 1998** 

#### LOG OF FILINGS

RULES SUBMITTED: APRIL 20, 1998 THROUGH MAY 20, 1998

AGENCY/DIVISION	RULE NAME	RULE CITATION	ACTION					
DHHS/COMMISSION FOR MH/DD/SAS								
	Definitions	10 NCAC 14G .0102	Amend					
JUSTICE/SHERIFFS'	EDUCATION AND TRAINING ST	ANDARDS COMMISSION						
	Summary Suspensions	12 NCAC 10B .0206	Amend					
DEPARTMENT OF RE	EVENUE							
DEI ARTMENT OF RE	Negligence Penalties	17 NCAC 6B .3204	Amend					
	Unblended Kerosene	17 NCAC 9L .0302	Repeal					
NC STATE BOARD O	F COSMETIC ART EXAMINERS							
Ne office bonks of	Qualifications - Manicurists	21 NCAC 14L .0105	Amend					
OFFICE OF ADMINIS	TRATIVE HEARINGS							
office of Abilities	Office Hours	26 NCAC 1 .0102	Repeal					

#### **RULES REVIEW COMMISSION**

May 21, 1998 MINUTES

The Rules Review Commission met on May 21. 1998. in the Assembly Room of the Methodist Building. 1307 Glenwood Avenue,

#### **RULES REVIEW COMMISSION**

Raleigh, North Carolina. Commissioners in attendance were Vice Chairman Teresa L. Smallwood, Mark P. Garside, Vernice B. Howard, George S. Robinson, Jim R. Funderburk, Steven P. Rader, and David R. Twiddy.

Staff members present were: Joseph J. DeLuca, Staff Director; Bobby Bryan, Rules Review Specialist; and Sandy Webster.

The following people attended:

Ann Christian Attorney
Anna Tefft OSBM
Mark Sisak OSBM
Kirsten Allen DHHS

Sharnese Ransome
Hank Bowers
DHHS/Social Services Commission
DHHS/Social Services Commission

#### **BYLAWS**

The meeting was called to order at 10:00 a.m. with Vice Chairman Smallwood presiding. The by-laws were adopted by the Commission. A copy is enclosed with these minutes. Commissioner Rader made a motion that present officers be allowed to finish their terms. The motion was seconded by Commissioner Twiddy.

#### APPROVAL OF MINUTES

Vice Chairman Smallwood asked for any discussion, comments, or corrections concerning the minutes of the April 19, 1998 meeting. There being none, the minutes were approved.

#### **FOLLOW-UP MATTERS**

2 NCAC 34 .0404 - AGRICULTURE/NC State Pest Control Committee: The rewritten rule submitted by the agency was approved by the Commission.

12 NCAC 7D .0204 and .1106 - JUSTICE/NC Private Protective Services Board: The agency requested that the rules be deferred until next month. No action was necessary.

15A NCAC 10G .0404 - DENR/Wildlife Resources Commission: No action was necessary.

21 NCAC 50 .1210, and .1212 - State Board of Examiners of Plumbing, Heating and Fire Sprinkler Contractors: The Commission voted to return the rules to the agency for failure to properly respond to the Commission's objections.

21 NCAC 68 .0305 - NC Substance Abuse Professional certification Board: The rewritten rule submitted by the agency was approved by the Commission.

#### LOG OF FILINGS

ViceChairman Smallwood presided over the review of the log and all rules were approved with the following exceptions:

10 NCAC 41A .0007 - DHHS/Social Services Commission: The Commission objected to the rule due to ambiguity. In (b)(1) and following it is unclear what happens to a "denial, suspension, or revocation" of a license if a contested case petition is filed. This objection applies to existing language in the rule. The Commission also removed its March 16, 1988 objection because the rule is now consistent with the Administrative Procedure Act.

10 NCAC 47B .0102 - DHHS/Social Services Commission: The Commission objected to the rule due to ambiguity. It is unclear what constitutes "easily understandable terms" in the introductory paragraph. This objection applies to existing language in the rule.

15A NCAC 10F .0303 - DENR/Wildlife Resources Commission: Commissioner Funderburk voted not to approve this rule. The rule was approved.

#### **COMMISSION PROCEDURES AND OTHER MATTERS**

### RULES REVIEW COMMISSION

Commissioner Redwine has submitted his resignation to the President Pro Tempore and it has been accepted.

The next meeting will be on June 18, 1998.

The meeting adjourned at 10:50 a.m.

Respectfully submitted, Sandy Webster **T**his Section contains the full text of some of the more significant Administrative Law Judge decisions along with an index to all recent contested cases decisions which are filed under North Carolina's Administrative Procedure Act. Copies of the decisions listed in the index and not published are available upon request for a minimal charge by contacting the Office of Administrative Hearings, (919) 733-2698.

#### OFFICE OF ADMINISTRATIVE HEARINGS

Chief Administrative Law Judge JULIAN MANN, III

Senior Administrative Law Judge FRED G. MORRISON JR.

#### ADMINISTRATIVE LAW JUDGES

Brenda B. Becton Sammie Chess Jr. Beecher R. Gray Melissa Owens Meg Scott Phipps Robert Roosevelt Reilly Jr. Dolores O. Smith

AGENCY	CASE <u>NUMBER</u>	ALJ	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
ADMINISTRATION				
E. Edward Gambill v Department of Administration	97 DOA 0364	Reilly	09/10/97	
Triangle CAD Solutions, Inc. v. Div. Purchase and Contract, EHNR	97 DOA 0670	Reilly	12/19/97	
Henry Bryon Brewer v. NC Commission of Indian Affairs	97 DOA 0959	Gray	12/17/97	
		,		
OFFICE OF ADMINISTRATIVE HEARINGS				
Sir Gregory Leo Kelly, Ruby Louise Kelly v. Sheila Director Ocean House and Mental Health Southeastern Regional Wilmington, NC	97 OAH 0714	Chess	03/24/98	
Sir Gregory Leo Kelly, Ruby Louise Kelly v. Client Complaint Secretary	97 OAH 0715	Chess	03/24/98	
(Art Constantini) Mental Health Director		0.11000	30. <u>2</u> 3	
·				
ALCOHOLIC BEVERAGE CONTROL COMMISSION				
Michael's Mini Mart v. Alcoholic Beverage Control Commission	92 ABC 1601	Gray	08/18/97	
Everette Craig Hornbuckle v. Alcoholic Beverage Control Commission	93 ABC 0987	Gray	08/18/97	
Saleh Ahmed Alı Futhah v. Alcoholic Beverage Control Commission	94 ABC 0264	Gray	08/18/97	
Carolyn T. Ray v. Alcoholic Beverage Control Commission	95 ABC 0429	Gray	09/23/97	
Alcoholic Beverage Control Commission v. Fast Fare, Inc.	96 ABC 0483	Morrison	06/18/97	
Alcoholic Beverage Control Commission v. Mendoza Enterprises, Inc.	96 ABC 1196	Gray	08/26/97	
Paul Tyler IV Enterprises, Inc., Alpha Vinson T/A Mirrors (Sid's Showgirls) v. Alcoholic Beverage Control Commission	96 ABC 1804	Morrison	09/29/97	
and				
City of Goldsboro and				
Gurnan Khera				
George Robert Scott v. Alcoholic Beverage Control Commission	96 ABC 1995	Reilly	12/05/97	
Nasar Sader v Alcoholic Beverage Control Commission	97 ABC 0030	Phipps	10/08/97	
Alcoholic Beverage Control Commission v. Paradise Landing, Inc.	97 ABC 0031	Gray	06/13/97	
OFFISS, Inc. v. Alcoholic Beverage Control Commission	97 ABC 0118	Gray	09/17/97	
Alcoholic Beverage Control Commission v Fast Fare, Inc. No. 576	97 ABC 0197	Morrison	01/15/98	
Alcoholic Beverage Control Commission v. Altaf Hussain	97 ABC 0312	Mann	07/29/97	
Alcoholic Beverage Control Commission v Robert Johnson	97 ABC 0321	Gray	08/25/97	
Alcoholic Beverage Control Commission v. Crown Central Petroleum Corp.	97 ABC 0325	Gray	04/15/98	
Alcoholic Beverage Control Commission v. Masonboro County Store, Inc	97 ABC 0432	Reilly	09/09/97	
Daniel Gary Ledbetter v. Alcoholic Beverage Control Commission	97 ABC 0443	Gray	07/08/97	
Alcoholic Beverage Control Comm. v. Raymond Lee	97 ABC 0488	Smith	10/30/97	
Alcoholic Beverage Control Comm. v. Percy Daniel Bowen	97 ABC 0495	Morrison	09/24/97	
Alcoholic Beverage Control Commission v Bridgette Dee Williams	97 ABC 0576	Phipps	09/04/97	
Alcoholic Beverage Control Commission v Westside Tavern, Inc.	9 <b>7</b> ABC 0586	Phipps	09/17/97	

AGENCY .	CASE <u>NUMBER</u>	ALJ	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
Alcoholic Beverage Control Commission v. Grove Park Inn Resort, Inc	97 ABC 0706	Morrison	09/15/97	12:07 NCR 609
Bradford Allan Capps & Garland Lewis Williams v Alc Bev Ctl Comm	97 ABC 0820	Reilly	02/05/98	
Alcoholic Beverage Control Commission v. Soo Jung Pak	97 ABC 0834	Reilly	03/04/98	
Sunset Enterprises, Inc. v. Alcoholic Beverage Control Commission Alcoholic Beverage Control Commission v. 6 Twelve Corporation	97 ABC 0846 97 ABC 0895	Gray Reilly	12/10/97 12/16/97	
Alcoholic Beverage Control Commission v Kimberly Loette Hankins	97 ABC 0897	Grav	10/06/97	
Alcoholic Bev Ctl Comm v Monterrey Mex Rest of Greensboro, Inc	97 ABC 0965	Morrison	01/15/98	
Alcoholic Beverage Control Comm v Fiesta Mexicana, Inc	97 ABC 0983	Mann	02/17/98	
Alcoholic Beverage Control Commission v James Martini	97 ABC 1036	Gray	12/31/97	
Alcoholic Beverage Control Comm v Cynthia Lea Wagner Alcoholic Beverage Control Comm v B B & S , Inc	97 ABC 1115 97 ABC 1250	Morrison Grav	02/19/98 02/24/98	
Alcoholic Beverage Control Comm v Ali Mohmood Ahmed	97 ABC 1289	Morrison	02/26/98	
Momhammed H Darwish & Hazeem M Eldara v Alcoholic Bev Ctl Comm	97 ABC 1429	Smith	12/31/97	
Alcoholic Beverage Control Comm v Mr James A Fields, Jr	97 ABC 1437	Phipps	04/24/98	
Alcoholic Beverage Control Comm v Circle K Stores, Inc.	97 ABC 1538	Smith	03/13/98	
Alcoholic Bev Control Comm v Ghazi Abdul Salam & Jamil Abdel Salem	98 ABC 0027	Phipps	05/11/98	
COMMUNITY COLLEGES				
James Elliot Price v Dept of Community Colleges, St Bd of Comm Col.	98 DCC 0136	Gray	03/11/98	
CORRECTION  Dougl M. Bogga v. Correction Duy of Brison Admin. Remody Brookdyro	07 DOC 0531	Morrison	06/16/07	
David M Boone v Correction, Div of Prison Admin Remedy Procedure	97 DOC 0534	Morrison	06/16/97	
CRIME CONTROL AND PUBLIC SAFETY				
Della Sherrod v Crime Victims Compensation Commission	96 CPS 0300	Chess	07/18/97	
Stanley D. Carter, Sr. v. Victims Compensation Commission Mary A. Kearney v. CPS, Victims Compensation Commission	96 CPS 1887 96 CPS 2033	Chess Becton	01/26/98	
Mae Allen Murray v. Crime Victims Compensation Commission	96 CPS 2033	Chess	09/26/97 10/31/97	
Beverly McLaughlin v Crime Victims Compensation Commission	97 CPS 0170	Phipps	08/29/97	
Malcolm W Fields v Crime Victims Compensation Commission	97 CPS 0360	Chess	09/12/97	
Rodney P Hodge v Crime Victims Compensation Commission	97 CPS 0449	Reilly	07/01/97	
Billy Steen v. Crime Victims Compensation Commission	97 CPS 0472	Morrison	07/23/97	
Clifford R. Pulley v. Crime Victims Compensation Commission Curtis Jermaine Newkirk v. Crime Victims Compensation Commission	97 CPS 0523 97 CPS 0645	Gray Morrison	08/06/97 10/03/97	
Huston Christopher Mason v Victims Compensation Commission	97 CPS 0691	Becton	12/04/97	
Percival R Johnson, AKA Reeves Johnson v Crime Victims Comp Comm	97 CPS 0779	Gray	02/06/98	
Gregory Bynum v. Crime Victims Compensation Commission	97 CPS 0901	Reilly	10/16/97	
Michaela Dionne Brewington v Crime Victims Compensation Commission	97 CPS 0992	Mann	12/23/97	
Lisa S. Snead v. Crime Victims Compensation Commission Robert L. Welty, II v. Crime Victims Compensation Commission	97 CPS 1025 97 CPS 1073	Reilly	11/10/97 01/20/98	
Robert T. Blakeney v. Office of Administrative Hearings	97 CPS 1073	Gray Becton	11/07/97	
Connie Cowan v. Crime Victims Compensation Commission	97 CPS 1214	Becton	12/03/97	
Abram J Smith v Crime Victims Compensation Commission	97 CPS 1383	Morrison	04/14/98	
Linda Atkinson v Crime Victims Compensation Commission	97 CPS 1389	Becton	12/31/97	
Barry Lee Whitley v. Crime Victims Compensation Commission	97 CPS 1691	Mann	04/09/98	
Judy N. Scurry for Wendy C. Scurry v. Crime Victims Comp. Comm.  Mia Thompson-Clark v. Crime Victims Compensation Commission	98 CPS 0210 98 CPS 0349	Becton Chess	04/20/98 05/13/98	
Virginia M Bellamy v Crime Victims Compensation Commission	98 CPS 0412	Morrison	05/28/98	
Terry Ramey v NC State Highway Patrol & First Sergeant Valentine	98 CPS 0460	Smith	05/22/98	
ECONOMIC AND COMMUNITY DEVELORMENT				
ECONOMIC AND COMMUNITY DEVELOPMENT Leon McNair v NC Industrial Commission	97 COM 1549	Gray	01/09/98	
ENVIRONMENT AND NATURAL RESOURCES Herbert C Avery v Environment, Health, and Natural Resources	96 EHR 0161	Chess	09/23/97	
Linda Collie v Lenoir County Health Department	96 EHR 0161 96 EHR 0264	Becton	07/16/97	
Leroy Anderson v County of Moore Department of Health	96 EHR 1969	Morrison	07/15/97	12.03 NCR 223
E H Garner v New Hanover Health Department	96 EHR 1972	Gray	08/07/97	
Peter D McDowell, Sr v New Hanover Health Department	96 EHR 2075	Gray	08/07/97	
Dowell Gray v Department of Environment and Natural Resources and	97 EHR 0195* <sup>27</sup>	Gray	12/01/97	12 13 NCR 1222
Onslow County Department of Health Jimmie A Bryant v Environment, Health & Natural Resources	97 EHR 0211	Chess	04/16/98	
Riggings Homeowners Assoc, Inc. v. Environment, Health, & Natural Res	97 EHR 0211	Reilly	08/13/97	
John Ronald Taylor v Environment, Health, & Natural Resources	97 EHR 0275	Reilly	06/09/97	
Independence Mall Associates v. Environment and Natural Resources	97 EHR 0328	Chess	03/06/98	
Regina Reed v Madison County Health Dept , ENR	97 EHR 0335	Becton	05/21/98	
Rick Parker v. Pitt County Health Dept /Mr. Ernie Nichols	97 EHR 0470	Phipps	07/01/97	
James R. Melvin v. Environment and Natural Resources Roger L. Moore v. Environment and Natural Resources	97 EHR 0682 97 EHR 0821	Phipps Smith	09/23/97 03/11/98	
Lee A Riggs v Craven County Health Department	97 EHR 0851	Becton	10/02/97	
Tommy Anthony Swangin v Dept of Environment & Natural Resources	97 EHR 0875	Smith	12/15/97	
Robert E. Cahoon v. Carteret County Health Department	97 EHR 0878	Becton	09/30/97	
Jim R. Lanier v. Environment, Health, and Natural Resources	97 EHR 0880	Phipps	03/13/98	

<u>AGENCY</u>	CASE <u>NUMBER</u>	<u>ALJ</u>	DATE OF <u>DECISION</u>	PUBLISHED DECISION REGISTER CITATION
John Martin v. Environment, Health, and Natural Resources	97 EHR 0993* <sup>21</sup>	Phipps	10/13/97	
John Martin v Environment, Health, and Natural Resources	97 EHR 0994*21	Phipps	10/13/97	
Jack R. Whitmore v. Dept. of Environment & Natural Resources	97 EHR 1039	Mann	12/17/97	
Rachel S. Tugwell v. Environment, Health and Natural Resources	97 EHR 1086	Becton	12/03/97	
Joanne B. Huff v. Dept. of Environment and Natural Resources NC Waste Awareness & Reduction Network, Inc. v EHNR and	97 EHR 1149 97 EHR 1189	Reilly Becton	12/30/97 02/16/98	
Carolina Solite Corporation and Oldover Corporation				
Alphasine Cheryl Barfield v. EHNR, Div. Environmental Health	97 EHR 1221	Morrison	01/28/98	
Mae B Blankenburg v Dare County Health Dept (Issued by - Crawford)	97 EHR 1267	Morrison	04/17/98	
Gilbert T Davis, Jr v Forsyth County Environmental Affairs Dept.	97 EHR 1281	Smith	11/10/97	
Selby Franklin Brown v. Wayne County Environmental Health Station Break, Marie K. Graham v. Martin Tyrell Washington, District Health Department	97 EHR 1534 97 EHR 1639	Smith Phipps	01/21/98 03/09/98	
Control Management				
Coastal Management Glenn Sasser v. Division of Coastal Management	97 EHR 0763	Gray	12/31/97	
Environmental Management				
Craig King Farms v. EHNR, Environmental Management Commission	96 EHR 0609	Gray	01/14/98	
Henry G. Dail, Dail Brothers v. EHNR, Environmental Management	96 EHR 2104	Gray	08/27/97	
Land Resources				
James H. Lowdermilk & J. Wayne Lowdermilk v. EHNR, Land Res.	96 EHR 0745	Gray	10/30/97	
Charles G. Smith v. EHNR. Division of Land Resources	96 EHR 0855	Gray	10/30/97	
Henry Yancey Ingram, II & Hope Fanning Ingram v. EHNR, Land Res. Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph	96 EHR 0908 97 EHR 1002* <sup>™</sup>	Gray Mann	10/30/97 02/05/98	
& Lorrie Voliva v. EHNR, Division of Land Resources		.,		
Thomas Windell Foster, Mınnie Foster Tate, Carolyn D. Hughes, Joseph & Lorrie Voliva v. EHNR, Division of Land Resources	97 EHR 1003* <sup>34</sup>	Mann	02/05/98	
Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph & Lorrie Voliva v. EHNR, Division of Land Resources	97 EHR 1043* <sup>34</sup>	Mann	02/05/98	
Thomas Windell Foster, Minnie Foster Tate, Carolyn D. Hughes, Joseph & Lorrie Voliva v. EHNR, Division of Land Resources	97 EHR 1057* <sup>34</sup>	Mann	02/05/98	
Marine Fisheries				
Marine Fisheries William B. Tate v Division of Marine Fisheries	96 EHR 1922	Becton	12/16/97	
John A Trahan v. EHNR, Division of Marine Fisheries	97 EHR 0400	Chess	10/30/97	
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 0917*10	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas, Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
Hassell B. Lawrence, Sr., Gordon Lawrence, Bobby G. Gillikin, Norman	97 EHR 0927*30	Morrison	12/30/97	
W Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas,				
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin Hassell B. Lawrence, Sr., Gordon Lawrence, Bobby G. Gillikin, Norman	97 EHR 0928* <sup>30</sup>	Morrison	12/30/97	
W Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Grav Thomas,	97 LIIK 0920	WIGHTSON	12/30/97	
Jr , Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
Hassell B. Lawrence, Sr., Gordon Lawrence, Bobby G. Gillikin, Norman	97 EHR 0929* <sup>30</sup>	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hıram Gillıkın, Louis Gray Thomas, Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman	97 EHR 0935*30	Morrison	12/30/97	
W Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas,				
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin Hassell B. Lawrence, Sr., Gordon Lawrence, Bobby G. Gillikin, Norman	97 EHR 0936*30	Morrison	12/30/97	
W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas,				
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin	97 EHR 0961* <sup>30</sup>	Marriagn	12/20/07	
Hassell B Lawrence, Sr., Gordon Lawrence, Bobby G Gillikin, Norman W. Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Grav Thomas,	97 EHR 0961*	Morrison	12/30/97	
Jr., Faye Thomas, Mark Allen Gillikin, Millie Lawrence, June Martin				
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W Gillikin, Oliver C. Lawrence, Hiram Gillikin, Louis Gray Thomas,				
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and RAYCO Utilities, Inc., Penman Heights MHP v. EHNR, Water Quality RAYCO Utilities, Inc., Melbille Heights MHP	97 EHR 0644* <sup>22</sup>	Smith	10/29/97	
and				
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Buncombe County Partnership for Children, Inc., a NC Nonprofit Corp , NC Department of Human Resources [Division of Child Development], NC Department of Environment, Health, and Natural Resources [Division				
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Linda Rouse Sharp v Department of Human Resources	97 DHR 0610	Mann	08/28/97	14.17.11011
William & Deborah Gilbert v Department of Human Resources	97 DHR 0616	Chess	01/29/98	
Fannie Odessa Dixon v Department of Human Resources	97 DHR 0652*40	Reilly	04/13/98	
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Angela Mae Whited v. DHR, Division of Facility Services	97 DHR 1029	Becton	12/10/97	
Francis B Morgan v. Dept of Health & Human Svcs., Div of Facility Svcs.	97 DHR 1059* <sup>41</sup>	Chess	04/07/98	
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Mary Susan McLean v. DHR, Fac Svcs, Health Care Pers Reg. Sec	97 DHR 1091	Morrison	10/21/97	
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Metrolina Kidney Center of Concord (Lessee) and Concord Nephrology	97 DHK 0790	WIGHTSON	03/27/90	
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and Dialysis Care of NC, LLC d/b/a Dialysis Care of Rowan County				
Lynnhaven VI, LLC, d/b/a Glen Alphine Health and Rehabilitation Center	97 DHR 0925* <sup>35</sup>	Smith	02/13/98	
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Burke Health Investors, L.L.C. d/b/a Burke Health Care Center v. DHR, Facility Svcs . Certificate of Need Section and	97 DHR 0933* <sup>35</sup>	Smith	02/13/98	
Lynnhaven VI, L.L.C., d/b/a Glen Alphine Health and Rehabilitation Center, and Carolina Health Care Center of Burke, L.L.C.				
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d/b/a Metrolina Kidney Center and SAMET Corporation Healthprime, Inc. v. DHR, DIv. of Facility Sves.	97 DHR 10 <b>7</b> 9* <sup>42</sup>	Reilly	04/14/98	

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Wayne Degree v Department of Human Resources         96 CRA 1357         Phipps         02/13/98           Ted Wayne Lamb v Department of Human Resources         96 CRA 1367**         Chess         02/17/98           Jeffles Granger v Department of Human Resources         96 CRA 1376*         Chess         02/17/98           Jeffles Granger v Department of Human Resources         96 CRA 1378*         Relly         08/14/97           Tolle Woods v Department of Human Resources         96 CRA 1407**         Relly         08/21/97           Joseph R Grooms Ir v Department of Human Resources         96 CRA 1430         Phipps         11/18/97           David N Jarret v Department of Human Resources         96 CRA 1430         Phipps         09/09/97           Warner S Olson v Department of Human Resources         96 CRA 1430         Phipps         09/09/97           Warner S Olson v Department of Human Resources         96 CRA 1430         Becton         09/11/97           Jastin M Wazzela, Sr v Department of Human Resources         96 CRA 1431         Becton         09/11/97           Johns R Holden v Department of Human Resources         96 CRA 1432         Chess         07/22/97           Johns R Holden v Department of Human Resources         96 CRA 1472         Chess         07/22/97           Johns R J Granger of Human Resources         96 CRA 1472					
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Merdith Kirkparnck, b. her parent, Susan Kirkparnck and Merdith Kirkparnck in Individually 1. Lener Coursb Bard of Education   96 EDC 0978   102497   12 07 NCR 581   12 07	AGENCY .	CASE <u>NUMBER</u>	ALJ	DATE OF DECISION	PUBLISHED DECISION REGISTER CITATION
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H Margaret Willets v Department of Public Instruction Azaren Clark Cecate v Department of Public Instruction 97 EDC 0978  Azaren Clark Cecate v Department of Public Instruction 97 EDC 1050  Clarence Thomas Fork Department of Public Instruction 97 EDC 1050  Clarence Thomas Fork Department of Public Instruction 97 EDC 1050  Becton 10/27/97  FEDC 1109  Morrison 97 EDC 1109  Morrison 97 EDC 1109  Morrison 97 EDC 1500  Becton 10/27/97  FEDC 1109  Morrison 97 EDC 1500  Becton 10/27/97  FEDC 1109  Morrison 10/106/98  From 10/06/98	·		-		
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STATE OF NORTH CAROLINA COUNTY OF HERTFORD		IN THE OFFICE OF ADMINISTRATIVE HEARINGS 97 DHR 1277
JONATHAN ELLIS	)	
Petitioner,	)	
	)	
v.	)	RECOMMENDED DECISION
	)	
DEPARTMENT OF HEALTH AND HUMAN SERVICES	)	
Pacpandant	ì	

This is an appeal from the denial by Respondent of Petitioner's application for approval of the Medicaid status known ICF/MR/DD level of care. The issue to be resolved is whether the Petitioner meets the criteria for approval of placement at the ICF/MR/DD level of care. There was a hearing on this matter on February 16, 1998 at which both Petitioner and Respondent presented evidence. Petitioner is represented by Deborah Greenblatt, Carolina Legal Assistance, P.O. Box 2446, Raleigh, North Carolina, 27602. Respondent is represented by Kathryn Thomas, Assistant Attorney General, P.O. Box 629, Raleigh, North Carolina, 27602.

#### **FINDINGS OF FACT**

- 1. Jonathan Ellis is a 25 year old citizen and resident of Murfreesboro, North Carolina.
- 2. Jonathan has had cerebral palsy since birth. He has congenital spastic quadriplegia. He cannot walk. He cannot sit up on his own in a regular chair. He uses a wheelchair but cannot get from his bed to his wheelchair by himself. He has only very limited use of one hand, but he is able with that hand to operate his power wheelchair. He cannot dress himself. He cannot totally brush his teeth without assistance because he cannot reach his arm such that the toothbrush reaches to the back of his mouth. He cannot open a door without assistance. He has a visual impairment affecting his depth perception. (Tr. 8-10, 19, 31, 112). At the present time, for his safety, he needs 24-hour care. (Tr. 62-63, 91-93).
- 3. Jonathan is not mentally retarded. He is quite articulate. He graduated from high school in Hertford County and attended St. Andrews Presbyterian College in Laurinburg, North Carolina. St. Andrews has a program which specializes in having an accessible campus for people with disabilities, including a 19 bed dormitory which has 24 hour certified nursing assistants with a registered nurse on duty 24 hours a day. Since finishing college at St. Andrews in 1996, Jonathan Ellis has been living at home with his parents. Jonathan Ellis' goals for himself are to be able to move out from his family's home and to get a job. (Tr. 10, 13, 112).
- 4. Jonathan receives in-home care under the CAP/DA Medicaid waiver program. This program provides an in-home aide for about five hours per day. This aide assists Jonathan with bathing, dressing, grooming, and food preparation. Under the rules governing CAP/DA, the only services which can be provided for Jonathan are in-home services with very limited exceptions such as shopping for personal goods. His CAP/DA worker is not permitted to assist him in anything outside of the home. (Tr. 18-19). During the hours that the CAP/DA worker is not available, Jonathan's needs are taken care of by his parents. (Tr. 78-79, 88-89).
- 5. Although Jonathan Ellis has done well academically, he has little life experience which would currently enable him to live independently. He needs active treatment or habilitation which consists of training in areas such as cooking, cleaning, shopping, food preparation, managing his money, and interacting with the various people who would be needed in his life to assist him. Because of his inexperience, he is naive and can be gullible. He needs training to acquire problem-solving skills, safety skills, and skills to access transportation, recreation and leisure. He needs to learn in small increments how to be independent. (Tr. 20, 32-33, 42, 62).
- 6. Jonathan has received services since early childhood from the Roanoke-Chowan Mental Health Center in Hertford County. Evans Heath is a clinical manager at the Mental Health Center. He has a Master's Degree in psychology and worked in Developmental Disabilities for 15 years. He has known Jonathan since he was 16 months old and has worked with the family to try to find services that meet Jonathan's needs. Mr. Heath was tendered and received as an expert in developmental disabilities. He is familiar with the criteria for ICF/MR/DD level of care. It is his opinion that Jonathan has functional limitations in the major life activities of self-care, mobility, independent living and self-direction. He believes that an active treatment program which addresses these needs can be put together

for Jonathan under the ICF/MR/DD level of care using the CP/DD waiver. (Tr. 28, 31-33, 36-37).

- 7. Mary Anna Newman is the director of residential services for United Cerebral Palsy of North Carolina. She has a master's degree in counseling psychology. She has worked in the field of social work for ten years, vocational counseling for four years and development disabilities for eleven years. She was tendered and received as an expert in developmental disabilities. She has worked with Jonathan Ellis and his family since the summer of 1996 to develop a supported living program that would meet Jonathan's needs. She testified that the intensity and level of services needed by Jonathan -- his habilitative needs, would "absolutely" constitute a program of active treatment. (Tr. 56-59, 60-61).
- 8. Jonathan and his family have attempted since his return to Murfreesboro in 1996 to find help from any and all agencies, which might assist them in working with Jonathan particularly to find him a job. The family has sought the services of the area mental health agency, vocational rehabilitation services, and a number of others. (Tr. 35, 84-86).
- 9. Although Jonathan has the capacity for independent living and the desire to do so, in the event something were to happen to his parents under his current situation, Jonathan would be subject to institutionalization in a skilled nursing facility or an ICF/MR group home. (Tr. 51-52, 88-89).
- 10. The CAP/DD level of care at issue in this case is part of the Medicaid Waiver plan in North Carolina. The purpose of the waiver is to provide home-based and community-based services to individuals whom, but for the provision of such services, would require an ICF/MR level of care such as an MR institution or an ICF/MR group home. (Tr. 130, 131).
- 11. The steps for a person to become eligible for CAP/MR/DD services include
  - a. meeting the criteria to be certified at the ICF/MR level of care, and
  - b. approval of a plan of care which includes active treatment. (Tr. 149-151, 42 CFR Ch. IV, § 435.1009, 42 CFR Ch. IV § 483.450.)
- 12. To be eligible for certification at the ICF/MR level of care, federal Medicaid regulations require, in pertinent part, that the individual must have a severe, chronic disability that
  - a. is attributable to cerebral palsy or epilepsy ...
  - b. is manifested before the person reaches age 22,
  - c. is likely to continue indefinitely and
  - d. results in functional limitations in three or more of the following areas of major life activity:
    - 1. self-care
    - 2. understanding and use of language
    - 3. learning
    - 4. mobility
    - 5. self-direction
    - capacity for independent living.

(42 CFR Ch. 1V, § 435.1009, Pet. Ex. 1).

- 13. Once an individual has been found to meet the eligibility criteria for ICF/MR level of care, a plan of active treatment designed to meet that individual's needs must be approved before CAP/MR/DD or community alternative services are provided. (Tr. 151, 42 CFR Ch. IV, § 483.450).
- Jonathan Ellis meets the eligibility criteria for ICF/MR level of care because he has a severe chronic disability which is attributable to cerebral palsy, has been manifested since his birth and is likely to continue indefinitely. He has functional limitations in self-care, mobility, self-direction, and capacity for independent living. (Tr. 8-10, 33-34, 172, 173).
- 15. Jonathan Ellis' needs for habilitation require active treatment at the ICF/MR level of care (Tr. 31-37, 60-61).

#### **CONCLUSIONS OF LAW**

- 1. In order to prevail in his effort to become eligible for ICF/MR/DD level of care, petitioner must prove that he has a chronic permanent disability which
  - a. is attributable to cerebral palsy or epilepsy ...
  - b. is manifested before the person reaches age 22,
  - c. is likely to continue indefinitely and
  - d. results in functional limitations in three or more of the following areas of major life activity:
    - 1 self-care
    - 2. understanding and use of language
    - 3. learning
    - 4. mobility
    - 5. self-direction
    - 6. capacity for independent living.

Petitioner has proven by a preponderance of the evidence that he satisfies these eligibility criteria. Counsel for Respondent has conceded as much. (Tr. 172-173).

- 2. Petitioner has also proven by a preponderance of the evidence that his level of need requires active treatment. (Tr. 30-37, 60-61).
- 3. Respondent argues that petitioner must present a plan for active treatment in order to be eligible for ICF/MR/DD level of care. This construction is in error. Petitioner must show a need for active treatment. This he has proven. However, the requirement for a plan of care is not part of the eligibility criteria. The Medicaid regulations governing this matter are found in Title 42, Chapter IV of the Code of Federal Regulations. The eligibility criteria are established in Subchapter C, Medical Assistance programs, Part 435. The particular eligibility criteria governing this case are found at Section 435.1009. By contrast, the requirement for a plan of active treatment is part of Subchapter E, Standards and Certification, Part 483, an entirely different section of the regulations. It does not apply to the eligibility definitions. Thus, while an approved plan of treatment is required before Medicaid will actually pay for services, it is not relevant to the eligibility determination.
- 4. The Court finds that petitioner meets the eligibility criteria for the ICF/MR/DD standard of care.

#### **RECOMMENDED DECISION**

That the North Carolina Department of Health and Human Services adopt the Findings of Fact and Conclusions of Law set forth above and that the Department coordinate the development and implementation of an effective treatment plan for Petitioner.

#### **ORDER**

It is hereby ordered that the agency serve a copy of the Final Decision on the Office of Administrative Hearings, P.O. Drawer 27447, Raleigh, NC 27611-7447, in accordance with North Carolina General Statute 150B-36(b).

#### **NOTICE**

The Agency making the Final Decision in this contested case is required to give each party an opportunity to file exceptions to this Recommended Decision and to present written arguments to those in the Agency who will make the final decision. G.S. 150B-36(a).

The Agency is required by N.C. Gen. Stat. § 150B-36(b) to serve a copy of its Final Decision on all parties and to furnish a copy to the parties' attorney of record and to the Office of Administrative Hearings.

The Agency that will make the Final Decision in this contested case is the Division of Medical Assistance, North Carolina Department of Health and Human Services.

This the 26 <sup>th</sup> day of May, 1998.		
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STATE OF NORTH CAROLINA COUNTY OF DURHAM		IN THE OFFICE OF ADMINISTRATIVE HEARINGS 97 DST 1609
JANE A. WALLACE	)	
Petitioner,	)	
v.	) ) )	RECOMMENDED DECISION
BOARD OF TRUSTEES, LOCAL GOVERNMENT	)	
EMPLOYEES' RETIREMENT SYSTEMS,	)	
Respondent.	)	
	,	

This matter was heard before the undersigned administrative law judge on Monday, March 30, 1998, in Durham, North Carolina.

#### **APPEARANCES**

For Petitioner: Lynn A. Andrews, Attorney at Law, Durham, North Carolina.

For Respondent: Robert M. Curran, Assistant Attorney General, N.C. Department of Justice, Raleigh, North Carolina.

#### **ISSUES**

Whether the Petitioner is entitled to receive disability retirement benefits pursuant to N.C. Gen. Stat. § 128-27(c) while still a contributing member of the retirement system?

#### STATUTES AND RULES INVOLVED

N.C. Gen. Stat. § 128-27 N.C. Gen. Stat. § 128-21(10) N.C. Gen. Stat. § 128-271(19) 20 NCAC 2C .0802

#### **EXHIBITS**

The following exhibits offered by the Petitioner were received in evidence:

Exhibit P-1	E-mail message from Mrs. Wallace on January 28, 1997 at 5:53 a.m.
Exhibit P-2	January 28, 1997 memo from Mrs. Wallace to Supervisor vander Straeten
Exhibit P-3	February 10. 1997 memo from Supervisor vander Straeten to Mrs. Wallace
Exhibit P-4	February 10, 1997 "Employee Change Report" from Trend
Exhibit P-5	February 10, 1997 "Personnel Action Form" from Trend
Exhibit P-6	"Application for Retirement" filed by Mrs. Wallace on February 11, 1997
Exhibit P-7	February 11, 1997 cover letter to Retirement System from Trend
Exhibit P-8	February 24, 1997 "Information Checklist" from Retirement System
Exhibit P-9	Notes of Mrs. Wallace's telephone conversation with Retirement System
Exhibit P-10	"Application for Retirement" filed by Mrs. Wallace on March 4, 1997

Exhibit P-11	April 22, 1997 approval letter to Mrs. Wallace from Retirement System
Exhibit P-12	April 28, 1997 "Notice of Receipt of Application for Retirement Benefits"
Exhibit P-13	April 30, 1997 "Report of Estimated Retirement Benefits"
Exhibit P-14	"Things Retirees Need to Know" pamphlet dated April 1, 1997
Exhibit P-15	"Your Retirement Benefits" booklet dated August 1, 1988
Exhibit P-16	July 16, 1997 "Disability Retirees Statement of Earnable Allowance"
Exhibit P-17	"Notification of Direct Deposit" for July 25, 1997 and September 25, 1997
Exhibit P-18	October 24, 1997 revocation and demand letter from Retirement System
Exhibit P-19	Reply memo from Trend to Mrs. Wallace re: insurance and retirement
Exhibit P-20	E-mail message from Mrs. Wallace on October 28, 1997 at 8:34 a.m.
Exhibit P-21	October 28, 1997 letter to Retirement System re: Mrs. Wallace
Exhibit P-22	October 28, 1997 Promissory Note for \$10,000 and amortization schedule
Exhibit P-23	Fax from Retirement System on October 28, 1997 at 5:48 p.m.
Exhibit P-24	E-mail message from Mrs. Wallace on October 30, 1997 at 6:53 a.m.
Exhibit P-25	October 30, 1997 letter to Retirement System re: Mrs. Wallace
Exhibit P-26	December 1, 1997 [postdated] Notice of Review to Lynn A. Andrews
Exhibit P-27	November 29, 1997 letter to Retirement System re: Lynn A. Andrews
Exhibit P-28	December 12, 1997 letter from Retirement System re: Mrs. Wallace
Exhibit P-29	December 29, 1997 letter from Retirement System re: Lynn A. Andrews

Based upon the official documents in the file, sworn testimony of the witnesses, and other competent and admissible evidence, the undersigned makes the following:

#### **FINDINGS OF FACT**

- 1. The Petitioner, Jane A. Wallace, is a citizen and resident of Henderson County, North Carolina and has resided there since January of 1998. Prior to January of 1998, Mrs. Wallace lived in Buncombe County, North Carolina. Mrs. Wallace's date of birth is August 17, 1954. Mrs. Wallace has a Master's Degree in Counseling with an emphasis in Addictions.
- 2. On or about May 1, 1988, Mrs. Wallace began working at the Trend Area Mental Health, Developmental Disabilities and Substance Abuse Authority (hereinafter "Trend") in Hendersonville, North Carolina. At the same time, Mrs. Wallace began contributing to the Local Governmental Employees' Retirement System (hereinafter "Retirement System").
- 3. Mrs. Wallace suffers from a bipolar, or manic-depressive mood, disorder which has become increasingly more difficult to control with medication in recent years. In January of 1996, Mrs. Wallace experienced considerable difficulty in managing her illness, which in turn adversely affected her ability to perform the duties of her occupation as a Substance Abuse Program Coordinator at Trend.

- 4. In late January of 1997, Mrs. Wallace, came to the realization that she was unable to continue working as a full-time Substance Abuse Program Coordinator at Trend due to her mood disorder and began exploring other options, including employment accommodations and disability benefits. [Exhibit P-1]
- 5. On or about January 28, 1997, Mrs. Wallace wrote a memo to her then-supervisor, Paul vander Straeten, at Trend advising him of her difficulties and requesting that she be reassigned to a part-time, lower-level, non-supervisory position to accommodate her ongoing illness and disability. [Exhibit P-2]
- 6. On or about February 10, 1997, Mrs. Wallace received a memo from her supervisor indicating that her request for reassignment to a part-time, non-supervisory position had been granted in order to accommodate her medical condition. [Exhibit P-3]
- 7. Effective February 10, 1997, Mrs. Wallace's employment at Trend was changed from a full-time Substance Abuse Program Coordinator to a part-time Substance Abuse Counselor I. [Exhibits P-4 & P-5]
- 8. On or about February 11, 1997, Mrs. Wallace completed an "Application for Retirement," indicating that she was filing for Disability benefits through the Local Governmental Employees' Retirement System, effective March 1, 1997. The Employer's Certification portion of the application noted that Mrs. Wallace "Has not terminated" and that "Employee is still employed." [Exhibit P-6]
- 9. On or about February 11, 1997, Mrs. Wallace's employer mailed her Application for Retirement to the Department of State Treasurer. Retirement Systems Division, together with a cover letter which read, in part, as follows: "Jane Wallace was out of work for an extended period of time due to health reasons but she has returned to work on a reduced schedule. She requested reclassification from 100% FTE Substance Abuse Program Supervisor at \$33,074 to 71% FTE Substance Abuse Counselor II [sic] at \$22,391. This change reduces her work time, salary and supervisory responsibilities and she feels that this may qualify her for disability benefits. At this time she has not indicated if she plans to stop working due to her disability." [Exhibit P-7, emphasis added]
- On or about February 24, 1997, the Retirement System mailed Mrs. Wallace an "Information Checklist" which indicated that her Application for Retirement could not be processed for two reasons: (i) because it was not properly notarized: and (ii) because the portion on payroll information was not completed. [Exhibit P-8]
- 11. Upon receipt of this Information Checklist, Mrs. Wallace telephoned the Retirement System for clarification. Mrs. Wallace was advised by the Retirement System that her signature must be notarized; that her employer needed to project her part-time earnings; and that the definition of "retirement" was when she stopped working full time and started working part-time. [Exhibit P-9]
- On or about February 28, 1997, Mrs. Wallace completed a second Application for Retirement and had her signature duly notarized. On this second application, Mrs. Wallace indicated that her last day of "full-time" employment (with the words "full-time" being handwritten on the form) was February 8, 1997. The Employer's Certification portion of this second application stated that "employee is still employed part time in reduced capacity". [Exhibit P- 10. emphasis added]
- On or about April 22. 1997, the Retirement System advised Mrs. Wallace by letter that: "Your Application for Disability Retirement has been approved by the Medical Board with an effective date of March 1, 1997 and benefits will be paid for as long as you remain totally disabled." The letter went on to state that Mrs. Wallace would "be notified and given ample time" to obtain a medical report whenever her disability file was scheduled for review. [Exhibit P-11]
- 14. On or about April 28, 1997, the Retirement System mailed to Mrs. Wallace a "Notice of Receipt of Application for Monthly Retirement Benefits" which stated that: "Your application will be reviewed to determine whether you meet the legal requirements relating to age, service, etc. as of 04/01/97 [sic], your effective date of retirement. If there are any deficiencies or if additional information is required you will be notified." [Exhibit P-12, emphasis added] The notice further stated that: "If all legal requirements are met, an 'Estimated Report of Retirement Benefits' will be prepared and mailed to you indicating the estimated amounts payable under the various payment plans available. [Exhibit P-12]
- 15. On or about April 30, 1997, the Retirement System mailed to Mrs. Wallace the "Report of Estimated Retirement

Benefits" which outlined five (5) different payment options for her disability retirement benefits. [Exhibit P-13] Upon receipt of this report, Mrs. Wallace reasonably determined that her disability application had met all legal requirements. She elected to receive the maximum disability payment available, or \$1,254.79 gross per month.

- 16. Enclosed with one of the documents mailed to Mrs. Wallace in April of 1997, the Retirement System sent a pamphlet dated April 1, 1997, and entitled <u>Things Retirees Need to Know: An Explanation of Your Retirement Benefits and Responsibilities</u>. This pamphlet contained one sentence pertaining to employment and disability retirements benefits, which read: "Rehire After Receiving Disability Retirement Payments: If you accept any kind of employment, either public or private, contact the Retirement System for information on provisions that apply to you." [Exhibit P- 14; page 8, emphasis in the original.]
- 17. On more than one occasion following receipt of the informational pamphlet for retirees referred to above, Mrs. Wallace requested information by telephone from the Retirement System regarding the rules and regulation applicable to her post-retirement employment. On each such occasion, Mrs. Wallace was assured by the Retirement System that the information would be forthcoming.
- 18. Prior to receipt of the pamphlet for retirees, the only other written information available to Mrs. Wallace regarding her benefits was a booklet dated August 1, 1988, and entitled Your Retirement Benefits, which was prepared by the Department of the State Treasurer and provided to Mrs. Wallace by her employer. This booklet also contained a single sentence pertaining to employment after disability, which read: "Rehire after receiving disability retirement benefits. If you accept public or private employment, contact the Retirement System for information of provisions that apply to you." [Exhibit P- 15, page 9]
- 19. On or about July 16, 1997, the Retirement System mailed to Mrs. Wallace a "Disability Retiree's Statement of Earnable Allowance," which advised her that she would be allowed to earn \$19,663.60 for calendar year 1997. The statement further advised Mrs. Wallace that: "If you return to full-time permanent employment covered under the system from which you retired, at any salary, you will forfeit your disability retirement and you will become a contributing member of the Retirement System again." [Exhibit P-16; emphasis added] Because she was not working full-time, Mrs. Wallace reasonably determined that this provision did not apply to her and would not affect her disability retirement benefits. The notice further stated that: "If your earnings should exceed the above stated earnable allowance, your disability retirement allowance will be reduced by the amount of your earnings that exceed the above stated earnable allowance, however your benefit will not be reduced less than the amount funded by your own contributions. Earnings that continuously exceed the amount or [sic] your earnable allowance may result in the permanent reduction of your disability allowance to the amount funded by your own contributions." [Exhibit P- 16] Based upon this language, Mrs. Wallace reasonably determined that her disability allowance might be reduced, based upon her part-time earnings, but had no reason to suspect that her benefits would be stopped.
- 20. Mrs. Wallace received disability payments from the Retirement System for the months of April, 1997 through the month of September, 1997, without any interruption or other communication from the Retirement System other than periodic "Notifications of Direct Deposit." [Exhibit P-17] Mrs. Wallace did not receive any disability benefits for the month of March, 1997.
- 21. On or ahout October 24, 1997, the Retirement System mailed to Mrs. Wallace a letter which stated: "Since you, in fact, never terminated participating membership in the Retirement System, you were not eligible to begin receiving monthly retirement benefits and payment of these benefits were suspended effective with your October retirement check. In addition, it will be necessary for the Retirement System to be reimbursed the net amount of the benefits you have received since April 1, 1997, in the amount of \$7,236.48 as soon as possible. Should you terminate your employment in the future or be employed in a position not subject to retirement, you may, if you desire to do so, reapply for monthly disability benefits at that time." [Exhibit P-18]
- 22. Prior to receipt of the letter from the Retirement System "suspending" her payments, Mrs. Wallace reasonably expected that a direct deposit in the amount of \$1,293.88 would be made into her personal bank account by the Retirement System, effective Friday, October 24, 1997. Mrs. Wallace was unaware that said deposit had not been made until after her receipt of the letter from the Retirement System on Monday, October 27, 1997. [Exhibit P- 18]
- 23. In its October 24, 1997, letter, the Retirement System advised Mrs. Wallace her disability retirement benefits were being "suspended" because she "did continue to work on a schedule that will result in <u>at least 1,000 hours per</u>

<u>year</u>." [Exhibit P-18, emphasis added] Until she received this letter, Mrs. Wallace had never heard of or been advised about any "hour per year" rule. Both the informational pamphlet for retirees, <u>Things Retirees Need to Know</u>, and the benefits booklet for employees, <u>Your Retirement Benefits</u>, prepared by the State Treasurer, had instructed Mrs. Wallace to contact the Retirement System for information about the rules which applied to her. [Exhibit P- 14, page 8. Exhibit P- 15, page 24] When Mrs. Wallace requested information about these rules, the Retirement System sent her a "Statement of Earnable Allowance" dated July 16, 1997. [Exhibit P-16]. This statement indicated that Mrs. Wallace would forfeit her disability benefits if she were to "<u>return to full-time permanent employment</u>." [Exhibit P- 16, emphasis added] No other information was provided or made reasonably available to Mrs. Wallace concerning any rules about part-time employment.

- 24. The Retirement System reasonably expected and intended that Mrs. Wallace would rely on the notices and other information provided to her by the Retirement System. These documents assured Mrs. Wallace that she would continue to receive disability benefits for so long as she remained disabled [Exhibit P- 11]; that she would be notified in advance of any changes in her disability payments [Exhibits P- 11 & P-12]; and that her benefits would not be affected unless she returned to full-time work [Exhibit P- 16]. The language in both the pamphlet for retirees and the booklet for employees specifically instructs disabled retirees to contact the Retirement System for such information. [Exhibit P- 14, page 8; Exhibit P- 15, page 24]
- 25. Mrs. Wallace's financial, medical, and emotional conditions have been adversely affected by the actions of the Retirement System. At the time the Retirement System stopped Mrs. Wallace's disability payments, she and her husband were in the middle of building a home in Henderson County, which is closer to Mrs. Wallace's work at Trend. While building this home, Mrs. Wallace reasonably expected that her disability payments would continue for so long as she remained disabled, as she had been advised by the Retirement System. The abrupt cessation of her disability payments without notice resulted in financial hardship for Mrs. Wallace and a worsening of her medical condition.
- 26. At no time did Mrs. Wallace attempt or intend to conceal her true work status from the Retirement System. Mrs. Wallace had every reason to believe that the Retirement System knew that she was working part-time at Trend when she began receiving her disability allowance.
- 27. The Retirement System knew or should have known about Mrs. Wallace's part-time work situation at Trend when it approved her disability application in April of 1997 and when it prepared her Statement of Earnable Allowance in July of 1997.
- 28. Mrs. Wallace is understandably reluctant to reduce her hours at Trend to less than 25 hours per week because such a reduction would make her ineligible for Trend's group health insurance plan. [Exhibit P- 19] Mrs. Wallace's husband is self-employed and does not have access to a group insurance plan. Mrs. Wallace has considerable prescription drug expenses every month and would likely be unable to find a private insurer willing to cover her pre-existing mental illness. Due to the nature of Mrs. Wallace's disability, it would be very difficult, if not impossible, for her to find other, suitable part-time employment which would provide her with group health insurance benefits as well as accommodate her disability. The structure of working part-time at Trend since February 10, 1997, has been helpful in managing Mrs. Wallace's illness. She enjoys her work and has been at Trend for almost 10 years.

Based on the foregoing Findings of Fact, the undersigned Administrative Law Judge makes the following:

### **CONCLUSIONS OF LAW**

1. In April, 1997, Mrs. Wallace was approved for a disability allowance pursuant to N.C. Gen. Stat. § 128-27(c), which reads, in pertinent part, as follows:

Disability Retirement Benefits. - Upon the application of a member or of his employer, any member who has had five or more years of creditable service may be retired by the Board of Trustees, on the first day of any calendar month, not less than one day nor more than 90 days next following the date of filing such application, on a disability retirement allowance: Provided, that the medical board, after a medical examination of such member, shall certify that such member is mentally or physically incapacitated for the further

performance of duty, that such incapacity was incurred at the time of active employment and has been continuous thereafter, that such incapacity is likely to be permanent, and that such member should be retired; <u>Provided further the medical board determine if the member is able to engage in gainful employment and if so, the member may still be retired and the disability allowance as a result hereof shall be reduced as in subsection (e) below. (Emphasis added)</u>

2. In the present case, it is clear that at the time Mrs. Wallace was approved for disability, she was able to engage in gainful employment, albeit in a limited capacity and at reduced hours from her usual occupation. The statute specifically provides that the ability to engage in gainful employment does not preclude the receipt of disability benefits. Regarding the possible reduction of Mrs. Wallace's disability benefits as a result of such employment, N.C. Gen. Stat. § 128-27(e)(1) provides:

The Board of Trustees shall determine whether a disability beneficiary is engaged in or is able to engage in a gainful occupation paying more than the difference, as hereinafter indexed, between his disability retirement allowance and the gross compensation earned as an employee during the 12 consecutive months in the final 48 months of service prior to retirement producing the highest gross compensation excluding ally compensation received on account of termination. If the disability beneficiary is earning or is able to earn more than the difference, the portion of his disability retirement allowance not provided by his contributions shall be reduced to an amount which, together with the portion of the disability allowance provided by his contributions and the amount earnable by him shall equal the amount of his gross compensation prior to retirement.... Should the earning capacity of the disability beneficiary later change, the portion of his disability retirement allowance not provided by his contributions may be further modified. (Emphasis added.)

- 3. In the instant case, the Retirement System failed to properly determine whether Mrs. Wallace was earning or was able to earn more than the difference between her disability allowance and her average final compensation. Even if such a determination *had* been made and Mrs. Wallace *was* found to be earning or to have the capacity to earn more than the applicable difference, then the Retirement System could only *reduce* Mrs. Wallace's disability allowance, not revoke it. In Mrs. Wallace's case, the Retirement System improperly only considered the number of hours per year Mrs. Wallace was scheduled to work rather than the amount of her earnings, as required by law.
- 4. The only statutory provisions which might possibly result in the cessation of Mrs. Wallace's disability payments are N.C. Gen. Stat. §§ 128-27(e)(2) and (e)(3a). Both of these subsections, however, refer to a disability beneficiary who is "restored" to service, or to active service, as an employee. Neither of these provisions would apply to Mrs. Wallace's situation because she was not "restored" to either her prior state of health or her prior position. Although the term "restored" has not been defined by the North Carolina Legislature in this context, the common, dictionary definition of the verb "to restore" is "to give or bring back; to put (a person) back in a former position, place, rank, or condition; to return to a former place; to bring back to health, strength, etc" Webster's Twentieth Century Unabridged Dictionary, Second Edition (1975). In the present case, Mrs. Wallace's medical condition and her employment situation were exactly the same when she was approved for disability benefits as when her benefits were stopped. She had neither been "restored" to health nor "restored" to her former position. Consequently, subsections (e)(2) and (e)(3a) do not apply to Mrs. Wallace's situation.
- 5. This commonplace meaning of the word "restored" is consistent with language used by the Retirement System in July of 1997. In the Statement of Earnable Allowance mailed to Mrs. Wallace upon her request, the Retirement System advises Mrs. Wallace that "If you return to full-time permanent employment covered under the system from which you retired, at any salary, you will forfeit your disability benefit and you will become a contributing member of the Retirement System again." (Emphasis added) The use of the phrase "return to full-time permanent employment" by the Retirement System indicates that Mrs. Wallace must be "restored" to her former, full-time, permanent position before her benefits are placed in jeopardy.
- 6. Further evidence of the meaning and intent of the term "restored", as used by the Legislature in this context, may be found in the statutes on early or service retirement. In N.C. Gen. Stat. §§ 128-24(5)c and d, a clear distinction is made between a retiree who is "reemployed, or otherwise engaged to perform services, by an employer participating in the Retirement System on a part-time, temporary, interim, or fee-for-service basis, whether contractual or otherwise" in subsection (5)c, and a beneficiary who is "restored to service as an employee" in

subsection (5)d. In the case of an early or service retiree who is employed "part-time" (as Mrs. Wallace was in the present case), the retirement allowance is "suspended" once earnings exceed a certain amount per year, and then subsequently reinstated as of January 1st the following year. N C. Gen. Stat. §128-24(5)c. By contrast, in the case of an early or service retiree who is "restored" to service, the retirement allowance "shall cease." N.C. Gen. Stat. § 128-24(5)d. These two subsections are further indication that the North Carolina Legislature intended that the commonplace definition of "restore" be applied with regard to disability benefits.

- 7. It is interesting to note that the Retirement System claimed to have only "suspended" Mrs. Wallace's disability allowance in its letter to her, but then gave her no indication as to how or when that "suspension" could be lifted. In fact, Mrs. Wallace's benefits were not "suspended" at all, they were summarily revoked. According to the letter from the Retirement System, the only way Mrs. Wallace could have her benefits reinstated, was to reapply for disability benefits and begin the entire process anew.
- 8. The Retirement System had no legal basis upon which to revoke Mrs. Wallace's disability benefits based solely upon the fact that she was able to remain gainfully employed in a part-time capacity, despite her disability.
- 9. Mrs. Wallace's application for disability benefits was approved by the Medical Board, effective March 1, 1997. In October, 1997, the Retirement System terminated Mrs. Wallace's disability benefits and advised her that she would have to reapply if she desired to receive future disability benefits. There has been no finding or allegation that Mrs. Wallace's medical condition was improved in any way between the time she was approved for benefits and the time benefits were stopped. Absent a finding that Mrs. Wallace is not disabled, the Retirement System has no cause or authority to demand that she reapply for disability benefits.
- 10. The Retirement System should be estopped from denying Mrs. Wallace her disability benefits. The controlling case of equitable estoppel as applied to the Retirement System in cases of disability retirement is *Fike v. Bd. of Trustees*, 53 N.C.App. 78, 279 S.E.2d 910, cert. denied, 304 N.C. 194, S.E.2d (1981). In the *Fike* case, the Court reversed the Board's decision and ordered the Board to pay benefits to Dr. Fike on the basis of equitable estoppel.
- 11. The essential elements of equitable estoppel were defined by the Supreme Court in *Hawkins v. Finance Corp.*, 238 N.C. 174, 77 S.E.2d 669 (1953):

[T]he essential elements of an equitable estoppel as related to the party estopped are:

- (1) Conduct which amounts to a false representation or concealment of material facts, or, at least, which is reasonably calculated to convey the impression that the facts are otherwise than, and inconsistent with, those which the party afterwards attempts to assert;
- (2) intention or expectation that such conduct which at least is calculated to induce a reasonably prudent person to believe such conduct was intended or expected to be relied and acted upon; [and]
- (3) knowledge, actual or constructive, of the real facts.

As related to the party claiming the estoppel, they are:

- (1) lack of knowledge and the means of knowledge of the truth as to the facts in question;
- (2) reliance upon the conduct of the party sought to be estopped; and
- (3) action based thereon of such a character as to change his position prejudicially. 238 N.C. at 177-178, 77 S.E.2d at 672.
- *Fike*, 53 N.C.App. at 79, 279 SE.2d at \_\_\_ quoting Meachem v. Montgomery County Board of Education , 47 N.C.App. 271, 277-78, 267 S.E.2d 349, 353 (1980).
- 12. The Court of Appeals, in applying these principles to the *Fike* case, concluded that the Superior Court did not err in reversing the Board's decision to deny disability retirement benefits to Dr. Fike. Despite the fact that the Retirement System did not have direct control over the employer who dealt with Dr. Fike, the Court nonetheless

concluded that "Dr. Fike followed the procedures established by the Board, requested a disability retirement form, filled out the forms provided as directed and relied upon [the employer's] assertions that he had done all that was necessary." The Court held that "the evidence of representations to the contrary is sufficient to estop the Retirement System from denying the agency as to Dr. Fike, who dealt with [the employer] in reliance on its representations to his detriment." 53 N.C. App. at 81, 279 SE.2d at\_\_\_\_.

- 13. -The undisputed facts in the present case fit squarely into the essential elements of equitable estoppel as enumerated and as applied in Fike. Mrs. Wallace followed the procedures established by the Board and filled out the forms as directed. The Retirement System advised Mrs. Wallace that her application would be reviewed to determine whether it met all requirements for disability and that she would be notified if additional information was needed. The Retirement System approved Mrs. Wallace's application for retirement and advised her in writing that benefits would be paid to her as long as she remained disabled and that she would be given notice and ample time when her case was to be reviewed. The Retirement System provided Mrs. Wallace with two separate publications, both of which instructed her to contact the Retirement System for information which applied to her employment while disabled. When Mrs. Wallace contacted the Retirement System for such information, she was advised only that if she returned to work on a full-time, permanent basis, would she forfeit her benefits. Three months later, without notice and despite the fact that Mrs. Wallace was not working full-time and despite the fact that she was still disabled, the Retirement System changed the rules on Mrs. Wallace, summarily stopped her disability benefits, demanded refund of benefits paid, and told her she would have to reapply for disability benefits at a later date. Clearly, the conduct on the part of the Retirement System in Mrs. Wallace's case is even more compelling than that in the Fike case. Here, there is no question of agency with regard to the employer. The Retirement System itself communicated all of this information directly to Mrs. Wallace. Here, there is not just one instance of misrepresentation, but rather a pattern of conduct over many months, all of which was calculated to assure Mrs. Wallace that her disability benefits were secure.
- 14. The undisputed facts with regard to Mrs. Wallace's conduct are equally compelling. Mrs. Wallace advised her employer that she was filing for disability benefits immediately after she reduced her work hours and responsibilities as a result of her disability. Mrs. Wallace's employer specifically advised the Retirement System by letter that Mrs. Wallace was filing for disability based upon her reduced workload. When Mrs. Wallace's first application was returned, Mrs. Wallace called for clarification and was told that her date of retirement was her last day of full-time work. Mrs. Wallace's second application clearly indicates the fact that she was still employed in a reduced capacity, as well as her last day of full-time work. When Mrs. Wallace's application was approved, she contacted the Retirement System about the rules which applied to her, as she was instructed to do. In response, Mrs. Wallace received a document indicating only that she would forfeit her benefits if she returned to full-time, permanent employment. Mrs. Wallace relied upon all the representations of the Retirement System regarding her disability benefits. She made plans to relocate her residence closer to her work and began construction of a new home in that vicinity. Mrs. Wallace had no reason to believe that the Retirement System was not providing her with complete and accurate information or that the Retirement System would change the rules on her at some later date.
- 15. It is readily apparent that the uncontroverted facts in Mrs. Wallace's case fall precisely within the parameters of equitable estoppel as set forth by the Supreme Court in *Hawkins* and as applied by the Court of Appeals in *Fike*. The Retirement System should be estopped from denying Mrs. Wallace her disability benefits, effective March 1, 1997.
- 16. The policy and intent of N.C. General Statutes Chapter 128, Article 3, serves to include, not exclude, Mrs. Wallace among those persons eligible to receive disability benefits. The North Carolina Supreme Court has recently reviewed the statutory provisions in the Teacher's and State Employee's Retirement System and concluded that the "intent of the statute is not to exclude, but include state employees under an umbrella of protections designed to provide maximum security in their work environment and to afford a 'measure of freedom from apprehension of old age and disability.'" Weibenson v. Board of Trustees. Teacher's and State Employees' Retirement System, \_\_\_\_\_\_, \_\_\_\_, S.E.2d \_\_\_\_\_ (1997), quoting \*Bridges v. Charlotte\*, 221 N.C. 472, 477, 20 S.E.2d 825, 829 (1942). Although there may be some differences in the specific rules and regulations between the Teacher's and State Employees Retirement System and the Local Governmental Employees' Retirement System, the legislative purpose and intent of the retirement, disability and death benefit schemes of the two systems remain the same. In the present case, the Retirement System contends that simply because Mrs. Wallace is working more than 1,000 hours per year (which amounts to just 19.23 hours per week), she is not entitled to disability benefits, regardless of her medical condition or the type of work she is doing. However, the statutes pertaining to disability retirement specifically states that a "member may still be retired" even if able to engage in gainful employment. N.C. Gen. Stat. § 128-

27(c). There is no statutory limitation on how many hours a disabled retiree may work, although there is a restriction on how much a member may earn without realizing a reduction in disability benefits. N.C. Gen. Stat. § 128-27(e)(1). The only place where this "hours per year" provision appears is in the North Carolina Administrative Code. It reads as follows: "An officer or employee in a regular position, the duties of which require not less than 1,000 hours of service per year shall be an employee as defined in G. S. 128-21 (10)." 20 NCAC 2C.0802. This single, administrative code provision cannot be used to thwart the intent and purpose of the entire retirement disability scheme as set forth in the North Carolina General Statutes.

17. It is clearly the intent of these statutes, taken as a whole with regard to retirement and disability, that a member who becomes disabled and unable to perform his or her usual duties, may be retired on a disability, continue to work at a job which accommodates that disability, and still receive disability payments to make up for lost wages resulting from the disability. N.C. Gen. Stat. § 127-28(c) and (e). This is precisely what Mrs. Wallace had done until the Retirement System unjustly excluded her from the protection of the disability statutes.

### **RECOMMENDED DECISION**

The Board of Trustees, Local Government Retirement System will make the Final Decision in this contested case. It is recommended that the Board adopt the Findings of Fact and Conclusions of Law set forth above and (1) reinstate Mrs. Wallace's disability payments effective March 1, 1997, pursuant to N.C. Gen. Stat. § 128-27(c), (2) schedule Mrs. Wallace's disability case for periodic medical review, pursuant to N.C. Gen. Stat. § 128-27(e); and (3) any adjustment of Mrs. Wallace's disability allowance which may be required be prospective only, pursuant to N.C. Gen. Stat. § 128-27(e)(1) and 20 NCAC 2C.0503.

### **ORDER**

It is hereby ordered that the agency serve a copy of the Final Decision on the Office of Administrative Hearings, P.O. Drawer 27447, Raleigh, N.C. 27611-7447, in accordance with North Carolina General Statutes section 150B-36(b).

### **NOTICE**

Before the Board of Trustees, Local Government Retirement System makes the FINAL DECISION, it is required by North Carolina General Statutes section 150B-36(a) to give each party an opportunity to file exceptions to this RECOMMENDED DECISION, and to present written arguments to those in the agency who will make the final decision.

The agency is required by North Carolina General Statutes section 150B-36(b) to serve a copy of the FINAL DECISION on all parties and to furnish a copy to the Parties' attorney of record.

This the 14th day of May, 1998.

Brenda B. Becton Administrative Law Judge

STATE OF NORTH CAROLINA	IN THE OFFICE OF ADMINISTRATIVE HEARINGS
COUNTY OF BLADEN	97 OSP 0692 97 OSP 1070
SHARON M. TATUM Petitioner, ) v.	RECOMMENDED DECISION
SOUTHEASTERN REGIONAL MENTAL HEALTH CENTER Respondent. )	

### **INTRODUCTION**

This contested case came on for hearing before the undersigned administrative law judge on February 10, 1998, in Elizabethtown. Philip E. Williams represented the petitioner. Norris M. Grantham represented the respondent. The respondent presented five witnesses, including the petitioner. The respondent introduced Exhibits # 1 - 4. Exhibit A, the August 6, 1997, letter of the grievance committee upholding the petitioner's dismissal, was also introduced. A proposed recommended decision was submitted by the petitioner on April 15, 1998.

The Petition in 97 OSP 0692 presented the issue of whether a written warning placed in the petitioner's personnel file contained inaccurate or misleading material. N.C. Gen. Stat. § 126-25 provides that an employee, after complying with the grievance procedure of the agency, may appeal to the State Personnel Commission. The second Petition, 97 OSP 1070, presented the issue of whether the petitioner was dismissed from employment for just cause. N.C. Gen. Stat. § 126-35 provides that a career State employee appeal, such as petitioner, may appeal a dismissal from employment. The Office of Administrative Hearings conducts an administrative hearing on behalf of the Commission and submits a recommended decision to the Commission.

At the commencement of the hearing, the undersigned reviewed the Motion for Summary Judgment and the Response and heard oral arguments. The undersigned concluded that since the hearing in the Office of Administrative Hearings is <u>de novo</u>, any procedural deficiencies before the grievance committee were moot because the petitioner would now be granted a fair hearing. Nevertheless, any deficiencies may be considered, along with all the other facts presented at this hearing, in determining whether there was just cause to dismiss the petitioner.

The undersigned considered all the evidence presented and concluded that there was no evidence to support the allegations in the dismissal letter. The vagueness of the written warning rendered it inaccurate and misleading.

### **ISSUES**

Was the petitioner properly discharged for good cause?

Does the petitioner's personnel file contain inaccurate or misleading information?

### **FINDINGS**

Karen Campbell, the petitioner's supervisor, issued a written warning to the petitioner on April 1, 1997. W. Dean Butler, the respondent's associate director, upheld the written warning. Although conceding that the petitioner's job performance was average or above average, he wrote:

There is a documented history of discord in the work place that is evidenced by gossip. Gossip is very difficult to prove and to find the origin. However, when a person engages in repeating gossip the malicious effect is ultimately their responsibility. Based on the information provided by you and the staff you have indeed engaged in malicious gossip about fellow employees. Immediately (the petitioner) will refrain from engaging in conversation with staff members that is not related directly to the duties of workshop jobs; this relates specifically to Mr. Ike Hester.

Less than two months later on May 22, 1997, the petitioner was notified that she was "placed on investigatory placement

with pay." The petitioner was informed that dismissal was being considered because of unacceptable personal conduct. The petitioner was denied an attorney at the predismissal conference and therefore did not attend. The petitioner and her attorney did speak at the post-termination hearing of the grievance committee but were prohibited from remaining at the hearing to hear the testimony of others and to cross-examine them.

The August 6, 1997, letter of the grievance committee contained the following alleged reasons for dismissal. First, the petitioner violated the gossip ban. Mr. Ike Hester recalled the petitioner telling him about a rumor about him and a coworker but he could not remember whether the conversation occurred before or after the April 22, 1997, letter. There was no evidence that the petitioner had any disruptive non-work related discussions with anyone after April 22, 1997. Second, the petitioner intimidated Cheryl Lowery. The petitioner told her that "we would be going to court" and "to stand up and tell the truth, you do not need to lie." The petitioner added that not telling what Ms. Lowery saw would be committing perjury. Ms. Lowery did not feel physically threatened or intimidated but was concerned about her job and financial obligations. There was no evidence that the petitioner intimidated Ms. Lowery.

The third allegation was that the petitioner had improper contacts with clients, but no such evidence was introduced by the respondent. Lastly, the grievance committed concluded that:

There existed additional bases for disciplinary action against Ms. Tatum but these were not specified in the grievance and were not necessary to a determination of the issues which it presented.

The grievance committee was prepared to consider reasons other than those presented to the petitioner beforehand if it deemed that was necessary in order to uphold the petitioner's dismissal. Of course, since the petitioner and her attorney were barred from hearing any evidence presented against the petitioner, there could have been no objection by the petitioner to such evidence.

### **CONCLUSIONS**

The petitioner was not dismissed for just cause. In most contested case hearings, the outcome is determined by resolving factual disputes in favor of one party or the other. But, in this case, there was no evidence at all to substantiate the allegations contained in the dismissal letter. The response may be that a grievance committee would not uphold a dismissal without some evidence. This may be true in most cases, but this committee would not even permit the petitioner and her counsel to be present when witnesses adverse to the petitioner testified. This is a committee that had no understanding and no guidance concerning the rudimentary requirements of due process.

The April 22, 1997, written warning contained inaccurate and misleading material in that it purported to ban office gossip - a ban so vague that a simple "Good morning." by the petitioner would be grounds for dismissal.

### **DECISION**

It is recommended that the petitioner be reinstated with back pay and be reimbursed for reasonable attorney fees.

### NOTICE

An advisory decision in this contested case will be made by the State Personnel Commission to the local appointing authority. The parties have the right to file exceptions to this recommended decision and to present written arguments to the State Personnel Commission. The Commission will consider the Affidavit in Support of Attorney's Fees if it finds that the petitioner was dismissed without just cause. The Commission will serve a copy of its decision on all parties, the attorneys of record and the Office of Administrative Hearings.

Upon receipt of the advisory decision, the local appointing authority has ninety days to issue a written final decision either accepting, rejecting or modifying the Commission's decision.

This the 11th day of May, 1998.

Robert Roosevelt Reilly, Jr. Administrative Law Judge

The North Carolina Administrative Code (NCAC) has four major subdivisions of rules. Two of these, titles and chapters, are mandatory. The major subdivision of the NCAC is the title. Each major department in the North Carolina executive branch of government has been assigned a title number. Titles are further broken down into chapters which shall be numerical in order. The other two, subchapters and sections are optional subdivisions to be used by agencies when appropriate.

### TITLE/MAJOR DIVISIONS OF THE NORTH CAROLINA ADMINISTRATIVE CODE

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11	Insurance	Dental Examiners	16
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**Note:** Title 21 contains the chapters of the various occupational licensing boards.

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Fiscal Note: S = Rule affects the expenditure or distribution of state funds. L = Rule affects the expenditure or distribution of local government funds. SE = Rule has a substantial economic impact of at least \$5,000,000 in a 12-month period. \* = Rule-making agency has determined that the rule does not impact state or local funds and does not have a substantial economic impact. See G.S. 150B-21.4.

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21 NCAC 03 .0303		12:18 NCR 1714	12:22 NCR 2007	s						
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21 NCAC 08A .0301	12:08 NCR 619		12.13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08A .0309	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08F .0103	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08F .0105	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 08F .0302	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08F.0304	12:08 NCR 619		12:13 NCR 1138	*	Agency withd	Agency withdrew filing 03/20/98	∞			
21 NCAC 08F.0401	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 08F .0410	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 08G .0404	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08G .0406	12:08 NCR 619		12:13 NCR 1138	*	Agency withd	Agency withdrew filing 03/20/98	<b>«</b>			
21 NCAC 08H .0001	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 081.0004	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 081.0005	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 08J .0001	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 08J .0005	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08J .0006	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			
21 NCAC 08J .0008	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98				
21 NCAC 08J .0010	12:08 NCR 619		12:13 NCR 1138	*	Approve	04/15/98	*			

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RRC	Action	Approve	Approve	Approve	Approve	Approve																	Object	Approve Approve	Object	Approve Approve	Approve	Approve	Object
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21 NCAC 141.0109	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98	*	12:23 NCR 2100	
21 NCAC 141 .0401	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0102	12:06 NCR 453	12;11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0103	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98	*	12:23 NCR 2100	
21 NCAC 14J .0104	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0105	12:06 NCR 453	12:11 NCR 925	*					
21 NCAC 14J .0202	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98	*	12:23 NCR 2100	
21 NCAC 14J .0204	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0205	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0206	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0207	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0303	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0306	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0307	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0401	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0402	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0403	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14.1.0404	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14J .0501	12:06 NCR 453	12:11 NCR 925	*	Object Approve	03/20/98	*		
21 NCAC 14K .0101	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
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21 NCAC 14L.0101	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98	*	12:23 NCR 2100	
21 NCAC 14L .0105	12:06 NCR 453	12:11 NCR 925	*					
21 NCAC 14L .0108	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98		12:23 NCR 2100	
21 NCAC 14L .0214	12:06 NCR 453	12:11 NCR 925	*	Approve	03/20/98	*	12:23 NCR 2100	
21 NCAC 14N .0102	12:06 NCR 453	12:11 NCR 925	*	Object Approve	03/20/98	*		
21 NCAC 14N .0103	12:06 NCR 453	12:11 NCR 925	*	anaiddu Anaiddu				

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21 NCAC 14N .0105	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 14N .0107	12.06 NCR 453		12.11 NCR 925	*	Object	03/20/98	*			
21 NCAC 14N .0108	12:06 NCR 453		12:11 NCR 925	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 14N .0113	12.06 NCR 453		12:11 NCR 925	*	Object	03/20/98	*			
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14A NCAC 07.0313 11:24 NCR 1818	11:24 NCR 1818		12:01 NCR 6	*						
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North Carolina Historical Commission	al Commission									
7 NCAC 04R .0909	12.06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	s	Object	03/20/98				
7 NCAC 04R .0910	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	s	Approve Object	04/15/98 03/20/98	*			
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7 NCAC 04R .0911	12.06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Object	03/20/98	4			
7 NCAC 04R .0912	12:06 NCR 444	12:13 NCR 1174	12:13 NCR 1174	S	Approve Object	03/20/98	•			
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7 NCAC 04K .0913	12:06 INCIK 444	12:13 NCK 11/4	17:13 NCK 11/4	n	Object	03/20/98				
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7 NCAC 05 .0203		Temp Expired		ţ						
DENTAL EXAMINERS	RS	12:16 NCK [5]]	12:16 NCK 1511	<b>3</b> /Γ	Approve	04/12/98				
21 NCAC 16B .0303	11:20 NCR 1538		11:25 NCR 1915	*	Approve	<i>L</i> 6/81/60			12:10 NCR 878	
21 NCAC 16H .0101	12:24 NCR 2203									
21 NCAC 16H .0102	12:24 NCR 2203									
21 NCAC 16H .0103	12:24 NCR 2203									

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21 NCAC 1611.0201	12:24 NCR 2203									
21 NCAC 1611.0202	12:24 NCR 2203									
21 NCAC 16H .0203	12:24 NCR 2203									
21 NCAC 16H .0204	12:24 NCR 2203									
21 NCAC 16H .0205	12:24 NCR 2203									
21 NCAC 1611.0206	12:24 NCR 2203									
21 NCAC 161 .0001	11:20 NCR 1538		11.25 NCR 1915	*	Object	26/81/60				
21 NCAC 161 0002	11-20 NCB 1538		11.05 NCB 1915	*	Approve 10/16/97 Extended review 09/18/97	10/16/97	*		12:11 NCR 947	
2000: 101 202	955 330 57:11				Approve	11/20/97	*		12:16 NCR 1521	
21 NCAC 161 .0003	11:20 NCR 1538		11:25 NCR 1915	*	Approve	09/18/97			12:10 NCR 878	
21 NCAC 161.0004	11:20 NCR 1538									
21 NCAC 161.0005	11:20 NCR 1538									
21 NCAC 161.0006	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 16M .0001	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 16M .0003	11:20 NCR 1538		11:25 NCR 1915	*	Object	76/81/60	*		13.11 MCB 047	
21 NCAC 16Q .0101	12:24 NCR 2203				Approve	16/01/01	•		12.11 INCR 947	
21 NCAC 16Q .0201	12:24 NCR 2203									
21 NCAC 16Q .0301	12:24 NCR 2203									
21 NCAC 16R .0001	11:20 NCR 1538		11:25 NCR 1915	*	Extended review 09/18/97	76/81/60 wa	*		1531 GON 21-C1	
21 NCAC 16R .0002	11:20 NCR 1538				Approve	11/50/31			12.10 NCN 1321	
21 NCAC 16R .0003	11:20 NCR 1538									
21 NCAC 16R .0004	11:20 NCR 1538		11:25 NCR 1915	*	Approve	26/81/60			12:10 NCR 878	
21 NCAC 16R .0005	11:20 NCR 1538									
21 NCAC 16V .0101	10:16 NCR 2043		11:20 NCR 1556	*	Approve	03/20/98	*		12:23 NCR 2100	Notice Subject Matter
21 NCAC 16V .0102	10:16 NCR 2043		11:20 NCR 1556	*	Approve	03/20/98	*		12:23 NCR 2100	Notice Subject Matter
TRICAL CON	ELECTRICAL CONTRACTORS, EXAMINERS OF	MINERS OF								
21 NCAC 18B	12:22 NCR 1982									

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RRC	Action					Approve		Approve	Approve	Approve	Approve	Approve	Арргоус	Approve	Approve	Approve	Approve	Approve	Approve	Approve				Approve	Approve			Approve	Approve	
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RRC Status	Date																						86/51/10	01/15/98	86/51/10	01/15/98				04/15/98	01/15/98
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Fiscal	Note																						*	*	*	*			*	* *	· *
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RRC	Action	Approve		Approve	Approve	Approve		Approve	Approve	Approve				Approve	Approve	Approve	Approve												
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	12:12 NCR 1046	12:12 NCR 1046 12:12 NCR 1046 12:12 NCR 1046	12:12 NCR 1046 12:12 NCR 1046 12:12 NCR 1046	12:12 NCR 1046 12:12 NCR 1046 12:12 NCR 1046	12:09 NCR 749 12:09 NCR 749 12:09 NCR 749
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15A NCAC 11 .0426	12:04 NCR 240		12:09 NCR 749	*	Approve	86/\$1/10			12:21 NCR 1886	
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15A NCAC 11.1620	12:04 NCR 240		12:09 NCR 749	*	Approve	86/\$1/10			12:21 NCR 1886	
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15A NCAC 06E .0106	N/A	N/A	N/A		Approve	10/16/97			12:11 NCR 947	
15A NCAC 06E .0107	N/A	N/A	V/V		Approve	10/16/97			12:11 NCR 947	
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15A NCAC 18D .0701 10:18 NCR 2317	10:18 NCR 2317		12:11 NCR 922	*	Approve	02/19/98	*		12:22 NCR 2012	
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15A NCAC 10B .0202	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
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15A NCAC 10C .0105	12:06 NCR 445									
15A NCAC 10C .0106	12:06 NCR 445									
15A NCAC 10C .0107	11:02 NCR 76	Agency Withdrew Rule-making	ule-making							
15A NCAC 10C .0107	12:06 NCR 445		12:12 NCR 1004	*						
15A NCAC 10C .0108	12:06 NCR 445									
15A NCAC 10C .0109	12:06 NCR 445									
15A NCAC 10C .0110	12:06 NCR 445									

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15A NCAC 10C .0111	12.06 NCR 445									
15A NCAC 10C .0203	12 06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10C .0205	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10C .0206	12.06 NCR 445									
15A NCAC 10C .0211	12:06 NCR 445									
15A NCAC 10C .0212	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10C .0215	12,06 NCR 445									
15A NCAC 10C .0302	12:06 NCR 445									
15A NCAC 10C .0304	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/12/08				
15A NCAC 10C 030\$	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/12/98				
15A NCAC 10C .0401	11 02 NCR 76	Agency Withdrew Rule-making	Rule-making							
15A NCAC 10C 0401	11:07 NCR 408	Agency Withdrew Rule-making	Rule-making							
15A NCAC 10C ,0401	12.06 NCR 445		12.12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10C ,0402	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/12/08				
15A NCAC 10C .0404	12:06 NCR 445		12.12 NCR 1004	*						
15A NCAC 10C .0407	12 06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98				
15A NCAC 10D	12:18 NCR 1694									
15A NCAC 10D .0001	12.06 NCR 445									
15A NCAC 10D 0002	H-02 NCR 76		11:08 NCR 495	*	Agency Withd	Agency Withdrew Rule-making	=1.			
15A NCAC 10D .0002	12:06 NCR 445		12.12 NCR 1004	*	Approve	04/12/98	*			
15A NCAC 10D 0003	12.06 NCR 445		12:12 NCR 1004	*	Approve	04/15/98	*			
15A NCAC 10D .0003	12:18 NCR 1694		12:24 NCR 2205	*						
15A NCAC 10D .0004	12:06 NCR 445		12:12 NCR 1004	*	Approve	04/12/98	*			
15A NCAC 10E,0001	12:06 NCR 445									
15A NCAC 10E.0002	12:06 NCR 445									
15A NCAC 10E 0003	12,06 NCR 445									
15A NCAC 10E.0004	12.06 NCR 445									
15A NCAC 10F .0100	12:06 NCR 445									

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*	*						*				*									*				*			
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Approve	Approve	Approve	Approve	Approve			Approve		Approve		Approve	Approve		Approve			Approve			Approve		Approve	Approve	Approve	Approve	Approve	Approve
*	*	*	*	*		*	*			*	*	*	T	*	٦		*	L		*	Γ	*		*	*	*	*
12:12 NCR 1004	12:12 NCR 1004	12:12 NCR 1004	12:12 NCR 1004	12:12 NCR 1004	ule-making	12:24 NCR 2224	11:14 NCR 1150		N/A	12:16 NCR 1518	11:14 NCR 1150	11:29 NCR 2206	12:24 NCR 2224	12:07 NCR 517	12:17 NCR 1608		11:19 NCR 1427	12:17 NCR 1608		11:20 NCR 1551	12:17 NCR 1608	12:11 NCR 921	N/A	12:07 NCR 517	11:19 NCR 1427	11:29 NCR 2206	12:11 NCR 921
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RRC	Action	Approve	Approve	Approve		Approve					Approve	Approve	Extend Review 04/15/98				Approve	Approve											
Fiscal	Note	*	*	*		*					*	*	*				*	*											
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GENERAL CONTRACTORS LICENSING BOARD	
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Approve	Approve			Approve		Approve	Approve
*	*			*		*	*
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24 NCAC 01P .0101		11:14 NCR 1154	11:28 NCR 2132	s	Approve	07/17/97			12:04 NCR 317	
24 NCAC 01P .0102 24 NCAC 01P .0103		11:14 NCR 1154 11:14 NCR 1154	11:28 NCR 2132 11:28 NCR 2132	s s	Approve Object	07/11/97 07/11/97			12:04 NCR 317	
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24 NCAC 01P .0201		11:14 NCR 1154	11:28 NCR 2132	S	Approve	76/11/10	* *		12:04 NCR 317	
24 NCAC 01P .0202 24 NCAC 01P .0203		11:14 NCK 1154 11:14 NCR 1154	11:28 NCR 2132 11:28 NCR 2132	^	Approve Approve	76/11/10	f		12:04 NCR 317	
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10 NCAC 01B .0501	11:23 NCR 1779		12:09 NCR 747	*	Approve	86/51/10	*		12:21 NCR 1886	
10 NCAC 01B .0502	11:23 NCR 1779		12:09 NCR 747	*	Object	01/15/98	*		13-22 NCR 2012	
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10 NCAC 03U .0102	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0102	12:21 NCR 1873									
10 NCAC 03U .0201	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0202	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
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10 NCAC 03U .0205	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0206	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
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RRC	Action		Object	Approve	Approve	Approve	Approve	Approve		Approve	Approve	Approve		Approve	Approve	Approve			Approve	Approve		Approve		Approve	Approve	Approve	Approve	Object	Approve
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10 NCAC 03U .0705	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0707	11:08 NCR 449		11:17 NCR 1338	*	Object	03/20/97	4			
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10 NCAC 03U .0708	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0709	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0710	12:08 NCR 617		12:13 NCR 1098	*	Approve	04/15/98	*			
10 NCAC 03U .0711	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0712	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0713	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0714	11:24 NCR 1817	Agency withdrew rule-making	ule-making							
10 NCAC 03U .0714	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0802	11:24 NCR 1817	Agency withdrew rule-making	ule-making							
10 NCAC 03U .0802	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0803	11:24 NCR 1817	Agency withdrew rule-making	ule-making							
10 NCAC 03U .0803	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .0804	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .0806	12:08 NCR 617		12:13 NCR 1098	*	Approve	04/15/98	*			
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10 NCAC 03U .0901	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
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10 NCAC 03U .1002	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .1003	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
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10 NCAC 03U .1301	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 03U .1302	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98	*		12:23 NCR 2100	
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RRC Status	Date	03/20/98	03/20/98					03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98		03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98			03/20/98		03/20/98	03/20/98	03/20/98	
RRC	Action	Approve	Approve					Approve	Object	Approve	Approve	Approve	Approve		Approve	Approve	Approve	Object	Approve	Approve	Approve			Approve		Approve	Approve	Approve	
Fices	Note	*	*					*	*	*	*	*	*		*	*	*	*	*	*	*			*		*	*	*	
Notice of	Text	12:13 NCR 1098	12:13 NCR 1098	ule-making	ulc-making			12:13 NCR 1098	12:13 NCR 1098	12:13 NCR 1098	12.13 NCR 1098	12:13 NCR 1098	H:27 NCR 2054	ule-making	12:13 NCR 1098			12:13 NCR 1098	ule-making	12:13 NCR 1098	12:13 NCR 1098	12:13 NCR 1098	rule-making						
Temporary	Rule			Agency withdrew rule-making	Agency withdrew rule-making									Agency withdrew rule-making											Agency withdrew rule-making				Agency withdrew rule-making
Rufe-making	Proceedings	12:08 NCR 617	12:08 NCR 617	11:24 NCR 1817	11:24 NCR 1817	12:21 NCR 1873	12.21 NCR 1873	12:08 NCR 617	12:08 NCR 617	12:08 NCR 617	12.08 NCR 617	12:08 NCR 617	H:14 NCR 1108	11:24 NCR 1817	12.08 NCR 617	12:08 NCR 617	12:21 NCR 1873	12:21 NCR 1873	11:29 NCR 2181	11:08 NCR 449	H:29 NCR 449	12:08 NCR 617	12.08 NCR 617	11:24 NCR 1817					
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Aoency/Rule	Rulc-making	Temporary	Notice of	Fiscal	RRC Status		Text differs	Effective by		
Citation	Proceedings	Rulc	Text	Note	Action	Date p	trom proposal	Governor	Approved Kule	Other
10 NCAC 03U 2603	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2604	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2605	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2606	11:08 NCR 449	Agency withdrew rule-making	de-making							
10 NCAC 03U .2606	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2607	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2608	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2609	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2610	11:24 NCR 1817	Agency withdrew rule-making	de-making							
10 NCAC 03U .2610	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U .2611	11:24 NCR 1817	Agency withdrew rule-making	de-making							
10 NCAC 03U .2611	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 03U ,2612	12:08 NCR 617		12:13 NCR 1098	*	Approve	03/20/98			12:23 NCR 2100	
Facility Services										
Public Notice - Draft 1998 State Medical Facilities Plan	998 State Medical Fac	cilities Plan								12:01 NCR 2
Abbreviated Notice of Temporary Rule-making	Temporary Rule-maki	gui								12:06 NCR 443
10 NCAC 03	10:18 NCR 2399									
10 NCAC 03R .0214	12:08 NCR 617									
10 NCAC 03R .3000	11:23 NCR 1780									
10 NCAC 03R .3001	10:23 NCR 2956		11:06 NCR 328	S/L/SE						
10 NCAC 03R .3002		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3020	10:23 NCR 2956		11:06 NCR 328	S/L/SE						
					Object Approve	11/21/96 03/20/97	* *		11:26 NCR 2004	
10 NCAC 03R .3030	10:23 NCR 2956		11:06 NCR 328	S/L/SE						
10 NCAC 03R .3030		10:21 NCR 2699	11:08 NCR 452	S/L/SE	Object	10/17/96	*			11:11 NCR 888
					Approve	•	· * •		11:26 NCR 2004	
10 NCAC 03K .3031	11.23 NCK 1/80		11:00 NCK 459		Approve		· *		12:07 NCR 561 12:10 NCR 878	

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10 NCAC 03R .3032	10:23 NCR 2956		11.06 NCR 328	S/L/SE						
10 NCAC 03R .3033		10/21 NCR 2699	11.08 NCR 452	S/L/SE	Object Extend Review					
10 NCAC 03R .3034		10:21 NCR 2699	11:08 NCR 452	§IS/1/S	Approve Object	08/21/97	*		12:07 NCR 561	
10 NCAC 03R .3035		10-21 NCR 2699	11:08 NCR 452	S/L/SE	Keturn to agency 03/20/97   Object	1cy 03/20/97 01/16/97				
10 NCAC 03R .3036		10.21 NCR 2699	11.08 NCR 452	38/7/8	Return to agency 03/20/97   Object	(cy 03/20/97 01/16/97				
10 NCAC 03R .3037		10:21 NCR 2699	11.08 NCR 452	S/L/SE	Return to agency 03/20/97   Object   01/16/97   Object   01/16/97   Object   Objec	icy 03/20/97 01/16/97				
10 NCAC 03R .3038		10:21 NCR 2699	11:08 NCR 452	S/L/SE	Keturn to agency 03/20/97   Object	1cy 03/20/97 01/16/97				
10 NCAC 03R .3040	10:23 NCR 2956		11:06 NCR 328	S/L/SE	Keturn to agency 03/20/97	ley 03/20/97				
10 NCAC 03R .3050	10:23 NCR 2956		11.06 NCR 328	S/L/SE						
10 NCAC 03R .3051		11.21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3051		12:15 NCR 1431								
10 NCAC 03R ,3052		11:21 NCR 1655	12.04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3053		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3053		11:22 NCR 1713								
10 NCAC 03R .3053		12:06 NCR 481								
10 NCAC 03R ,3054		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3055		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/50/02			12:16 NCR 1521	
10 NCAC 03R .3056		11:21 NCR 1655	12,04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3057		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3058		H:21 NCR 1655	12.04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R ,3059		11-21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R ,3060		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03R ,3060		12:06 NCR 481								
10 NCAC 03R 3061		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03R .3061		12:06 NCR 481								
10 NCAC 03R .3062	ı,	11:21 NCR 1655	12:04 NCR 246	*	Withdrawn by agcy11/97	agcy11/97				

(	Other																												
	Approved Kule	-		12:16 NCR 1521				12:16 NCR 1521				12:16 NCR 1521		0071 GON 21-01	12.17 INCIA 1620	12:16 NCR 1521		12:17 NCR 1620 12:16 NCR 1521	12:16 NCR 1521										
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RRC Status	Date		y agcy11/97	11/20/97		y agcy11/97	y agcyl 1/97	11/20/97		y agcyl 1/97	y agcy11/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97		11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	11/20/97	12/18/97 11/20/97	11/20/97
RRC	Action		Withdrawn by agcy11/97	Approve		Withdrawn by agcyl 1/97	Withdrawn by agcyl 1/97	Approve		Withdrawn by agcy11/97	Withdrawn by agcy11/97	Approve	Approve	Approve	Approve	Approve	Approve		Object	Approve Object	Approve	Approve	Approve	Approve	Approve	Approve	Object	Approve Approve	Approve
Fiscal	Note			*		*		*		*		*	*	*	*	*	S/L/SE		S/L/SE	S/L/SE	*	S/L/SE	*	S/L/SE	S/L/SE	*	*	*	*
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Temporary	Rufe		12:06 NCR 481	11:21 NCR 1655	12:06 NCR 481	11:21 NCR 1655	12:06 NCR 481	11:21 NCR 1655	12:06 NCR 481	11:21 NCR 1655	12:06 NCR 481	11:21 NCR 1655	12.06 NCR 481	11:21 NCR 1655	11:21 NCR 1655														
Rule-making	Proceedings																												
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10 NCAC 03R .3084		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12.16 NCR 1521	
10 NCAC 03R .3085		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3086		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3087		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12:16 NCR 1521	
10 NCAC 03R .3088		11:21 NCR 1655	12:04 NCR 246	*	Approve	11/20/97			12;16 NCR 1521	
10 NCAC 03R .6001	11.22 NCR 1704									
10 NCAC 03R .6101		11 15 NCR 1431								
10 NCAC 03R .6102		H:15 NCR 1431								
10 NCAC 03R .6103		11-15 NCR 1431								
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10 NCAC 03R .6106		H:15 NCR 1431								
10 NCAC 03R .6107		11-15 NCR 1431								
10 NCAC 03R .6108		11.15 NCR 1431								
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10 NCAC 03R .6111		H:15 NCR 1431								
10 NCAC 03R .6112		11.15 NCR 1431								
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10 NCAC 03R .6123		11:15 NCR 1431								
10 NCAC 05R .6124		11:15 NCR 1431								
10 NCAC 03R .6125		11:15 NCR 1431								
10 NCAC 03R .6126		11:15 NCR 1431								
10 NCAC 03R .6127		11:15 NCR 1431								
10 NCAC 03R .6128		11:15 NCR 1431								
10 NCAC 03R .6129		11:15 NCR 1431								
10 NCAC 03R .6130		11:15 NCR 1431								
10 NCAC 03R .6131		11:15 NCR 1431								
10 NCAC 03R .6132		11:15 NCR 1431								
10 NCAC 03R .6133		11:15 NCR 1431								
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10 NCAC 26B .0103	12:18 NCR 1694									
10 NCAC 26B .0113	10:16 NCR 1721		11:28 NCR 2118	S/L	Ageney withdrew 09/18/97	76/81/60 wa	*		12-11 NCR 947	
10 NCAC 26B .0123		H:19 NCR 1436	11:24 NCR 1824	*	Approve	16/61/90	*		12:03 NCR 213	
10 NCAC 26D .0110	12:06 NCR 444		12:21 NCR 1875	*						
10 NCAC 26G .0707	11:08 NCR 450	11:15 NCR 1205	11:18 NCR 1371	*	Approve	04/17/97			11:29 NCR 2211	
10 NCAC 26H .0101	11:14 NCR 1108									

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rs	from Ge				*					*					*	*									*					
RRC Status	Date				79/51/50					01/15/98					26/81/60	04/15/98									70/11/70		26/21/20	26/21/20		
RRC	Action				Approve					Approve					Арргоус	Approve									Approve		Approve	Approve		
Fiscal	Nate			S/1/SI	S/L	S/L/SE				S/SI			S/L		S/L/S1:	SM.		*						*	*	*	7	*		
Natice of	Text			12:18 NCR 1696	11:23 NCR 1781	12:18 NCR 1696	Temp Expired		Temp Expired	12.07 NCR 511			12:21 NCR 1875		11:29 NCR 2205	12.15 NCR 1419		12:21 NCR 1875						12:21 NCR 1875	11:28 NCR 2118	12:21 NCR 1875	11:28 NCR 2118	11:28 NCR 2118	Temp Expired	
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RRC	Action												Approve																
Fiscal	Note												*	*	*	*	S/L	*	N/A	*	*	*	*	*	*	*	*	*	*
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RRC Status	Date		86/51/10	01/15/98	02/19/98 01/15/98	86/51/10	86/51/10	86/\$1/10	86/\$1/10	86/\$1/10	86/\$1/10	86/51/10	86/51/10	86/51/10	86/51/10	86/51/10	86/\$1/10	86/51/10	86/51/10	86/51/10	86/51/10	01/15/98	86/\$1/10	01/15/98	86/\$1/10	02/19/98	02/19/98	01/15/98	86/51/10
RRC	Action		Object	Object	Арргоус Арргоус	Approve	Object	Approve	Approve	Approve	Approve	Approve	Approve	Object Approve	Object	Approve	Approve	Approve	Object	Approve Object	Approve	Object Approve	Object						
Fiscal	Note		*	*	*	*	*	*	*	*	*	S/L	*	*	S/L	S/L	S/L	*	*	S/I.	*	*	S/L	S/L	*	*	4	*	*
Notice of	Text		12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12.05 NCR 339	12:05 NCR 339	12.05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12:05 NCR 339	12.05 NCR 339	12:05 NCR 339		12:05 NCR 339	12:05 NCR 339						
Temporary	Rule	•																											
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Citation	Proceedings	Rule	Text	Note	Action	Date	proposal	Governor	Approved Kule	Office
					Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 03D .1500	11:23 NCR 1779				_					
10 NCAC 03D .2001	10:18 NCR 2399		11:29 NCR 2187	*	Object	10/16/97	•		1001 0014 01:01	
10 NCAC 03D 2101	10:18 NCR 2399		11:29 NCR 2187	*	Approve Object	10/16/97	•		12:16 INCK 1321	
					Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03D .2102	10:18 NCR 2399		11:29 NCR 2187	*	Object	10/16/97	*		12-16 NCP 1521	
10 NCAC 03D .2103	10:18 NCR 2399		11:29 NCR 2187	*	Approve	10/16/91	*		12:11 NCR 947	
10 NCAC 03D .2104	10:18 NCR 2399		11:29 NCR 2187	*	Approve	10/16/97	*		12:11 NCR 947	
10 NCAC 03D .2105	10:18 NCR 2399		11:29 NCR 2187	*	Object	10/16/97				
Solic and DADING	0000 GOIN 81:01		791C 0DIV 0C:11	*	Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03D .2106	10:18 NCK 2399		11.29 INCR 2167		Approve	11/20/97	*		12:16 NCR 1521	
10 NCAC 03D .2201	10:18 NCR 2399		11:29 NCR 2187	*	Object	10/16/97	,		1031 GOIN 21.C1	
10 NCAC 03D .2202	10:18 NCR 2399		11:29 NCR 2187	*	Approve Approve	10/16/97	•		12:11 NCR 947	
10 NCAC 03D .2203	10:18 NCR 2399		11:29 NCR 2187	*	Approve	10/16/97			12:11 NCR 947	
10 NCAC 03D .2301	10:18 NCR 2399		11:29 NCR 2187	*	Approve	10/16/97			12:11 NCR 947	
10 NCAC 03D .2302	10:18 NCR 2399		11:29 NCR 2187	*	Approve	10/16/97			12:11 NCR 947	
10 NCAC 03D .2303	10:18 NCR 2399		11:29 NCR 2187	*	Object	16/91/01	-			
10 NCAC 03D .2401	10:18 NCR 2399		11:29 NCR 2187	*	Approve Approve	11/20/97	* *		12:16 NCR 1521 12:11 NCR 947	
10 NCAC 03M .0105	11:23 NCR 1779		12:06 NCR 459	*	Approve	01/15/98			12:21 NCR 1886	
10 NCAC 03M .0205	11:23 NCR 1779		12:06 NCR 459	*	Approve	01/15/98			12:21 NCR 1886	
dental Health, Developmental Disabilities and Substance Abuse Services	mental Disabilities an	d Substance Abuse Sa	ervices							
10 NCAC 14G .0102		12:12 NCR 1060	12:19 NCR 1766	*						
10 NCAC 14V .0800	12:20 NCR 1820									
10 NCAC 14V .3402	11:08 NCR 449		11:14 NCR 1124	* •	Withdrawn	01/16/97	+		A 100 doing octo	
10 NCAC 14V .3800	12:20 NCR 1820		11:24 NCK 1822	•	Approve	16/01/00	•		11.30 NCR 2314	
10 NCAC 14V .3803	11:08 NCR 449		11:14 NCR 1124	* 1	Withdrawn	01/16/97	÷		11.00 dOM 00.11	
10 NCAC 14V .4000	12:20 NCR 1820		11:24 NCK 1822	÷	Approve	16/51/50	٠		11.30 INCK 2314	
10 NCAC 14V .4301	12:19 NCR 1762									

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RRC Status	Date							26/91/10	05/15/97	01/16/97	76/51/50	05/15/97	16/91/10	02/20/97	/6/91/10	26/91/10	02/20/97 01/16/97	01/16/97	02/20/97	1001110	/6/01/10	01/16/97	01/16/97	01/16/97	26/91/10	02/20/97 01/16/97	26/91/10	26/91/10	02/20/97	76/91/10	01/16/97
RRC	Action							Withdrawn	Approve	Withdrawn	Approve Withdrawn	Approve	Object	Approve	Approve	Object	Approve Approve	Object	Approve	Sanday	Approve	Approve	Approve	Approve	Object	Арргоvс Арргоvс	Approve	Object	Approve	Object	Approve
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Citation	Proceedings	Ruic	Text	Note	Action	Date	irom proposal	Governor	Approved Kule	Other
10 NCAC 18W .0217	10:15 NCR 1478		11:14 NCR 1124	S	Approve	10/16/97	*			
10 NCAC 18W .0218	10:15 NCR 1478		11:14 NCR 1124	S	Object	01/16/97	*			
10 NCAC 18W .0219	10:15 NCR 1478		H:14 NCR H24	S	Approve	76/97/10 01/16/97	• *			
10 NCAC 45H .0200	11:08 NCR 449									
10 NCAC 45H .0203	11:08 NCR 449	11:29 NCR 2208	11:29 NCR 2208	*	Approve	12/18/97	*		12:17 NCR 1620	
10 NCAC 45IH .0205	11:19 NCR 1762	12:24 NCR 2223		*						
Secretary of Health and Human Services	Human Services									
10 NCAC 14C .1151	12:20 NCR 1820									
10 NCAC 14V .7006		12:01 NCR 31	12:07 NCR 511	*						
10 NCAC 14V ,7101	11:30 NCR 2300		12:06 NCR 459	*	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 14V .7102	11:30 NCR 2300		12:06 NCR 459	*	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 14V ,7103	11:30 NCR 2300		12:06 NCR 459	s	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 14V .7104	11:30 NCR 2300		12:06 NCR 459	s	Approve	03/20/98	*		12:23 NCR 2100	
10 NCAC 14V ,7105	11:30 NCR 2300		12:06 NCR 459	s	Approve	02/19/98	*		12:22 NCR 2012	
10 NCAC 21B .0117		12:17 NCR 1616	12:21 NCR 1875	s						
Social Services Commission	ion									
10 NCAC 24A .0508	12:12 NCR 993	12:13 NCR 1180	12:23 NCR 2090	*						
10 NCAC 30 .0207	12:11 NCR 919	12:14 NCR 1347	12:15 NCR 1420	*	Approve	05/21/98				
10 NCAC 35E .0101		11-16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 35E .0105		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 35E .0106		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 35E .0308		11:16 NCR 1288	11:30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 41A .0107		12:11 NCR 938	12:15 NCR 1420	*	Object	05/21/98				
10 NCAC 41E	12:11 NCR 919									
10 NCAC 41F .0707		12:11 NCR 938	12:15 NCR 1420	s	Approve	05/21/98				
10 NCAC 41F.0813		12:11 NCR 938	12:15 NCR 1420	S	Approve	05/21/98				
10 NCAC 41G	12:11 NCR 919									
10 NCAC 411.0100	10:17 NCR 2228									

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F0 NCAC 411 .0102	10 17 NCR 2228		10:21 NCR 2687	*						
10 NCAC 42C ,2301	12:22 NCR 1979									
10 NCAC 42C .3401		12 13 NCR 1180								
10 NCAC 42C .3403		12 L3 NCTR 1180								
10 NCAC 42C .3404		12 13 NCR 1180								
10 NCAC 42C .3601		12 13 NCR 1180								
10 NCAC 42J 0001		11-16 NCR-1288	11.30 NCR 2301	*	Approve	08/21/97	*		12:07 NCR 561	
10 NCAC 42J .0004		11.16 NCR 1288	11.30 NCR 2301	*	Approve	08/21/97			12:07 NCR 561	
10 NCAC 42J 0005		11 16 NCR 1288	H;30 NCR 2301	*	Object	08/21/97	*		סבס מיאא סו כו	
10 NCAC 42R .0201	12.11 NCTR 919	12.13 NCR 1180	12:23 NCR 2090	SAL	ovoiddy.	18/18/180	•		12:10 NCK 878	
10 NCAC 47A .0502		12.11 NCR 938	12:15 NCR 1420	*	Approve	05/21/98				
10 NCAC 47B .0102		12.11 NCR 938	12:15 NCR 1420	*	Object	05/21/98				
10 NCAC 47B .0303		12.11 NCR 938	12.15 NCR 1420	*	Approve	05/21/98				
10 NCAC 47B .0304		12.11 NCR 938	12:15 NCR 1420	*	Approve	05/21/98				
10 NCAC 47B .0305		12:11 NCR 938	12:15 NCR 1420	*	Approve	05/21/98	*			
10 NCAC 47B .0403		12.11 NCR 938	12:15 NCR 1420	*	Approve	05/21/98	*			
10 NCAC 49B .0608	12:20 NCR 1822									
ocational Rehabilitation Services	on Services									
10 NCAC 20C .0100	12:24 NCR 2202									
10 NCAC 20C .0201	12.08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0202	12.08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0203	12.08 NCR 618		12.13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0203	12:24 NCR 2202									
10 NCAC 20C .0206	12:24 NCR 2202									
10 NCAC 20C .0601	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0603	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C .0604	12.08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
10 NCAC 20C ,0606	12:08 NCR 618		12:13 NCR 1135	*	Approve	03/20/98			12:23 NCR 2100	
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Citation	Proceedings	Rule	Text	Note	Action	Date	irom proposal	Governor	Approved Rule	Other
INSURANCE										
11 NCAC 06	12:09 NCR 744									
11 NCAC 10.0105	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98	*		12:23 NCR 2100	
11 NCAC 10 .0602		11:15 NCR 1223	11:19 NCR 1426	*	Approve	03/20/97	*		11:26 NCR 2004	
11 NCAC 10 .0603		11:15 NCR 1223	11:19 NCR 1426	*	Approve	03/20/97	*		11:26 NCR 2004	
11 NCAC 10.0606		11:15 NCR 1223	11:19 NCR 1426	*	Approve	03/20/97	*		11:26 NCR 2004	
11 NCAC 11B .0601	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0602	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0603	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0604	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0605	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0606	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0607	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0608	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0609	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0610	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0611	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0612	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0613	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0614	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0615	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0616	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11B .0617	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11C,0108	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 11C .0109	12:09 NCR 744		12:14 NCR 1255	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 12	12:09 NCR 744									
11 NCAC 12 .1703	N/A	N/A	N/A		Approve	12/18/97			12:17 NCR 1620	
11 NCAC 12.1801		12:11 NCR 942	12:15 NCR 1424	*	Approve	04/15/98				

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Citation	Proceedings	Rule	Text	Nate	Action	Date	proposal	Governor	ama naga iday	Dino.
11 NCAC 12 1802		12.11 NCR 942	12.15 NCR 1424	*	Approve	04/12/98				
11 NCAC 12.1803		12:11 NCR 942	12:15 NCR 1424	*	Approve	04/15/98	*			
11 NCAC 12 -1804		12:11 NCR 942	12:15 NCR 1424	*	Approve	04/15/98	*			
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	12:09 NCR 744									
	12.09 NCR 744									
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	12.09 NCR 744									
11 NCAC 19 0002	12:09 NCR 744		12.14 NCR 1262	*	Approve	03/20/98	*		12:23 NCR 2100	
H NCAC 19.0003	12.09 NCR 744		12.14 NCR 1262	*	Approve	03/20/98	*		12:23 NCR 2100	
11 NCAC 19 .0004	12 09 NCR 744		12:14 NCR 1262	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 19 .0006	12 09 NCR 744		12.14 NCR 1262	*	Approve	03/20/98			12:23 NCR 2100	
II NCAC 20	12:09 NCR 744									
II NCAC 21	12:09 NCR 744									
Fire and Rescue Commission	ımission									
11 NCAC 05C .0101	12:09 NCR 744		12:14 NCR 1252	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 05C .0102	12.09 NCR 744		12:14 NCR 1252	*	Approve	03/20/98			12:23 NCR 2100	
11 NCAC 05C .0103	12:09 NCR 744		12:14 NCR 1252	*	Approve	03/20/98	*		12:23 NCR 2100	
11 NCAC 05C .0104	12.09 NCR 744		12.14 NCR 1252	*	Agency With	Agency Withdrew 03/20/98				
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etor Lice	Home Inspector Licensure Board									11:27 NCR 2049
H NCAC 08	12.09 NCR 744									
11 NCAC 08 .1000	12 09 NCR 744									
11 NCAC 08 .1001		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	drew 03/97				Temp Filed over obj
6001		0101 001001	11:25 NCR 1906	* 1	Approve	79/61/90			12:03 NCR 213	. T
H NCAC 08 .1002		LEIS NCK 1212	11:25 NCR 1906	÷ *	Agency Withdrew 03/97 Approve 06/19/9	drew 03/97 06/19/97			12:03 NCR 213	ı emp Filed över öbj
11 NCAC 08 .1003		11:15 NCR 1212	11:19 NCR 1416	* *	Agency Withdrew 03/97	drew 03/97			THE GOIN FORCE	Temp Filed over obj
11 NCAC 08 1004		11:15 NCR 1212	11:25 NCK 1906 11:19 NCR 1416	. *	Approve 06/19/3 Agency Withdrew 03/97	06/19/9/ drew 03/97			12:03 NCK 213	Temp Filed over obj
			11.25 NCP 1906	*	*	20/01/20	+			

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11 NCAC 08 1005		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	ew 03/97				Temp Filed over ohi
			11:25 NCR 1906	*	Approve	16/61/90			12:03 NCR 213	60 200 200 200
11 NCAC 08 .1006		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	26/16/190			12:03 NCR 213	
11 NCAC 08 .1007		11:15 NCR 1212	11:19 NCR 1416	* *	Agency Withdrew 03/97	rew 03/97 06/10/07			12-03 NCP 213	Temp Filed over obj
11 NCAC 08 1008		11-15 NCR 1212	11:19 NCR 1416	*	Applove 00/19/3 Agency Withdrew 03/97	.ew 03/97			12,03 INC N 213	Temp Filed over obi
				*	Approve	06/19/97			12:03 NCR 213	for the same dense
11 NCAC 08 .1009		11:15 NCR 1212		*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
				*	Approve	26/61/90			12:03 NCR 213	
11 NCAC 08 .1010		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	* 1	Approve	06/19/97			12:03 NCR 213	
11 NCAC 08 .1011		11:15 NCK 1212	11:19 NCR 1416	+ 4	Agency Withdrew 03/97	rew 03/97			CIC GOIN CO.CI	l emp Filed over obj
11 NC AC 00 1101		11.15 N/CD 1717	11:25 NCK 1906		Approve 06/19/9	06/19/97			12:03 NCK 213	Tome Filed over ohi
11 INCAC 00.1101		11.13 INCR 1212	11:75 NCR 1416	*	Approve	15W 03/97 06/19/97			12:03 MCP 213	remp riicu over ouj
11 NCAC 08 1102		11-15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	ew 03/97			12.00 IACK 21.0	Temn Filed over ohi
			11:25 NCR 1906	*	Approve	16/61/90			12:03 NCR 213	Go. 10. 10. 10. 10. 10. 10. 10. 10. 10. 10
11 NCAC 08.1103		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	16/16/90			12:03 NCR 213	-
11 NCAC 08 .1104		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			NCR.	*	Approve	06/19/97			12:03 NCR 213	
11 NCAC 08 .1105		11:15 NCR 1212		*	Agency Withdrew 03/97	тем 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	16/61/90	*		12:03 NCR 213	
11 NCAC 08 .1106		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	<b>34</b>	Approve	16/61/90			12:03 NCR 213	
11 NCAC 08 .1107		11:15 NCR 1212	11:19 NCR 1416	* *	Agency Withdrew 03/97	rew 03/97			0.00 400 013	Temp Filed over obj
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11 10 74 08 11 08		11.13 MCN 1212	11:25 NCR 1906	*	Approve	06/19/97			12.03 NCR 213	temp times over only
11 NCAC 08 .1109		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	ew 03/97				Temp Filed over ohi
			11:25 NCR 1906	*	Approve	16/61/90			12:03 NCR 213	
11 NCAC 08 .1110		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	26/61/90			12:03 NCR 213	
11 NCAC 08 .1111		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	06/19/97			12:03 NCR 213	
11 NCAC 08 .1112		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	теw 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	26/61/90			12:03 NCR 213	
11 NCAC 08 .1113		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over obj
			11:25 NCR 1906	*	Approve	26/61/90			12:03 NCR 213	
11 NCAC 08 .1114		11:15 NCR 1212	11:19 NCR 1416	*	Agency Withdrew 03/97	rew 03/97				Temp Filed over ohj
			11:25 NCR 1906	*	Approve	26/61/90			12:03 NCR 213	

12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253
12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253 12:14 NCR 1253

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Rule		Text	Note	Action	Date	from proposal	Governor	Approved Rulc	Other
		12:20 NCR 1823	*						
		12:20 NCR 1823	*						
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	_	12:20 NCR 1823	*						
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77	=	12:20 NCR 1823	*						
Criminal Justice Education and Training Standards Commission	_								
Ξ	Ξ	11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
11:2	11:2	11:20 NCR 1539	*	Object	04/17/97	*		11:30 NCB 2314	
11:20	11:2	11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
11:20	11:2	11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
	Ξ	11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
311	=	11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	

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12 NCAC 09B 0305	12:21 NCR 1873									
12 NCAC 09B 0309	12:21 NCR 1873									
12 NCAC 09I3 0310	12:21 NCR 1873									
12 NCAC 09B .0311	12:21 NCR 1873									
12 NCAC 09I3 .0404	12:21 NCR 1873									
12 NCAC 09B .0408	12:21 NCR 1873									
12 NCAC 09I3 0409	11-14 NCR 1109		11-20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 0913 .0409	12:21 NCR 1873									
12 NCAC 09B 0414	12:21 NCR 1873									
12 NCAC 09B .0416	12:21 NCR 1873									
12 NCAC 09C 0304	H-14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0307	11.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97	*		11:29 NCR 2211	
12 NCAC 09C 0309	H 14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0308	12:21 NCR 1873									
12 NCAC 09C .0601	H.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0602	H-14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C .0603	11:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C 0604	11-14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97			11:29 NCR 2211	
12 NCAC 09C 0605	11.14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97		-	H:29 NCR 2211	
12 NCAC 09C .0606	H-14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97		_	11:29 NCR 2211	
12 NCAC 09C 0607	11-14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97		-	11:29 NCR 2211	
12 NCAC 09C .0608	H:14 NCR 1109		11:20 NCR 1539	*	Approve	04/17/97		_	H:29 NCR 2211	
ivate Protective Services Board	ces Board									
12 NCAC 07D,0104	11.16 NCR 1268		12:09 NCR 748	*	Approve	03/20/98		_	12:23 NCR 2100	
12 NCAC 07D .0201	H:10 NCR 818		12:08 NCR 622	*	Approve	03/20/98		_	12:23 NCR 2100	
12 NCAC 07D .0204	11:14 NCR 1108		12:08 NCR 622	*	Object	03/20/98				
12 NCAC 07D .0504	11:10 NCR 818		12:08 NCR 622	*	Approve	03/20/98		-	12:23 NCR 2100	
12 NCAC 07D .0701	11:10 NCR 818		12:08 NCR 622	*	Approve	03/20/98		-	12:23 NCR 2100	
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	Other																												
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RRC	Actinn	Approve	Approve	Object											Approve		Approve	Agency withdrew 01/15/98	Approve	Approve	Approve	Approve	Approve						
Fiscal	Note	*	*	*	*	*	*	*	*	*	*	*	*		*	*	*		*	*	*	*	Γ	S/L	*	*		*	*
Notice of	Text	12:08 NCR 622	12:08 NCR 622	12:08 NCR 622	12:14 NCR 1263		12:08 NCR 624	12:12 NCR 995	12:08 NCR 624	N/A	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:18 NCR 1703	12:08 NCR 624	12:12 NCR 995	12:12 NCR 995	12:12 NCR 995	N/A	12:12 NCR 995	12:12 NCR 995								
Temporary	Rule													Commission		12:18 NCR 1703		N/A				12:18 NCR 1703	12:18 NCR 1703	12:18 NCR 1703	12:18 NCR 1703	12:18 NCR 1703	N/A	12:18 NCR 1703	12:18 NCR 1703
Rule-makino	Proceedings	11:10 NCR 818	11:10 NCR 818	11:14 NCR 1108	11:10 NCR 818	11:10 NCR 818	11:16 NCR 1268	Fraining Standards	12:04 NCR 242	12:07 NCR 508	12:04 NCR 242	N/A	12:04 NCR 242	12:04 NCR 242	12:04 NCR 242	12:07 NCR 508	12:04 NCR 242	12:07 NCR 508	12:07 NCR 508	12:07 NCR 508	N/A	12:07 NCR 508	12:07 NCR 508						
Avenev/Rule	Citation	12 NCAC 07D .0801	12 NCAC 07D .0902	12 NCAC 07D .1106	12 NCAC 07D .1201	12 NCAC 07D .1202	12 NCAC 07D .1301	12 NCAC 07D .1302	12 NCAC 07D .1303	12 NCAC 07D .1304	12 NCAC 07D .1305	12 NCAC 07D .1306	12 NCAC 07D .1307	Sheriffs' Education and Training Standards Commission	12 NCAC 10B .0101	12 NCAC 10B .0103	12 NCAC 10B .0107	12 NCAC 10B .0109	12 NCAC 10B .0202	12 NCAC 10B .0204	12 NCAC 10B .0206	12 NCAC 10B .0206	12 NCAC 10B .0304	12 NCAC 10B .0401	12 NCAC 10B .0402	12 NCAC 10B .0403	12 NCAC 10B .0403	12 NCAC 10B .0406	12 NCAC 10B .0407

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RRC	Aetion	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approx
Fiscal	Note	*	*	*		*			¥		*	*		*	*	*	*	*	*	*	*	*	*	*	*	*	*		*
Notice of	Text	12:12 NCR 995	12:12 NCR 995	12:08 NCR 624	N/A	12:08 NCR 624	V/Z	N/A	12:08 NCR 624	N/A	12:08 NCR 624	12:12 NCR 995	N/A	12:08 NCR 624	12:12 NCR 995	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	V/Z	12-08 NCP 62.4
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RRC Status	Date	01/15/98	12/18/97	12/18/97	12/18/97	03/20/98		01/15/98	03/20/98		01/15/98	03/20/98		03/20/98		03/20/98	86/51/10	12/18/97	01/15/98	12/18/97	12/18/97	12/18/97	03/20/98	03/20/98	03/20/98	03/20/98	01/15/98	03/20/98	03/20/98
RRC	Action	Approve	Approve	Approve	Approve	Approve		Approve	Approve		Approve	Approve		Approve		Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve
Fiscal	Note		*	*	*	*			*			*		*		*		*		*	*	*	*	S	s	S		*	*
Notice of	Text	V/N	12:08 NCR 624	12:08 NCR 624	12.08 NCR 624	12:08 NCR 624	12:08 NCR 624	V/V	12:08 NCR 624	12.08 NCR 624	V/V	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12.08 NCR 624	N/N	12:08 NCR 624	V/V	12:08 NCR 624	12:08 NCR 624	12:08 NCR 624	12:12 NCR 995	12:12 NCR 995	12:12 NCR 995	12:12 NCR 995	V/N	12:12 NCR 995	12:12 NCR 995
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NCR 538         12 18 NCR 1703         Approve         0320098         *         1223 NCR 2100           NCR 538         12 18 NCR 1703         Approve         0320098         *         1223 NCR 2100           NCR 1336         11 17 NCR 1330         *         Approve         0571597         *         120 NCR 317           NCR 1336         11 12 NCR 1710         *         Approve         0771797         *         120 NCR 317           NCR 1336         11 12 NCR 1710         *         Approve         0771797         *         120 NCR 317           NCR 1336         11 12 NCR 1710         *         Approve         0771797         *         120 NCR 317           NCR 1336         11 12 NCR 1710         *         Approve         0771797         *         120 NCR 317           NCR 1336         11 12 NCR 170         *         Approve         0771797         *         120 NCR 1813           NCR 1346         12 13 NCR 170         *         Approve         0717077         *         1223 NCR 1813           NCR 1984         12 13 NCR 170         *         Approve         11/20077         *         1226 NCR 1821           NCR 1984         12 13 NCR 170         *         Approve         11/20077	12 NCAC 10B 2102	12.07 NCTR 508	12:18 NCR 1703	12:12 NCR 995	٠	Approve	03/20/08	*		12:23 NCR 2100	
NCR 1336         1218 NCR 1703         Approve         632098         1223 NCR 2304           Askin of Crinical Information         1112 NCR 1310         Approve         65/1597         1130 NCR 2344           ACR 1336         1122 NCR 1710         Approve         07/1797         1204 NCR 317           ACR 1336         1122 NCR 1710         Approve         07/1797         1204 NCR 317           ACR 1336         1122 NCR 1710         Approve         07/1797         1204 NCR 317           ACR 1336         1122 NCR 1710         Approve         07/1797         1204 NCR 317           ACR 1336         1123 NCR 1184         Approve         07/1797         1204 NCR 317           ACR 1346         1123 NCR 1184         Approve         07/1797         1204 NCR 234           ACR 1944         12.13 NCR 170         Approve         112097         12.20 NCR 1815           ACR 1944         12.03 NCR 130         Approve         112097         12.10 NCR 1821           ACR 1944         12.03 NCR 130         Approve         112097         12.10 NCR 1812           ACR 1944         12.03 NCR 130         Approve         112097         12.10 NCR 1821           ACR 1944         12.03 NCR 130         Approve         112097         12.10 NCR 1821	12 NCAC 10B .2104	12.07 NCTR 508	12.18 NCR 1703	12:12 NCR 995	*	Approve	03/20/98	*		12:23 NCR 2100	
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NCR 1336         II.22 NCR 1710         Approve         07/17/97         •         12.04 NCR 317           Shandards         II.25 NCR 1184         I2.13 NCR 1184         Sh.         Approve         03/20/98         •         12.23 NCR 2100           Shandards         I2.13 NCR 1184         Sh.         Approve         03/20/98         •         12.23 NCR 1200           NGR 1984         I2.13 NCR 170         •         Approve         11/20/97         •         12.16 NCR 1521           NGR 1984         I2.03 NCR 170         •         Approve         11/20/97         •         12.16 NCR 1521           NGR 1984         I2.03 NCR 170         •         Approve         11/20/97         •         12.16 NCR 1521           NGR 1984         I2.03 NCR 170         •         Approve         11/20/97         •         12.16 NCR 1521           NGR 1984         I2.03 NCR 170         •         Approve         11/20/97         •         12.16 NCR 1521           NGR 1984         I2.03 NCR 170         •         Approve         11/20/97         •         12.16 NCR 1521           NGR 1984         I2.03 NCR 170         •         Approve         11/20/97         •         12.10 NCR 1521           NGR 1984         I2.03	12 NCAC 04E .0404	11 17 NCR 1336		11:22 NCR 1710	*	Approve	76/11/20	*		12.04 NCR 317	
11.25 NCR 1918   Temp Expired 12.27/97   S/L   Approve   03/26/98   •	12 NCAC 04B, 0405	11-17 NCR-1336		11:22 NCR 1710	*	Approve	76/11/20	*		12.04 NCR 317	
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11 25 NCR 1918   Temp Expired 1222/97   S/L Approve   03/20/98   P   12.13 NCR 1184   12.13 NCR 170   P   Approve   11/20/97   P   12.20 NCR 1815   12.20 NCR 1816   NCR 1816   P   Approve   11/20/97   P   12.16 NCR 1521   12.16 NCR 1521   NCR 1984   P   12.03 NCR 170   P   Approve   11/20/97   P   12.16 NCR 1521   P   12.16 NCR 1521   P   P   P   P   P   P   P   P   P	iler & Pressure Vesse	_									
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11.26 NCTR 1984	*L3 NCAC 07F .0501										12:08 NCR 613
11.26 NCR 1984   12.03 NCR 170   * Approve   11/20/97   *	*13 NCAC 07F ,0502										12:08 NCR 613
11.26 NCR 1984   12.03 NCR 170	13 NCAC 07A 0302	11:26 NCR 1984		12.03 NCR 170	*	Approve	11/20/97	*			12:16 NCR 1521
11.11 NCTR 881   12.05 NCTR 354	13 NCAC 07A .0708	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
11.03 NCR 106	13 NCAC 07A 0900	11-11-NCR-881									
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11.26 NCR 1984 12.03 NCR 170 * Approve 11/20/97 12:16 NCR 1521 11.03 NCR 196 11.09 NCR 568	13 NCAC 07F, 0101	H.24 NCR 1817		12:05 NCR 354	*	Approve	11/20/97	*		12:16 NCR 1521	
11.26 NCR 1984 12.03 NCR 170 * Approve 11/20/97 12:16 NCR 1521 11.03 NCR 106 11.09 NCR 568	13 NCAC 07F .0101	11:26 NCR 1984		12:03 NCR 170	*						
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	13 NCAC 07F .0201	H.09 NCR 568									republished 11.24 NCR 1817

		į	1		RRC Status	Status	Text differs	Ş: <b>17</b>		
Ageney/Kule Citation	Kure-making Proceedings	l emporary Rule	Text	Note	Action	Date	from proposal	Governor	Approved Rule	Other
13 NCAC 07F .0201	11:24 NCR 1817		12:02 NCR 60	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0201	11:26 NCR 1984		12:03 NCR 170	*						
13 NCAC 07F .0301	11:03 NCR 106									
13 NCAC 07F .0301	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0426	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0501	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 07F .0502	11:26 NCR 1984		12:03 NCR 170	*	Approve	11/20/97	*		12:16 NCR 1521	
13 NCAC 16.0101	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16.0102	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16.0103	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0201	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0202	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0203	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0204	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0205	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0206	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0207	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0208	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16,0301	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16.0302	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
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13 NCAC 16.0401	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16.0402	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16.0501	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97	*		12:17 NCR 1620	
13 NCAC 16 .0502	11:26 NCR 1984		12:05 NCR 412	*	Approve	12/18/97			12:17 NCR 1620	
13 NCAC 16 .0601	11:26 NCR 1984		12:05 NCR 412	*	Agency did not adopt	ot adopt				
13 NCAC 16.0602	11:26 NCR 1984		12:05 NCR 412	*	Agency did not adopt	t adopt				
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21 NCAC 32H .0408 11:26 NCR 1986 12:04 NCR 294 * Approve	* Approve	12/18/97		12:17 NCR 1620	

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Fiscal	Note		*	*	*	*	٦	*	*	*	*	*	*	*	*	*				7		s	*	*	*	*		*	*	
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21 NCAC 36 .0109	11 24 NCR 1821		11:28 NCR 2130	*	Approve	12/18/97			12:17 NCR 1620	
21 NCAC 36 .0227	12:05 NCR 338									
21 NCAC 36 .0320	H:14 NCR 1109		11.19 NCR 1428	*	Object	03/20/97	*		LIEC GON GETT	
21 NCAC 36 .0601	12:01 NCR 5		12:06 NCR 479	*	Approve Approve	03/20/98	÷ *		12:23 NCR 2211 12:23 NCR 2100	
21 NCAC 36.0602	12:01 NCR 5		12:06 NCR 479	*	Approve	03/20/98	*		12:23 NCR 2100	
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21 NCAC 36 .0604	12:01 NCR 5		12:06 NCR 479	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 36 .0605	12:01 NCR 5		12:06 NCR 479	*	Agency withdi	Agency withdrew rule-making				
21 NCAC 36 .0606	12:01 NCR 5		12:06 NCR 479	*	Approve	03/20/98			12:23 NCR 2100	
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21 NCAC 37D .0202		11-11 NCR 940	11:18 NCR 1372	*	Approve	04/17/97	*		11:29 NCR 2211	
21 NCAC 37G :0102		11:11 NCR 940	11:18 NCR 1372	*	Approve	04/17/97			11:29 NCR 2211	
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21 NCAC 40,0104	12:09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 40 .0108		12.07 NCR 557								
21 NCAC 40 .0108	12:09 NCR 745		12:14 NCR 1338	s	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 40 .0202	12:09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 40 .0212	12.09 NCR 745		12:14 NCR 1338	*	Approve	03/20/98			12:23 NCR 2100	
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21 NCAC 42	12:06 NCR 453									
21 NCAC 42l3 .0107	11:18 NCR 1369		11:25 NCR 1917	*	Approve	09/18/97			12:10 NCR 878	
21 NCAC 42E .0102		12:06 NCR 487	12:12 NCR 1058	*	Approve	04/15/98				•

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Citation	Proceedings	Rnfe	Text	Note	Action	Date	trom proposal	Governor	Approved Kule	Other
									-	
PHARMACY, BOARD OF	D OF									
Narrow Therapeutic Index Drugs	dex Drugs									12:14 NCR 1230
21 NCAC 46 .1414	N/A	N/A	V/N		Approve	04/15/98				
21 NCAC 46 .1414	12:24 NCR 2203									
21 NCAC 46 .1601	12:03 NCR 168		12:07 NCR 527	*						
21 NCAC 46 .1601	12:24 NCR 2203		12:09 NCR 797	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 46 .1603	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797		Approve	02/19/98			12:22 NCR 2012	
21 NCAC 46 .1604	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	86/61/20			12:22 NCR 2012	
21 NCAC 46 .1608	12:24 NCR 2203									
21 NCAC 46.1609	12:24 NCR 2203									
21 NCAC 46.1703	12:24 NCR 2203									
21 NCAC 46.1804	12:03 NCR 168		12:07 NCR 527	*						
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21 NCAC 46 .1809	12:24 NCR 2203									
21 NCAC 46.1810	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 46,1813	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	02/19/98	*		12:22 NCR 2012	
21 NCAC 46.1813	N/A	N/A	N/A		Approve	04/12/98				
21 NCAC 46 .2103	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*						
21 NCAC 46 .2201	12:03 NCR 168		12:07 NCR 527	*						
			12:09 NCR 797	*	Approve	02/19/98	*		12:22 NCR 2012	
21 NCAC 46 .2301	12:03 NCR 168		12:07 NCR 527	*						

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			12.09 NCR 797	*						
21 NCAC 46 2304	12:24 NCR 2203									
21 NCAC 46 2604	12.24 NCR 2203									
21 NCAC 46 .2609	12:24 NCR 2203									
21 NCAC 46-2611		V/N	V/N		Approve	04/15/98				
21 NCAC 46-2611	12:24 NCR 2203									
HYSICAL THERAPY EXAMINERS	Y EXAMINERS									
21 NCAC 48A .0103	12.08 NCR 619		12:13 NCR 1150	•	Object	03/20/98	1			
21 NCAC 48A .0105	12.08 NCR 619		12:13 NCR 1150	*	Approve Object	04/15/98	•			
21 NCAC 4813 0102	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	•		12:23 NCR 2100	
21 NCAC 48C 0101	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C .0102	12.08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C 0103	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 48C .0301	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C .0302	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48C .0401	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98				
21 NCAC 48C 0402	12.08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	* *		12:23 NCR 2100	
21 NCAC 48D .0102	12:08 NCR 619		12.13 NCR 1150	*	Object	03/20/98				
21 NCAC 48D .0103	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	*		12:23 NCR 2100	
21 NCAC 48D .0105	12.08 NCR 619		12:13 NCR 1150	*	Object	03/20/98	•			
21 NCAC 48D .0106	12:08 NCR 619		12:13 NCR 1150	÷	Approve Approve	04/15/98 03/20/98	•		12:23 NCR 2100	
21 NCAC 48D .0109	12.08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
21 NCAC 48D .0110	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98	*		12:23 NCR 2100	
21 NCAC 48D .0112	12:08 NCR 619		12:13 NCR 1150	*	Object	03/20/98				
21 NCAC 48E,0101	12:08 NCR 619		12:13 NCR 1150	*	Approve Approve	04/15/98 03/20/98	* *		12:23 NCR 2100	
21 NCAC 48E .0104	12:08 NCR 619		12:13 NCR 1150	*	Approve	03/20/98			12:23 NCR 2100	
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	Other																											
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Effective hy	Governor																											
Text differs	from proposal	*		•		*		,	•		*	· *																
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RRC	Action	Approve	Object	Approve Approve	Object	Approve Approve	Approve	Object	Approve Approve		Object	Approve Approve	Approve			Approve	Approve	Approve	Approve	Approve	Approve		Approve	Approve	Approve	Approve		Approve
Fiscal	Note	*	*	*	*	*	*	*	*		*	*	*	NERS OF				*	*	*	*		*	*	S	*		
Notice of	Text	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	Rule-making	12:13 NCR 1150	12:13 NCR 1150	12:13 NCR 1150	RACTORS, EXAMI		N/A	N/A	12:16 NCR 1490	12:16 NCR 1490	12:16 NCR 1490	12:16 NCR 1490		12:16 NCR 1490	12:16 NCR 1490	12:16 NCR 1490	12:16 NCR 1490		N/A
Temporary	Rule									Agency Withdrew Rule-making				PRINKLER CONT		N/A		12:07 NCR 557	12:07 NCR 557	12:07 NCR 557		12:07 NCR 557		12:07 NCR 557	12:07 NCR 557			N/A
Rule-makino	Proceedings	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	12:08 NCR 619	NG AND FIRE SI	12:07 NCR 509	N/A 13.67 N/CB 506	12:07 NCK 509 N/A	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	12:07 NCR 509	N/A 12:07 NCR 509
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Text differs	from proposal					*		*	*			*	÷	*	*	*	*	*		*		*		*	*	*	
RRC Status	Date	02/19/98	02/19/98		02/19/98	04/15/98	04/15/08	04/15/98	0.1/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/08	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98
RRC	Action	Approve	Арргоче		Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve
	Fiscal Note					*	*	*	*	÷	*	*	×	*	S	*	×	*	×	*	*	*	*	*	*	*	*
	Notice of Text	V/Z	N/A		×/×/ x/	12.16 NCR 1492	12.16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12:16 NCR 1492	12;16 NCR 1492	12:16 NCR 1492
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	Agency/Rufe Citation	2001 03 124 1214 10	21 NCAC 30 1206 21 NCAC 50 1210	21 NCAC 50-1212	21 NCAC 50-1302 - 12.07 NCR 509 N/A N/A N/A PROFESSIONAL, ENGINEERS AND LAND SURVEYORS	21 NCAC 56 0103	21 NCAC 56 .0104	21 NCAC 56 0401	21 NCAC 56.0403	21 NCAC 56 0404	21 NCAC 56 .0405	21 NCAC 56.0501	21 NCAC 56 0502	21 NCAC 56.0503	21 NCAC 56 0505	21 NCAC 56,0601	21 NCAC 56 0602	21 NCAC 56.0603	21 NCAC 56 .0606	21 NCAC 56 0701	21 NCAC 56.0702	21 NCAC 56 0901	21 NCAC 56 .0902	21 NCAC 56 .1102	21 NCAC 56 .1103	21 NCAC 56 .1104	21 NCAC 56 .1105

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Citation	Proceedings	Rule	Text	Note	Aetion	Date	rom proposal	Governor	Approved Kule	Omer
									-	
21 NCAC 56 .1106	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1201	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56.1203	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1205	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56.1301	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1302	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56.1403	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56 .1409	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1411	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1602	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1603	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1604	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56 .1703	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98	*			
21 NCAC 56 .1704	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
21 NCAC 56 .1705	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/12/98				
21 NCAC 56 .1711	12:08 NCR 619		12:16 NCR 1492	*	Approve	04/15/98				
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21 NCAC 54 .1611	12:05 NCR 338									
21 NCAC 54 .1612	12:05 NCR 338									
21 NCAC 54 .1613	12:05 NCR 338									
21 NCAC 54 .2006	12:05 NCR 338									
21 NCAC 54 .2010	12:05 NCR 338									
21 NCAC 54 .2104	12:05 NCR 338									
21 NCAC 54.2301	12:05 NCR 338									
21 NCAC 54 .2302	12:05 NCR 338									
21 NCAC 54 .2303	12:05 NCR 338									
21 NCAC 54 .2304	12:05 NCR 338									
21 NCAC 54 .2305	12:05 NCR 338									

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Text differs	trom proposal																								4	*				¥
RRC Status	Date																								26/91/01	12/18/97			03/20/98	03/20/98 04/15/98
RRC	Action																								Object	Approve			Approve	Object Approve
Fiscal	Note																								*	¥		<b>V</b> /V	*	*
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16 NCAC 06D,0103			12:01 NCR 18	*	Object	10/16/97	,			
16 NCAC 06D .0103	_	12:22 NCR 2010			Approve	16/81/71	•		12:17 NCK 1620	
16 NCAC 06D .0301			12:01 NCR 18	*	Object	10/16/97	+			
16 NCAC 06D .0303			12:01 NCR 18	*	Approve Approve	12/18/97	*		12:17 NCR 1620 12:11 NCR 947	
16 NCAC 06D .0305			12:01 NCR 18	*	Approve	10/16/97			12:11 NCR 947	
16 NCAC 06D .0306			12:01 NCR 18	*	Approve	10/16/97			12:11 NCR 947	
16 NCAC 06E .0105	_	12:05 NCR 433	12:19 NCR 1773	N/A						
16 NCAC 06G .0304			12:01 NCR 18	S	Approve	10/16/97			12:11 NCR 947	
16 NCAC 06G .0305			12:01 NCR 18	*	Object	10/16/97	*		0691 GDIV 21.61	
16 NCAC 06G .0305 16 NCAC 06G .0306			12:19 NCR 1773 12:01 NCR 18	N/N *	Approve	16/91/01			12.17 INCK 1020	
16 NCAC 06G .0307			12:01 NCR 18	S	Approve Object	12/18/97 10/16/97	*		12:17 NCR 1620	
16 NCAC 06G 0308			12:01 NCR 18	v	Approve Object	12/18/97	*		12:17 NCR 1620	
16 NCAC 06G .0309			12:01 NCR 18	. v	Approve Approve	12/18/97	* *		12:17 NCR 1620 12:11 NCR 947	
16 NCAC 06G .0310			12:19 NCR 1773	N/A	:					
16 NCAC 06G .0311	_	12:22 NCR 2010								
16 NCAC 06G .0401			12:01 NCR 18	*	Approve	10/16/97			12:11 NCR 947	
16 NCAC 06G .0402			12:01 NCR 18	*	Approve	16/91/01			12:11 NCR 947	
16 NCAC 06G .0403			12:01 NCR 18	*	Approve	10/16/97			12:11 NCR 947	
16 NCAC 06G .0404			12:01 NCR 18	*	Approve	10/16/97			12:11 NCR 947	
16 NCAC 06G .0501		12:12 NCR 1071	12:19 NCR 1773	N/A						
Public School Administration, Standards Board for	ttion, Standards Board	l for								
16 NCAC 07 .0201	-	12:07 NCR 533	12:12 NCR 1052	*	Approve	02/19/98	*		12:22 NCR 2012	
16 NCAC 07 .0202	-	12:07 NCR 533	12:12 NCR 1052	*	Approve	04/15/98	*			
16 NCAC 07.0301	-	12:07 NCR 533	12:12 NCR 1052	*	Approve	02/19/98			12:22 NCR 2012	
16 NCAC 07.0302	-	12:07 NCR 533	12:12 NCR 1052	*	Approve	02/19/98	*		12:22 NCR 2012	
16 NCAC 07.0303	_	12:07 NCR 533	12:12 NCR 1052	*	Approve	02/19/98	*		12:22 NCR 2012	

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Effective by	Governor																												
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RRC Status	Date	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98	03/20/98
RRC	Action	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve	Approve
Fiscal	Note	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
Notice of	Text	12-14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12.14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12.14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12:14 NCR 1296	12.14 NCR 1296	12:14 NCR 1310	12:14 NCR 1310	12:14 NCR 1310	12:14 NCR 1310	12:14 NCR 1310	12:14 NCR 1310				
Temporary	Rule																												
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17 NCAC 09L .0302			12:17 NCR 1610	*					
Tax Review Board									12:04 NCR 228
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Tax Review Board									12:15 NCR 1416
Tax Review Board									12:19 NCR 1753
SECRETARY OF STATE	ATE								
18 NCAC 06 .1104		12:07 NCR 534	12:14 NCR 1312	*	Object 03/20/98	*			
18 NCAC 06 .1205		12:07 NCR 534	12:14 NCR 1312	*	Approve 04/13/96 Approve 03/20/98			12:23 NCR 2100	
18 NCAC 06 .1206		12:07 NCR 534	12:14 NCR 1312	*		oc r			
18 NCAC 06 .1211		12:07 NCR 534	12:14 NCR 1312	*	Approve 04/15/98 Approve 03/20/98	£ # × ×		12:23 NCR 2100	
18 NCAC 06 .1212		12:07 NCR 534	12:14 NCR 1312	*		, ee 1			
18 NCAC 06 .1304		12:07 NCR 534	12:14 NCR 1312	*	Approve 04/15/98 Approve 03/20/98	+ × ∞		12:23 NCR 2100	
18 NCAC 06 .1401		12:07 NCR 534	12:14 NCR 1312	*		*			
18 NCAC 06 .1410		12:07 NCR 534	12:14 NCR 1312	*	Approve 04/13/98 Approve 03/20/98	, oon		12:23 NCR 2100	
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18 NCAC 06 .1702		12:07 NCR 534	12:14 NCR 1312	*		· *			
18 NCAC 06 .1703		12:07 NCR 534	12:14 NCR 1312	*		e e			
18 NCAC 06 .1704		12:07 NCR 534	12:14 NCR 1312	*	Approve 04/13/98 Approve 03/20/98	: *		12:23 NCR 2100	
18 NCAC 06 .1705		12:07 NCR 534	12:14 NCR 1312	*	Object 03/20/98	æ n			
18 NCAC 06 .1706		12:07 NCR 534	12:14 NCR 1312	*		• *			
18 NCAC 06 .1712		12:07 NCR 534	12:14 NCR 1312	*	Approve 03/20/98	*		12:23 NCR 2100	

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status	Date	03/20/98	03/20/98	03/20/98			03/20/98	03/20/98	04/15/98 03/20/98	03/20/98	03/20/98		86/51/10		01/15/98	11/20/97	86/51/10 86/51/10	70/07/11	12/18/97	86/S1/10 01/15/98		26/81/60	26/18/60	26/81/60	26/81/60	26/81/60
RRC Status	Aetion	Approve	Approve	Approve			Approve	Object	Approve Approve	Approve	Object		Approve		Approve	Object	Approve	Object	No response	Approve Approve		Approve	Approve	Approve	Approve	Approve
Fiscal	Note	*	*	*	*	*	*	*	*	*	*	OF EXAMINE	*		*	*	*	*		*		*	*	*	*	*
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Effective by	Governor																											
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RRC Status	Date		26/81/60	09/18/97	09/18/97	26/81/60	26/81/60			09/18/97	26/81/60	26/81/60			04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	04/12/98	05/21/98	04/15/98	04/15/98	04/15/98	04/15/98	04/15/98	
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Fices	Note		*	*	*	*	*	*		*	*	*			S/L		S/L	S/L	S/L	S/L	S/L		S/L	S/L	S/L	S/L	S/L	
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Highways, Division of										
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19A NCAC 02B 0242	H:26 NCR 1986		12:03 NCR 207	*	Approve	10/16/97			12.11 NCR 947	
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